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# 1 Locomotion with a wavy cylindrical filament 2 in a yield-stress fluid

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8 A yield stress is added to Taylor's (1952, *Proc. Royal Soc. A*, **211**, 225-239) model  
9 of a microscopic organism with a wavy cylindrical tail swimming through a viscous  
10 fluid. Viscoplastic slender-body theory is employed for the task, generalizing  
11 existing results for Bingham fluid to the Herschel-Bulkley constitutive model.  
12 Numerical solutions are provided over a range of the two key parameters of  
13 the problem: the wave amplitude relative to the wavelength, and a Bingham  
14 number which describes the strength of the yield stress. Numerical solutions  
15 are supplemented with discussions of various limits of the problem in which  
16 analytical progress is possible. If the wave amplitude is sufficiently small, the  
17 yield stress of the material inevitably dominates the flow; the resulting 'plastic  
18 locomotion' results in swimming speeds that depend strongly on the swimming  
19 gait, and can, in some cases, even be negative. Conversely, when the yield stress  
20 is large, swimming becomes possible at the wave speed, with the swimmer sliding  
21 or burrowing along its centreline.

## 22 **1. Introduction**

23 The fluid mechanics of locomotion through viscous fluids was pioneered by Taylor  
24 and Lighthill over half a century ago. Taylor's (1952) model of locomotion driven  
25 by the waving of a cylindrical filament, in particular, lay the foundation for  
26 biofluid mechanics of flagellar motion. Taylor's theory applied for low-amplitude  
27 motions, such that the swimming stroke constituted a small perturbation of  
28 the boundary corresponding to the swimmer's surface. Later developments by  
29 Hancock (1953) and Lighthill (1975) exploited the machinery of Stokes flow theory  
30 to advance beyond this regime. Lauga & Powers (2009) provide a review of later  
31 developments.

32 More recently it has become popular to consider locomotion through complex  
33 fluids, motivated mostly by the settings of many problems in physiology and the  
34 environment. Viscoelastic fluid models have been the most popular idealization  
35 used in theoretical and experimental explorations to date. However, locomotion  
36 through or above viscoplastic fluids (Denny 1980, 1981; Chan *et al.* 2005; Pegler  
37 & Balmforth 2013; Hewitt & Balmforth 2017, 2018; Supekar *et al.* 2020) and both  
38 wet and dry granular media (Hosoi & Goldman 2015; Maladen *et al.* 2009; Jung

39 2010; Juarez *et al.* 2010; Dorgan *et al.* 2013; Kudrolli & Ramirez 2019) have also  
40 been of interest.

41 For waving cylindrical filaments in viscous fluid, an awkward drawback in  
42 theoretical explorations is that long-range effects characteristic of Stokes flow  
43 plague analytical advances even when the filament is relatively thin (Cox 1970;  
44 Keller & Rubinow 1976; Lighthill 1975; Lauga & Powers 2009). In particular,  
45 Lighthill’s resistive force theory, the simplest theory based on the slenderness  
46 of the filament, converges only logarithmically in terms of aspect ration. By  
47 contrast, the localization of flow around the filament by a yield stress ensures  
48 that the viscoplastic analogue of this theory is more accurate than its Newtonian  
49 cousin, as also noted in the context of granular media (Zhang & Goldman 2014;  
50 Hosoi & Goldman 2015). We exploited this feature in a previous article (Hewitt &  
51 Balmforth (2018)) to develop viscoplastic slender-body theory. We further applied  
52 the theory to models of swimming driven by the motion of a helical filament (a  
53 model also popularized by Taylor and Hancock).

54 In the current theory we use the viscoplastic slender-body theory to attack Tay-  
55 lor’s problem of locomotion by a wavy cylindrical filament. For this task, we first  
56 generalize our previous results by considering the ambient fluid to be described  
57 by the Herschel-Bulkley model. In our previous work (Hewitt & Balmforth 2018),  
58 we considered only the Bingham model, for which the plastic viscosity beyond  
59 the yield point is constant. Most real materials, however, possess a nonlinear  
60 (and typically shear-thinning) viscosity, leading us to use the Herschel-Bulkley  
61 model (even though the behaviour of those materials is invariably richer than this  
62 idealization; Balmforth *et al.* (2014)). Discussions of the effect of shear thinning  
63 on locomotion have appeared previously (*e.g.* (Vélez-Cordero & Lauga 2013; Li  
64 & Ardekani 2015; Riley & Lauga 2017)), although these have mostly focussed  
65 on power-law fluids and the like, whereas our main thrust is to understand the  
66 impact of a yield stress. From the perspective of complex fluids, the inclusion  
67 of a yield stress is typically dramatic, qualitatively changing the dynamics, and  
68 permits one to access the “plastic limit” where the medium behaves more like a  
69 perfectly plastic, cohesive solid (Prager & Hodge 1951).

70 A notable detail of the current problem is that one might expect that the  
71 localization of flow by the yield stress should continue all the way to the plastic  
72 limit, thereby restricting motion to narrow boundary layers around the swimmer  
73 (Balmforth *et al.* 2017). However, it turns out that this only becomes true when  
74 the filament can translate nearly along its length. Otherwise, regions of almost  
75 perfectly plastic deformation persists over distances of order the radius driven by  
76 transverse motion. The transverse and axial forces acting on the filament are then  
77 of similar size, unless the motion is closely aligned with its axis. We explored some  
78 consequences of the strong force anisotropy that is experienced only in nearly axial  
79 motion in Hewitt & Balmforth (2018) for some other problems of viscoplastic  
80 flows around slender filaments. Here, we examine the possibility whether it can  
81 lead to style of locomotion in which in which the swimmer “burrows” through  
82 the fluid, moving purely in the direction of its centreline. Such a style of motion  
83 is, in fact, often observed for real organisms (Gidmark *et al.* 2011; Dorgan *et al.*  
84 2013; Kudrolli & Ramirez 2019).

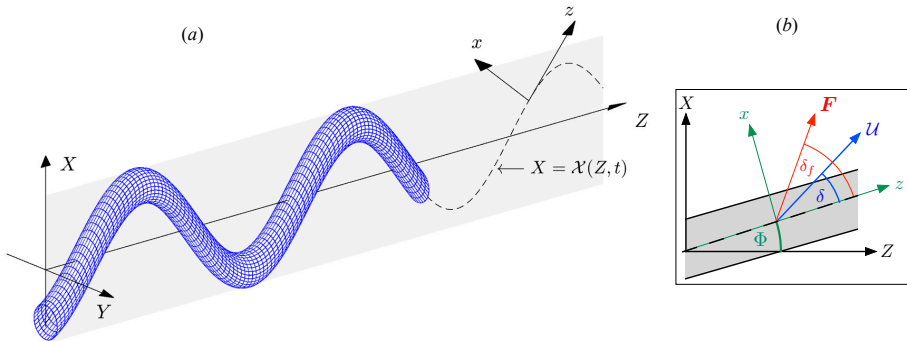


Figure 1: Sketches of (a) the swimmer geometry, and (b) the local coordinates  $(x, z)$  aligned with a segment of the cylindrical body that lies at an angle  $\Phi(Z)$  to the  $Z$  axis. The segment moves with speed  $U$  at a direction  $\delta$  to its axis; the associated force  $\mathbf{F}$  is directed at an angle  $\delta_f$  to its axis.

## 85 2. Formulation

86 Consider a cylindrical filament of radius  $\mathcal{R}$  moving without inertia through a  
 87 viscoplastic fluid described by the Herschel-Bulkley constitutive law, with yield  
 88 stress  $\tau_y$ , consistency  $K$  and power-law index  $n$ . The filament is propelled by  
 89 waves generated along its length, with wavepeed  $c$  and wavelength  $\lambda$ . A sketch of  
 90 the geometry is shown in figure 1; the waves are assumed to deform the filament  
 91 in the  $(X, Z)$ -plane, with the  $Z$ -axis pointing in the direction of motion. The  
 92 instantaneous centreline of the filament is given by the curve  $X = \mathcal{X}(Z + ct)$ ,  
 93 which we assume is inextensible. As a canonical example, we follow Taylor and  
 94 consider the sinusoidal waveform,

$$95 \quad X = \mathcal{X}(Z + ct) = a\lambda \sin \left[ \frac{2\pi(Z + ct)}{\lambda} \right], \quad (2.1)$$

96 with (dimensionless) peak amplitude  $a$ . In fact, we also open up the possibility  
 97 of locomotion driven by more general waveforms, although we restrict attention  
 98 to cases that are symmetric with  $\mathcal{X}(Z) = -\mathcal{X}(-Z)$  and  $\mathcal{X}(Z) = \mathcal{X}(\frac{1}{4}\lambda - Z)$  (for  
 99  $0 < X < \frac{1}{2}\lambda$ ), such that the waveform has the extrema  $\mathcal{X}(\pm\frac{1}{4}\lambda) = \pm a$  and zeros  
 100  $\mathcal{X}(0) = \mathcal{X}(\pm\frac{1}{2}\lambda) = 0$ .

### 101 2.1. Viscoplastic slender-body theory

102 When the filament is long and thin, the localization of motion by the yield stress  
 103 implies that the flow is locally equivalent to that around a straight cylinder. This  
 104 approximation is expected to remain accurate as long as variations along the axis  
 105 of the filament are much smaller than the radius (so  $\mathcal{R} \ll \lambda$ ), and the yield stress  
 106 is sufficiently large that the fluid plugs up beyond a distance of order the filament  
 107 radius (that is, the Bingham number, to be defined presently, is order unity or  
 108 larger).

109 In this situation, the inertia-free problem breaks down into the computation  
 110 of the force generated locally by the translation of the cylinder with respect to  
 111 the fluid. The ensuing fluid motion is most naturally described in terms of a  
 112 local  $(x, z)$ -coordinate system attached to the centerline of the filament, with  $z$   
 113 pointing along the length (see figure 1). If the cylinder translates with velocity  
 114  $U(\hat{\mathbf{x}} \sin \delta + \hat{\mathbf{z}} \cos \delta)$  at an angle  $\delta$  to its axis (figure 1b), the resulting force per

115 unit length can be represented as

$$116 \quad \frac{K\mathcal{U}^n}{\mathcal{R}^{n-1}} [\hat{\mathbf{x}}F_x(\delta, n, Bi) + \hat{\mathbf{z}}F_z(\delta, n, Bi)], \quad (2.2)$$

117 where the local Bingham number, which measures the relative importance of the  
118 yield stress and the characteristic viscous stresses, is

$$119 \quad Bi = \frac{\tau_y \mathcal{R}^n}{K\mathcal{U}^n}, \quad (2.3)$$

120 and  $\hat{\mathbf{x}}$  and  $\hat{\mathbf{z}}$  denote unit vectors in the local  $x$  and  $z$  directions, respectively. A  
121 fuller statement of the problem can be found in Appendix A.1.

122 The nonlinearity of the constitutive law forbids any simple breakdown of the  
123 dependence of the force components  $F_x$  and  $F_z$  on the angle  $\delta$  and  $Bi$ , although  
124 analytical results are available in certain limits (see Hewitt & Balmforth (2018)).  
125 The key to solving the locomotion problem more generally, however, is to tabulate  
126 these components for a given  $n$ , and then use an interpolation to integrate over  
127 the length of the wavy filament, accounting for the relevant orientation of each  
128 local cylindrical cross-section.

129 Before performing this operation, we briefly revisit and generalise the results  
130 reported by Hewitt & Balmforth (2018). In that paper, force components  $(F_x, F_z)$   
131 were computed numerically over a wide range of values for  $Bi$  and  $\delta$  (there written  
132 alternatively in terms of an angle  $\phi \equiv \frac{1}{2}\pi - \delta$ ) for a Bingham fluid ( $n = 1$ ). We  
133 repeat this exercise here, but for more values of  $n$ , using a simple adaptation  
134 of the numerical scheme in Hewitt & Balmforth (2018) (see Appendix A.1).  
135 Figure 2(a,b) shows how the force direction,  $\delta_f = \tan^{-1}(F_z/F_x)$ , and magnitude,  
136  $F \equiv \sqrt{F_x^2 + F_z^2}$ , vary with  $\delta$  and  $Bi$  for three values of  $n$ . The main variation of  
137 the force magnitude is with  $Bi$ ; to extract this dominant dependence, the plots  
138 show  $F/\langle F \rangle$ , where  $\langle F \rangle$  denotes the average over  $0 \leq \delta \leq \frac{1}{2}\pi$ , which reduces the  
139 variation to a factor of about three. The angular averages themselves are also  
140 shown against  $Bi$  in figure 2(c).

141 Considering first the case of low Bingham number,  $Bi \ll 1$ , one might expect  
142 that the force components converge to those for a power-law fluid. However, for  
143 the Newtonian case, the Stokes paradox ensures that the low deformation rates  
144 in the far-field always impact the result. This leads to a persistent, logarithmic  
145 dependence on  $Bi$  that reflects how the yield stress must inevitably bring fluid  
146 to rest and resolve the paradox. Explicitly (for  $n = 1$ ), we have

$$147 \quad (F_x, F_z) \rightarrow -\frac{2\pi}{\log Bi^{-1}}(2 \sin \delta, \cos \delta), \quad (2.4)$$

148 as  $Bi \rightarrow 0$  (Hewitt & Balmforth 2018). On the other hand, shear-thinning avoids  
149 the Stokes paradox for  $n < 1$ , as pointed out by Tanner (1993), leading to a finite  
150 drag force for  $Bi \rightarrow 0$ , as illustrated in figure 2(c). While there is no general  
151 analytic solution for arbitrary  $\delta$  in this limit, an exact solution can be computed  
152 for pure axial motion,

$$153 \quad F_z(\tfrac{1}{2}\pi, n, 0) = 2\pi(n^{-1} - 1)^n, \quad (2.5)$$

154 if  $n < 1$ . The convergence of the drag components to their power-law limits  
155 for  $n = \frac{1}{2}$  and  $Bi \ll 1$  is illustrated further in figure 2(d). This plot shows  
156  $|F_x|/\sin \delta$  and  $|F_z|/\cos \delta$ ; this scaling, motivated by the form of the Newtonian  
157 limit (2.4), takes care of most of the  $\delta$ -dependence of  $F_z$ , but works less well for

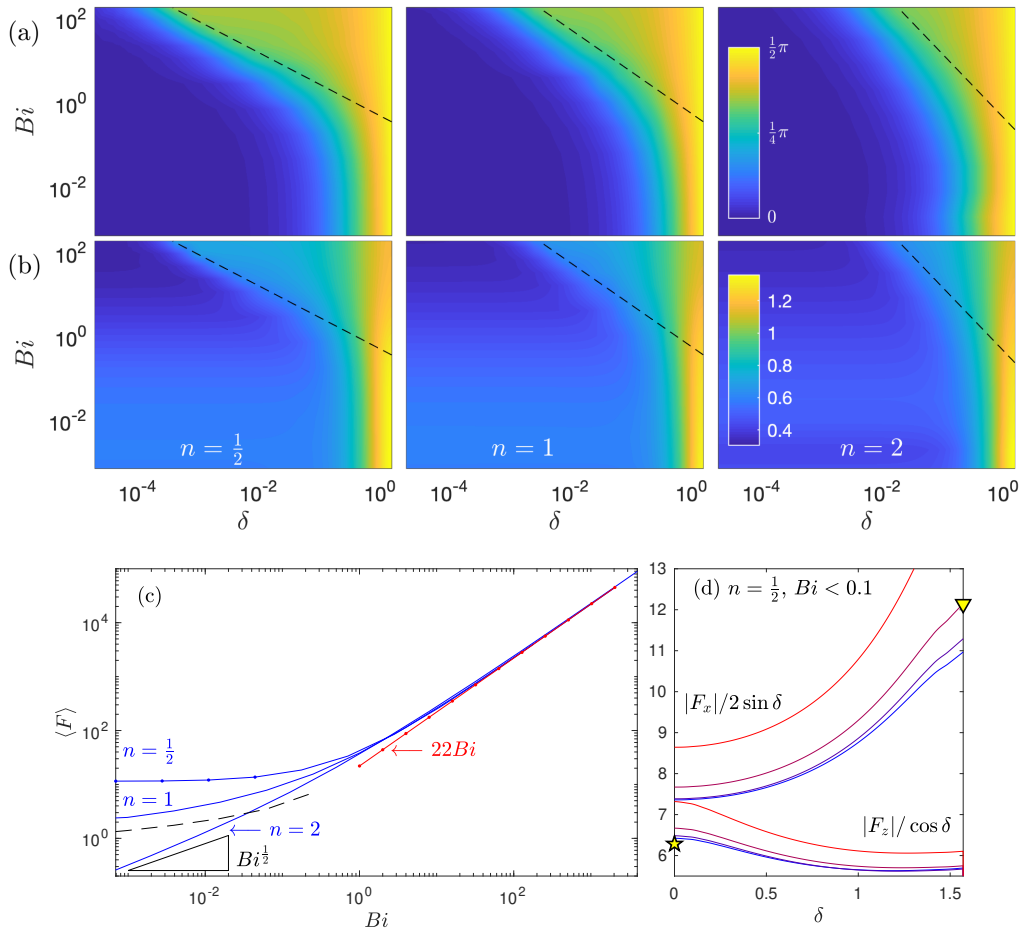


Figure 2: Slender-body-theory results for motion of a cylinder in a Herschel–Bulkley fluid with index  $n$ . Colour maps of (a) force direction  $\delta_f$  and (b)  $F/\langle F \rangle$ , for  $n = 0.5$  (left),  $n = 1$  (centre) and  $n = 2$  (right), where  $F = \sqrt{F_x^2 + F_z^2}$  and  $\langle F \rangle$  is the angular average shown in (c). The dashed lines in (a)–(b) show  $\delta = (\beta/\alpha_n)Bi^{-2/(1+n)}$ , where  $\alpha_n$  is defined in (2.7), and that in (c) shows (2.4). Panel (d) plots the scaled force components  $|F_x|/\sin \delta$  and  $|F_z|/\cos \delta$ , for  $n = \frac{1}{2}$  and  $Bi = 4^{-j}$  with  $j = 2, 3, 4, 5$  (as indicated by the blue dots in (c), with colours from red at  $Bi = 4^{-2}$  to blue at  $Bi = 4^{-5}$ ); the star shows the analytical result in (2.5), and the triangle indicates an approximate solution from Tanner (1993) ( $F_x \approx 12.1$ ).

158  $F_x$ . Thus, an empirical collapse of the form suggested by Chhabra *et al.* (2001)  
 159 for Carreau fluids (and which was exploited for locomotion problems by Riley  
 160 & Lauga (2017)), which implies  $F_x(\delta, n, 0)/F_z(\delta, n, 0) = F_x(\delta, 1, 0)/F_z(\delta, 1, 0) =$   
 161  $2 \tan \delta$ , does not apply accurately in this power-law limit.

162 For  $n > 1$ , the Stokes paradox persists and the drag again vanishes in the limit  
 163  $Bi \rightarrow 0$ . In this case, the far-field solution for the streamfunction in the cross-  
 164 sectional plane is expected to contain terms of the form  $\psi \sim Cr^{2-\frac{1}{n}} \sin \theta$  (see  
 165 Tanner (1993)). Demanding that such terms balance the term stemming from  
 166 sideways translation  $\psi \propto r \sin \theta$  for  $r = O(Bi^{-1})$  suggests that  $C = O(Bi^{1-\frac{1}{n}})$

167 which provides the scaling of the drag force for  $Bi \ll 1$  (see Hewitt & Balmforth  
168 (2018); illustrated for  $n = 2$  in figure 2c).

169 For higher yield stress  $Bi \gg 1$  and except over a narrow window of angles  
170 of motion with  $\delta \ll 1$ , the force components converge to  $n$ -independent values  
171 with  $(F_x, F_z) \propto Bi$  (see figure 2c). These values correspond to the perfectly plastic  
172 limit of the problem in which the viscous stresses operate only in thin viscoplastic  
173 boundary layers (Balmforth *et al.* 2017) to adjust the solution and ensure no slip,  
174 without consequence on the net drag. The perfectly plastic deformation outside  
175 these boundary layers span distances of order of the cylinder radius. Importantly,  
176 in this plastic limit the two force components  $F_x$  and  $F_z$  remain comparable.  
177 Further details of these plastic solutions can be found in Appendix A.3 and  
178 figure 8.

179 However, as the cylinder approaches axial motion ( $\delta \rightarrow 0$ ) there is a narrow  
180 window of angles  $\delta \ll 1$  across which the transverse force  $F_x$  drops to zero,  
181 as it must on symmetry grounds ( $F_x(\delta = 0, n, Bi) = 0$ ). The abrupt decrease  
182 in  $F_x$  arises without change in the axial force  $F_z$ , and so the force angle  $\delta_f$   
183 drops from  $O(1)$  to zero across this window (see figure 2a). The width of this  
184 ‘reorientation’ window decreases with increasing  $Bi$ , and we previously showed  
185 that for a Bingham fluid ( $n = 1$ ) the width of the window is  $\delta = O(Bi^{-1})$  (Hewitt  
186 & Balmforth 2018). However, as illustrated in figure 2(a), the window is narrower  
187 for smaller  $n$  and wider for larger  $n$ . More specifically, we show in Appendix A.2  
188 that the narrow window for force reorientation for  $n \neq 1$  is instead given by  
189  $\delta = O(Bi^{-2/(n+1)})$ , with

$$190 \quad F_x \sim -\alpha_n \pi Bi^{\frac{n+3}{n+1}} \delta \quad \& \quad F_z \sim -2\pi Bi, \quad (2.6)$$

191 where

$$192 \quad \alpha_n = \frac{(2n+1)^2(3n+1)}{[n^2(n+1)^{3n+1}]^{\frac{1}{n+1}}}, \quad (2.7)$$

193 (see Appendix A.2). The chief consequence of the narrow reorientation window  
194 for large  $Bi$  is that the direction of the induced force ( $\delta_f$ ) is highly sensitive to  
195 the direction of motion ( $\delta$ ) when this is shifted only slightly off-axis. Equivalently,  
196 substantial sideways forces can only be avoided if the translation of the cylinder  
197 is very closely aligned to its axis. As we will find below, this narrow reorienta-  
198 tion window, and indeed the plastic flow solution for larger  $\delta$ , have important  
199 consequences for slender locomotion through a viscoplastic material.

200

## 2.2. Superposition

201 We now return to the original  $(X, Z)$ -coordinate system and calculate the net  
202 forces induced by the swimming motion. Before entering into the details, we first  
203 move into the frame of the wave (in which the motion is independent of time) and  
204 remove the dimensions from the problem by scaling lengths (*i.e.*  $X$ ,  $Z$  and  $\mathcal{X}$ )  
205 with the wavelength  $\lambda$ , speeds with the wavespeed  $c$  and stresses with  $K(c/\mathcal{R})^n$ .  
206 The swimmer is then periodic over a translating coordinate  $0 \leq \zeta = Z + ct/\lambda \leq 1$ ;  
207 the centreline lies along  $X = \mathcal{X}(\zeta)$ , and a more natural Bingham number for the  
208 swimmer is

$$209 \quad B_s = \frac{\tau_Y}{K(c/\mathcal{R})^n} \equiv V^n Bi, \quad (2.8)$$

210 where  $V(\zeta) = \mathcal{U}/c$  is the dimensionless speed of each segment of the swimmer's  
 211 body. That speed is not known a priori (as it depends on the locomotion speed  
 212 of the swimmer) and must be found as part of the solution of the problem.

213 The constraint that the swimmer's centerline is perfectly inextensible demands  
 214 that, in the frame of the wave, the body must move in the direction of the  
 215 centerline at the constant speed,

$$216 \quad Q = \int_{-\frac{1}{2}}^{\frac{1}{2}} \frac{d\zeta}{\cos \Phi}, \quad (2.9)$$

217 which is the arc-length of the waveform relative to its undeformed length (Taylor  
 218 1952), where

$$219 \quad \tan \Phi = \frac{d\mathcal{X}}{d\zeta} \quad (2.10)$$

220 denotes the local slope of the centerline (see figure 1). In a stationary (i.e.  
 221 laboratory) frame, the swimmer's body therefore has velocity

$$222 \quad (U, W) = Q \sin \Phi \hat{\mathbf{X}} + (Q \cos \Phi - 1 + W_s) \hat{\mathbf{Z}} \quad (2.11)$$

223 where  $W_s$  is the constant translation speed of the swimmer in the  $\zeta$ -direction; *i.e.*  
 224 the dimensionless swimming speed (scaled by the wave speed; sometimes referred  
 225 to as the 'wave efficiency'). Hence,

$$226 \quad \begin{aligned} V \cos \delta &= Q - (1 - W_s) \cos \Phi, \\ V \sin \delta &= (1 - W_s) \sin \Phi, \end{aligned} \quad (2.12)$$

227 which allows determination of the speed

$$228 \quad V(\zeta) = \sqrt{(W_s - 1)^2 + 2Q(W_s - 1) \cos \Phi + Q^2}, \quad (2.13)$$

229 and inclination

$$230 \quad \tan \delta = -\frac{(W_s - 1) \sin \Phi}{(W_s - 1) \cos \Phi + Q}, \quad (2.14)$$

231 of each segment of the swimmer's body.

232 Given the slender-body results for the associated force components ( $F_x, F_z$ ),  
 233 we may compute the net axial force on the swimmer:

$$234 \quad \frac{\lambda K c^n}{\mathcal{R}^{n-1}} \int_{-\frac{1}{2}}^{\frac{1}{2}} V^n (F_z \cos \Phi - F_x \sin \Phi) \frac{d\zeta}{\cos \Phi}. \quad (2.15)$$

235 For steady swimming, this net force must vanish and so the integral constraint

$$236 \quad \int_{-\frac{1}{2}}^{\frac{1}{2}} V^n (F_z - F_x \tan \Phi) d\zeta = 0, \quad (2.16)$$

237 determines the swimming speed  $W_s$ . Finally, the dimensionless net dissipation  
 238 rate, which must equal the dimensionless power  $\mathcal{P}$  expended by the swimmer,  
 239 can also be computed as

$$240 \quad \mathcal{P} = \int_{-\frac{1}{2}}^{\frac{1}{2}} V^n [V \cos \delta F_z + V \sin \delta F_x] \frac{d\zeta}{\cos \Phi} = Q \int_{-\frac{1}{2}}^{\frac{1}{2}} \frac{V^n F_z}{\cos \Phi} d\zeta. \quad (2.17)$$

241 Note that the specific waveform  $\mathcal{X}$  of the swimmer only enters the problem

242 through the definition of  $\Phi$  in (2.10); *i.e.* the slope of the centreline. In other  
 243 words, for a given waveform, the amplitude and wavelength of the swimming gait  
 244 are only relevant in how they combine to set  $\Phi$ , which must remain sufficiently  
 245 shallow for the slender-body theory to be applicable. More specifically, the radius  
 246 of curvature of the centreline (which is  $O(a^{-1}\lambda)$ ) must remain much greater  
 247 than the swimmer's radius  $\mathcal{R}$ . For the sample waveforms that we adopt, this  
 248 restriction demands that the wave amplitude parameter  $a$  should not be too  
 249 large (specifically,  $a \ll \lambda/\mathcal{R}$ ); this is a condition that we informally ignore in  
 250 presenting model solutions, but is important to keep in mind.

### 251 3. Results

252 Figure 3 displays numerical results exploiting the construction of §2 for a swimmer  
 253 propelled by the sinusoidal waveform  $\mathcal{X} = a \sin 2\pi\zeta$ . As indicated by the com-  
 254 parison of panels (a–c), for  $n = \frac{1}{2}, 1$  and  $2$ , respectively, the results for different  
 255 power-law exponents are qualitatively similar. More significant is the role of the  
 256 yield stress, with an increase of  $B_s$  prompting a clear increase in locomotion speed  
 257 towards the wave speed.

258 The associated power expenditure, or dissipation rate, is shown in figure 4.  
 259 Naturally, this measure increases with  $B_s$  as the swimmer has to break the yield  
 260 stress to move; however, after compensating for this effect the figure shows a  
 261 progressive decrease in the scaled power  $\mathcal{P}/B_s$  for larger yield stress. The power  
 262 steadily increases with wave amplitude, and approaches different high- $Bi$  limits  
 263 for small and large  $a$ , as discussed below.

264 An impression of the yielded sheath around the swimmer is displayed in figure  
 265 5, which shows the yield surfaces predicted in certain cross-sections through the  
 266 swimmer for a range of values for  $a$  and  $B_s$ , and a particular choice of the  
 267 dimensionless wavelength  $\lambda/\mathcal{R}$  (which does not affect the wave speed or power).  
 268 Not surprisingly, the yielded region becomes more localized as  $B_s$  is increased. On  
 269 the other hand, as long as  $B_s$  is not small, variations in the wave amplitude can  
 270 result in yield surfaces that lie at similar distances from the swimmer even while  
 271 the the swimming speed increases by almost an order of magnitude (compare,  
 272 for example, figure 5(c) and (f)). However, for smaller  $B_s$  and larger  $a$ , self-  
 273 intersections of the yield surfaces can arise (e.g. figure 5g); the implied overlap of  
 274 the yielded regions occurs when the span of the flow domain is no longer much  
 275 smaller than the wavelength of the swimming stroke, and thus suggests a break  
 276 down of the validity of the assumptions upon which the slender-body theory is  
 277 based.

278 The characteristics displayed by the numerical results in these figures motivate  
 279 a discussion of a number of limits of the problem, which we discuss below.

#### 280 3.1. Newtonian limit

281 When  $n = 1$  and  $Bi \ll 1$ , the force components have the limits in (2.4), and the  
 282 constraint (2.16) reduces to

$$283 \quad W_s = 1 - Q \left[ \int_{-\frac{1}{2}}^{\frac{1}{2}} (2 \tan^2 \Phi + 1) \cos \Phi \, d\zeta \right]^{-1}. \quad (3.1)$$



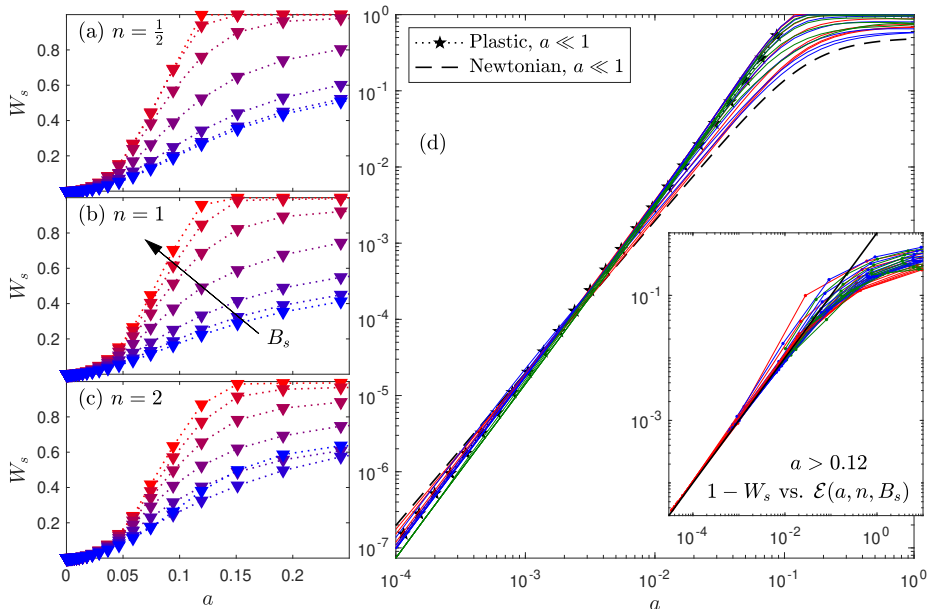


Figure 3: Locomotion speed  $W_s$  against wave amplitude  $a$  for a swimmer driven by sinusoidal waves in Herschel-Bulkley fluid with (a)  $n = \frac{1}{2}$ , (b)  $n = 1$  and (c)  $n = 2$ . Examples with  $B_s = 10^{-3}, 10^{-1}, \dots, 10^3$  are presented (colour coded by  $B_s$ , from blue to red). The data are replotted logarithmically over a wider range of  $a$  in (d), with  $n = \frac{1}{2}, 1$  and  $2$  shown in red, blue and green (respectively). The dashed line shows the result for Newtonian fluid (§3.1; eq. (3.2)), and the low-amplitude, plastic solutions of §3.2 are shown by the stars. The inset in (d) shows the data for  $a > 0.12$ , replotted as  $1 - W_s$  against the quantity  $\mathcal{E}(a, n, B_s)$  defined in (3.17); the solid (black) line shows the prediction  $1 - W_s = \mathcal{E}$  from §3.3.

284 For a sinusoidal wave profile, we then recover a result derived by Hancock:

$$285 \quad W_s = 1 - \int_{-\frac{1}{2}}^{\frac{1}{2}} \sqrt{1 + 4\pi^2 a^2 \cos^2 2\pi\zeta} \, d\zeta \left[ \int_{-\frac{1}{2}}^{\frac{1}{2}} \frac{1 + 8\pi^2 a^2 \cos^2 2\pi\zeta}{\sqrt{1 + 4\pi^2 a^2 \cos^2 2\pi\zeta}} d\zeta \right]^{-1}, \quad (3.2)$$

286 which gives  $W_s \sim 2\pi^2 a^2$  for small  $a$ . For a more general swimming wave, if  
 287  $\mathcal{X} = O(a)$  with  $a \ll 1$  we set  $\Phi = a\Phi_1 \sim a\mathcal{X}'_1$ ,  $Q = 1 + a^2 Q_2 = 1 + \frac{1}{2} a^2 \int_0^1 \Phi_1^2 d\zeta$   
 288 and  $W_s = a^2 W_2$ . Then,

$$289 \quad W_2 \sim \int_{-\frac{1}{2}}^{\frac{1}{2}} \Phi_1^2 d\zeta. \quad (3.3)$$

290

### 3.2. Low-amplitude plastic swimming

291 For low amplitudes,  $(\mathcal{X}, \Phi) = O(a)$  with  $a \ll 1$ , we once more assume that  $W_s =$   
 292  $a^2 W_2$ , which implies from (2.12)-(2.14) that  $V = O(a)$  and  $\tan \delta = O(a^{-1}) \gg 1$   
 293 everywhere except close to the extrema of the waveform. Near these extrema,  
 294 where  $\Phi$  becomes  $O(a^2)$ , we instead find that  $V = O(a^2)$  and  $\delta$  runs through  
 295 the entire range  $[-\frac{1}{2}\pi, \frac{1}{2}\pi]$ . Because  $V$  is always small, the low-amplitude limit  
 296 corresponds to  $Bi = O(a^{-n}) \gg 1$  or larger, if  $B_s$  is fixed (see (2.8)). This implies  
 297 that, provided  $B_s$  is non-zero, the relevant problem to consider for the force

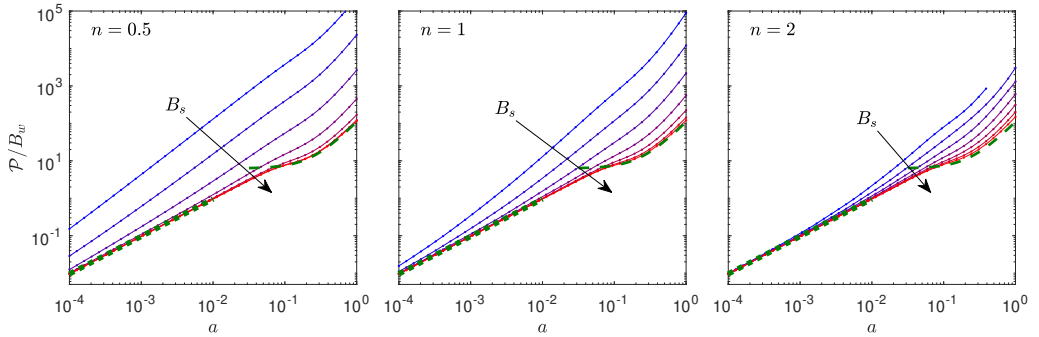


Figure 4: The scaled power  $\mathcal{P}/B_s$  expended by a sinusoidal swimmer for  $n = 0.5$ ,  $n = 1$  and  $n = 2$ , as labelled, and different  $B_s$  between  $10^{-3}$  and  $10^3$ , coloured from blue to red. Two  $n$ -independent limiting values are also shown (green): low-amplitude plastic swimming (dotted) with  $\mathcal{P}/B_s \sim 4f_x(\frac{1}{2}\pi)a \sim 16(\pi + 2\sqrt{2})a$ , and plastic sliding for moderate  $a$  and  $B_s \gg 1$  (dashed) with  $\mathcal{P}/B_s \sim 2\pi Q^2$  ( $\sim 32\pi a^2$  for large  $a$  with this gait).

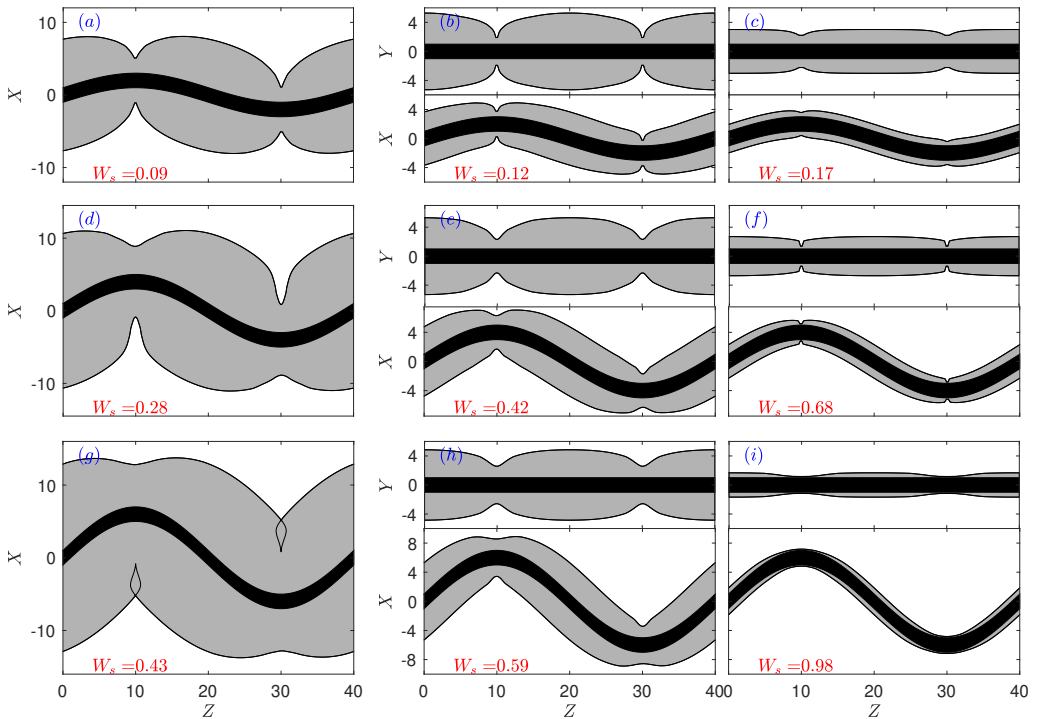


Figure 5: Yield surfaces (gray) around sinusoidal swimmer (black) with  $n = 1$ , wavelength  $\lambda/\mathcal{R} = 40$ , Bingham number  $B_s = 0.1$  (left column),  $B_s = 1$  (central column) and  $B_s = 100$  (right column), and amplitude (scaled by the wavelength)  $a = 0.05$  (upper row),  $a = 0.1$  (middle row) and  $a = 0.15$  (bottom row). The swimming speed is included in each panel (red). For the lowest  $B_s$ , only the plane of the wave is shown; higher  $B_s$  solutions also include the out-of-plane yield surfaces (upper plots in each panel).

298 components when  $a \ll 1$  is the plastic limit  $Bi \gg 1$ , with  $\delta$  not restricted to  
 299 small values (*i.e.* beyond the reorientation window, which is considered below in  
 300 §3.3 and Appendix A.2).

As discussed further in Appendix A.3, the force components in this plastic limit  
 take the form

$$F_x(\delta, n, Bi) \sim -Bif_x(|\delta|) \operatorname{sgn}(\delta) \quad F_z(\delta, n, Bi) \sim -Bif_z(|\delta|) \operatorname{sgn}(\cos \delta) \quad (3.4a, b)$$

301 for some functions  $f_x$  and  $f_z$ . These can be extrapolated from numerical results  
 302 for  $Bi \gg 1$ , as plotted in figure 8 in the Appendix. The important details for this  
 303 analysis are the limiting value  $f_x(\frac{1}{2}\pi) \equiv 4(\pi + 2\sqrt{2})$ , which can be extracted from  
 304 the perfectly plastic solution in that limit (Randolph & Houlsby 1984), and the  
 305 fact that a linear relationship

$$306 \quad f_z \approx A(\frac{1}{2}\pi - |\delta|), \quad (3.5)$$

307 provides a very good fit to the data across the whole range of  $\delta$ , with  $A \approx 4.4$ .

308 In view of (3.4), the constraint of vanishing drag (2.16) becomes

$$309 \quad A \int_{-\frac{1}{2}}^{\frac{1}{2}} (\frac{1}{2}\pi - |\delta|) d\zeta \sim a \int_{-\frac{1}{2}}^{\frac{1}{2}} f_x(|\delta|) |\mathcal{X}'_1| d\zeta, \quad (3.6)$$

310 which is independent of  $n$ . Here, we have again introduced  $\Phi = a\Phi_1 \sim a\mathcal{X}'_1$ .  
 311 The contributions to the integrals in (3.6) therefore arise from a “global” region,  
 312 where

$$313 \quad \Phi_1 = \mathcal{X}'_1 = O(1) \quad (\Phi = O(a)), \quad \delta \sim \frac{1}{2}\pi \operatorname{sgn}(\Phi_1) - \frac{a}{\Phi_1} (Q_2 + \frac{1}{2}\Phi_1^2 + W_2), \quad (3.7)$$

314 and from “local” regions around the waveform’s extrema, where

$$315 \quad \Phi_1 = \mathcal{X}'_1 = O(a) \quad (\Phi = O(a^2)), \quad \delta \sim \tan^{-1} \frac{\Phi_1}{a(Q_2 + W_2)}, \quad (3.8)$$

316 with  $Q = 1 + a^2Q_2$  and  $W_s = a^2W_2$  again, and we have assumed  $Q_2 + W_2 > 0$ . For  
 317 symmetrical waveforms,  $\mathcal{X}(\zeta) = -\mathcal{X}(-\zeta)$  and  $\mathcal{X}(\zeta) = \mathcal{X}(\frac{1}{4} - \zeta)$ , with extrema  
 318  $\mathcal{X}(\pm\frac{1}{4}) = \pm 1$ , the leading-order global contributions to the left and right-hand  
 319 sides of (3.6) are

$$320 \quad 2aA + 4aA(Q_2 + W_2) \int_0^{\frac{1}{4}-\varepsilon} \frac{d\zeta}{|\mathcal{X}'_1|} \quad \text{and} \quad 4af_x(\frac{1}{2}\pi) \quad (3.9)$$

321 respectively, where the splitting point  $\varepsilon$  is arbitrary but satisfies  $a \ll \varepsilon \ll 1$ . The  
 322 left-hand side has two local contributions, each equal to

$$323 \quad \frac{2aA(Q_2 + W_2)}{|\mathcal{X}''_1(\frac{1}{4})|} \int_0^Y (\frac{1}{2}\pi - \tan^{-1} y) dy, \quad Y = \frac{\varepsilon |\mathcal{X}''_1(\frac{1}{4})|}{a(Q_2 + W_2)}. \quad (3.10)$$

324 The integrals in (3.9) and (3.10) diverge logarithmically for  $\varepsilon \rightarrow 0$ . In writing  
 325 the full constraint, we therefore reorganize accordingly to arrive at the implicit  
 326 equation,

$$327 \quad (Q_2 + W_2) \left\{ J + \log \left[ \frac{|\mathcal{X}''_1(\frac{1}{4})|}{a(Q_2 + W_2)} \right] \right\} \sim \frac{f_x(\frac{1}{2}\pi) - \frac{1}{2}A}{A} |\mathcal{X}''_1(\frac{1}{4})|, \quad (3.11)$$

328 with

$$329 \quad J = \left[ |\mathcal{X}_1''(\tfrac{1}{4})| \int_0^{\frac{1}{4}-\varepsilon} \frac{d\zeta}{|\mathcal{X}_1'|} - \log \varepsilon^{-1} \right]_{\varepsilon \rightarrow 0} + 1. \quad (3.12)$$

330 For the sinusoidal waveform,  $J \approx 1.24$ , and the predictions from (3.11) are  
 331 included in figure 3(c). The results are surprisingly close to the corresponding  
 332 Newtonian prediction (§3.1), at least over the range of amplitudes and rheological  
 333 parameters used in the plot.

334 Equation (3.11) implies the presence of a potentially non-asymptotic  $\log a^{-1}$   
 335 term, which demands that  $W_s \rightarrow 1 - Q < 0$  for sufficiently small  $a$ . That is,  
 336 the swimmer must inevitably reverse direction at very low amplitudes. For the  
 337 sinusoidal waveform, the other factors in (3.11) conspire to arrange the speed  
 338 reversal to arise for  $a < 10^{-7}$ , far less than the range of amplitudes used in figure  
 339 3. Figure 6 shows results for different waveforms given either by the sawtooth-like  
 340 profile,

$$341 \quad \mathcal{X} = \sum_{j=1}^{16} \frac{(-1)^{j-1}}{8\pi^2(2j-1)^2} \sin[2\pi(2j-1)z], \quad (3.13)$$

342 or the smoothed square wave

$$343 \quad \mathcal{X} = \frac{\tanh(\varsigma \sin 2\pi\zeta)}{\tanh \varsigma}, \quad (3.14)$$

344 where  $\varsigma$  is a smoothing parameter. For the latter, the speed reversal is observed  
 345 for higher amplitudes provided the wave is sufficiently sharp (*i.e.*  $\varsigma$  large enough).  
 346 The fact that such strokes lead to the body swimming backwards implies a far  
 347 more significant rheological effect than noted for other complex fluids.

348 The dissipation rate associated with this low-amplitude plastic swimming can  
 349 be computed from (2.17), and reduces to the left-hand side of (3.6), up to a factor  
 350 of  $B_s$ , in this limit. Thus the dissipation is  $\mathcal{P} \sim 4af_x(\frac{1}{2}\pi)B_s \sim 16(\pi + 2\sqrt{2})aB_s$ ,  
 351 which, unlike the swimming speed, is independent of the swimming gait (see  
 352 figure 4) and scales linearly with the swimming amplitude  $a$ .

### 353 3.3. Plastic sliding or burrowing

354 The numerical results in figure 3 indicate that  $W_s$  approaches the wave speed  
 355 for sufficiently strong amplitudes and yield stresses. Our rationalization of this  
 356 observation is that at such parameter settings, the swimmer is able to exploit  
 357 the strong drag anisotropy for small  $\delta$  that is created by the narrow reorientation  
 358 window (discussed §2.1), in order to ‘slide’ through the medium without appre-  
 359 ciable drift. That is, each segment of the swimmer travels in essentially its local  
 360 axial direction, while the associated force on that segment can be directed at a  
 361 wide range of angles  $\delta_f$ . Suppose the swimmer is in this limit, with swimming  
 362 speed  $W_s = 1 - \epsilon$  and  $\epsilon \ll 1$ . Then,

$$363 \quad V \sim Q - \epsilon \cos \Phi \quad \& \quad \delta \sim \tan^{-1} \frac{\epsilon \sin \Phi}{Q} = \frac{\epsilon}{Q} \sin \Phi + \dots \quad (3.15)$$

364 Consequently,

$$365 \quad V^n (F_x \sin \Phi - F_z \cos \Phi) \sim \pi B_s \left[ 2 \cos \Phi - \frac{\epsilon \alpha_n B_s^{2/(n+1)}}{Q^{(3n+1)/(n+1)}} \sin^2 \Phi \right], \quad (3.16)$$

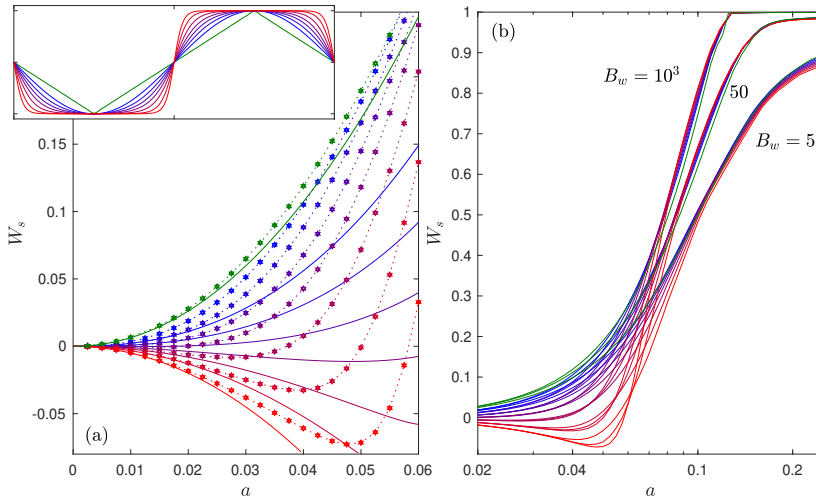


Figure 6: Swimming speed  $W_s$  against amplitude  $a$  for  $n = 1$  and waveforms given by the sawtooth profile (3.13) (green) or smoothed square wave (3.14) with  $\zeta = 0.01, 1, 1.5, 2, 2.75, 4$  and  $6$  (from blue to red). In (a), the low-amplitude range is shown, with the solid lines showing the solution of (3.11) and the stars indicating numerical solutions, all with  $B_s = 10^3$ . In (b), higher amplitudes are shown, together with more numerical solutions with  $B_s = 5$  (dashed) and  $50$  (solid). The inset in (a) displays the waveforms.

366 and the force-balance condition (2.16) demands that

$$367 \quad \epsilon \sim \mathcal{E}(a, n, B_s) \equiv \frac{2Q^{(3n+1)/(n+1)} B_s^{-2/(n+1)}}{\alpha_n I}, \quad I(a) = \int_0^1 \sin \Phi \tan \Phi \, d\zeta. \quad (3.17)$$

368 The convergence of  $1 - W_s$  to  $\mathcal{E}(a, n, B_s)$  is confirmed by the numerical solutions,  
369 as displayed in the inset of figure 3(c).

370 We expect this theory to hold as long as  $\delta$  lies within the narrow reorientation  
371 window, which requires  $\alpha_n Bi^{2/(n+1)} \delta \lesssim \beta$ , for some number  $\beta$  that we compute  
372 to be approximately 5 (see Appendix A.2 and figure 7). That is,

$$373 \quad |\delta| \lesssim \frac{\beta}{\alpha_n} Bi^{-2/(n+1)} \quad \implies \quad |\sin \Phi| \lesssim \frac{1}{2} \beta I(a) \approx \frac{5}{2} I(a), \quad (3.18)$$

374 independent of  $n$ , at every point along the swimmer's body. Given the specific si-  
375 nusoidal waveform in (2.1), this requirement reduces to  $a \gtrsim 0.12$ . Simultaneously,  
376 however, the swimming stroke should also fall within the plastic limit  $Bi \gg 1$ ,  
377 which restricts the range of possible values of  $B_s$ ; see the inset in figure 3(c),  
378 which demonstrates that  $\mathcal{E}(a, n, B_s)$  must be small.

379 As discussed in Appendix A.2, the flow around the cylindrical body in the  
380 narrow reorientation window becomes restricted to a viscoplastic boundary layer.  
381 Consequently, in this form of burrowing locomotion the deformations are strongly  
382 localized, and the swimmer slides along a conduit that is only slightly bigger than  
383 its body. This feature is illustrated by the yield surfaces in the final column of  
384 figure 5.

385 Note that the condition in (3.18) is relatively insensitive to the waveform, being  
386  $a \lesssim 0.11 - 0.12$  for a variety of different profiles, including the sinusoid, sawtooth  
387 (3.13) and smoothed square waves (3.14). This feature can be seen in figure 6(b),

388 where the speed data for  $B_s = 50$  and  $10^3$  approach the limit  $W_s \approx 1$  for such  
 389 amplitudes, independently of the waveform.

390 The dissipation rate or power output in this limit (2.17) reduces to  $\mathcal{P} \sim$   
 391  $2\pi Q^2 B_s$ , as was shown in figure 4. The factor of  $2\pi B_s$  follows from the need  
 392 to exceed the yield stress around the unit radius of the swimmer in this limit;  
 393 the dependence on  $Q$ , and thus on the swimming gait and amplitude, is simply  
 394 geometric. While it is inevitable that the power must increase with  $B_s$ , because  
 395 the swimmer needs to break the yield stress of the fluid to move, it is clear from the  
 396 results in figure 4 that this plastic sliding motion is relatively efficient: the scaled  
 397 power  $\mathcal{P}/B_s$  is substantially lower than that for lower  $B_s$ , which presumably  
 398 reflects the fact that the fluid must only be yielded in a narrow sheath around  
 399 the swimmer in this limit.

#### 400 4. Conclusion

401 In this paper, we have generalized a previous viscoplastic slender-body theory  
 402 (Hewitt & Balmforth 2018) and applied it to the problem of locomotion in a  
 403 complex fluid driven by a waving cylindrical filament. For low-amplitude waves,  
 404 the stresses become dominated by the yield stress and the problem reduces to that  
 405 for swimming through a perfectly plastic medium (more specifically, a rigid-plastic  
 406 material with the von Mises yield condition, given our use of the Herschel-Bulkley  
 407 viscoplastic constitutive law). A curious feature of this limit is that the swimming  
 408 speed must become negative (i.e. the swimmer moves in the same direction as the  
 409 wave) if the wave amplitude is sufficiently small relative to its wavelength. This  
 410 phenomenon requires very small amplitudes and results in extremely small speeds  
 411 when the swimmer employs a sinusoidal waveform, but is more pronounced with  
 412 a square-wave-like swimming gait.

413 When wave amplitudes are not so small and for larger yield stresses, a key  
 414 feature of viscoplastic slender-body flow comes into play: unless the motion is  
 415 very closely directed along the axis of each cylindrical filament of the body,  
 416 significant sideways forces arise; only in almost axial motion does the drag force  
 417 become closely aligned with the direction of motion. In the locomotion problem,  
 418 the appreciable anisotropy in the drag that is set up across the narrow angular  
 419 ‘reorientation’ window allows the swimmer to ‘burrow’ through the medium by  
 420 sliding along its axis at nearly the wave speed. An analysis of this limit of plastic  
 421 sliding or burrowing indicates that the wave amplitude need not be particularly  
 422 large (about one eighth of the wavelength), and this result is not particularly  
 423 sensitive to the specific waveform of the swimmer.

424 Burrowing of this kind has been observed experimentally for various worms  
 425 that naturally inhabit wet sediments or soils (Dorgan *et al.* 2013; Kudrolli &  
 426 Ramirez 2019): these worms are found to travel along their axis at a swimming  
 427 speed essentially equal to the wave speed (that is, a dimensionless wave speed or  
 428 ‘wave efficiency’ of 1). Measurements on the polychaete worm *Armandia brevis*  
 429 by Dorgan *et al.* (2013) revealed scaled amplitudes of  $a \approx 0.18$ , consistent with  
 430 our theoretical prediction for being in the burrowing limit. While the relevance  
 431 of plasticity in the ambient material to enable this form of locomotion has long  
 432 been recognised (Dorgan *et al.* 2013; Dorgan 2015), the present study provides  
 433 the first theoretical framework in which to describe such slender motion through  
 434 a viscoplastic ambient.

435 The ability of a swimmer to exploit a sliding or burrowing mechanism to

436 locomote emphasizes how the swimmer's body follows a conduit through the  
 437 fluid. Although we have made no explicit inclusion of the ends of the slender  
 438 body here, this style of locomotion clearly places extra focus on the dynamics  
 439 of the head where the conduit is initiated. Opening mechanics of the conduits  
 440 for worms in wet granular media and viscoelastic solids have previously been  
 441 explored (Dorgan *et al.* 2005, 2007). Future biological application of the model  
 442 presented here should pay closer attention to the dynamics at the head.

## 443 Appendix A. Analysis

### 444 A.1. Formulation

445 In this appendix we quote the dimensionless governing equations used Hewitt &  
 446 Balmforth (2018), in which lengths are scaled by cylinder radius  $\mathcal{R}$ , velocities  
 447 by the translation speed  $\mathcal{U}$  of the cylinder and stresses by  $K(\mathcal{U}/\mathcal{R})^n$ . In the  
 448 cylindrical polar coordinates system  $(r, \theta, z)$  associated with the centreline,

$$449 \quad \frac{1}{r} \frac{\partial}{\partial r} (ru) + \frac{1}{r} \frac{\partial v}{\partial \theta} = 0, \quad (\text{A } 1)$$

$$450 \quad \frac{\partial p}{\partial r} = \frac{1}{r} \frac{\partial}{\partial r} (r\tau_{rr}) + \frac{1}{r} \frac{\partial}{\partial \theta} \tau_{r\theta} - \frac{\tau_{\theta\theta}}{r}, \quad \frac{1}{r} \frac{\partial p}{\partial \theta} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \tau_{r\theta}) + \frac{1}{r} \frac{\partial}{\partial \theta} \tau_{\theta\theta}, \quad (\text{A } 2a, b)$$

$$451 \quad 0 = \frac{1}{r} \frac{\partial}{\partial r} (r\tau_{rz}) + \frac{1}{r} \frac{\partial}{\partial \theta} \tau_{\theta z}, \quad (\text{A } 3)$$

452 where  $\tau_{ij}$  is the deviatoric stress tensor, and subscripts indicate tensor compo-  
 453 nents. The Herschel–Bulkley law relates the stress to the strain rate  $\dot{\gamma}_{ij}$ ,

$$454 \quad \tau_{ij} = \left( \dot{\gamma}^{n-1} + \frac{Bi}{\dot{\gamma}} \right) \dot{\gamma}_{ij} \quad \text{for} \quad \tau > Bi, \quad (\text{A } 4)$$

455 and  $\dot{\gamma}_{ij} = 0$  otherwise, where the strain rate is related to the velocity field by

$$456 \quad \{\dot{\gamma}_{ij}\} = \begin{pmatrix} 2u_r & v_r + (u_\theta - v)/r & w_r \\ v_r + (u_\theta - v)/r & 2(v_\theta + u)/r & w_\theta/r \\ w_r & w_\theta/r & 0 \end{pmatrix}, \quad (\text{A } 5)$$

457 subscripts of  $r$  and  $\theta$  on the velocity components denote partial derivatives, and  
 458  $\dot{\gamma} = \sqrt{\frac{1}{2} \sum_{ij} \dot{\gamma}_{ij} \dot{\gamma}_{ij}}$  and  $\tau = \sqrt{\frac{1}{2} \sum_{ij} \tau_{ij} \tau_{ij}}$  denote the tensor second invariants.

459 With the scaling of the variables indicated in the main text, the cylinder  
 460 translates in the  $(x, z)$ -plane with unit dimensionless speed at an angle  $\delta$  to the  
 461  $z$ -axis (figure 1b). We therefore impose  $(u, v, w) = (\cos \theta \sin \delta, -\sin \theta \sin \delta, \cos \delta)$   
 462 at  $r = 1$ . In the far field, the stresses must eventually fall below the yield stress  
 463 and the fluid must plug up, such that  $(u, v, w) \rightarrow (0, 0, 0)$ .

464 On the surface of the cylinder ( $r = 1$ ), the fluid exerts the force  $(\tau_{rr}, \tau_{r\theta}, \tau_{rz})|_{r=1}$ ,  
 465 leading to a net drag per unit length of  $\hat{\mathbf{x}}F_x + \hat{\mathbf{z}}F_z$ , with

$$466 \quad \begin{bmatrix} F_x \\ F_z \end{bmatrix} = \oint \begin{bmatrix} (-p + \tau_{rr}) \cos \theta - \tau_{r\theta} \sin \theta \\ \tau_{rz} \end{bmatrix}_{r=1} d\theta = \oint \begin{bmatrix} 2\tau_{rr} \cos \theta + (r\tau_{r\theta})_r \sin \theta \\ \tau_{rz} \end{bmatrix}_{r=1} d\theta, \quad (\text{A } 6)$$

467 We solve these equations numerically using an Augmented Lagrangian finite-  
 468 difference scheme, employing a Fourier transform in the azimuthal direction. This

469 scheme differs from that used in Hewitt & Balmforth (2018) only by the inclusion  
 470 of a non-linear viscosity to capture shear thinning or thickening for  $n \neq 1$ , and  
 471 so is not described in detail here.

### 472 A.2. Axial and nearly axial motion: force reorientation

473 For purely axial motion, we have

$$474 \quad r\tau_{rz} = -r_p Bi \quad \& \quad \tau_{rz} = -Bi - (-w_r)^n, \quad (\text{A } 7)$$

475 where  $r = r_p$  denotes the (axisymmetrical) yield surface for which  $\tau_{rz} = -Bi$   
 476 ( $w_r < 0$ ), given that  $w = 1$  on  $r = 1$  and decreases to  $w = 0$  with  $w_r = 0$  at  
 477  $r = r_p$ . Hence,

$$478 \quad w = 1 - \int_1^r \left[ (r_p - r) \frac{Bi}{r} \right]^{\frac{1}{n}} dr. \quad (\text{A } 8)$$

479 In the limit of a thin-gap limit, for  $Bi \gg 1$ , we have  $r = 1 + Bi^{-1/(1+n)}\xi$  and

$$480 \quad w_\xi \sim -(\xi_p - \xi)^{1/n}, \quad w \sim \frac{n}{n+1}(\xi_p - \xi)^{(n+1)/n} \quad \& \quad \xi_p = \left(1 + \frac{1}{n}\right)^{\frac{n}{n+1}}. \quad (\text{A } 9)$$

481 where  $\xi = \xi_p$  denotes the rescaled yield surface. Because the axial shear stress  
 482  $\tau_{rz} \sim -Bi$  in this limit, the axial force is given by  $F_z \sim -2\pi Bi$ , corresponding  
 483 to the perfectly plastic limit for a cylinder translating along its axis.

484 If, instead, the motion is nearly, but not exactly, aligned with the axis, and  
 485  $Bi \gg 1$ , the sideways translation is largely contained within  $1 < r < r_p$  or  
 486  $0 < \xi < \xi_p$ , and the leading-order shear rate is  $\dot{\gamma} \sim (\xi_p - \xi)^{1/n}$ . The lateral force  
 487 balances demand that

$$488 \quad \frac{\partial p}{\partial \xi} \sim 0, \quad \frac{\partial p}{\partial \theta} \sim Bi^{\frac{1}{n+1}} \frac{\partial \tau_{r\theta}}{\partial \xi} \sim Bi^{\frac{n+2}{n+1}} \frac{\partial}{\partial \xi} \left[ \frac{v_\xi}{(\xi_p - \xi)^{1/n}} \right], \quad (\text{A } 10)$$

489 since

$$490 \quad \tau_{r\theta} \sim \frac{Bi v_r}{|w_r|} \sim \frac{Bi v_\xi}{(\xi_p - \xi)^{1/n}}. \quad (\text{A } 11)$$

491 But  $v = O(\delta)$  at  $\xi = 0$  and  $v(\xi_p, \theta) = 0$ , and so

$$492 \quad v \sim -\frac{n\xi(\xi_p - \xi)^{1+1/n}}{2n+1} Bi^{-\frac{n+2}{n+1}} \frac{\partial p}{\partial \theta}, \quad (\text{A } 12)$$

493 as long as  $\delta \ll O(Bi^{-\frac{n+2}{n+1}} p)$ , which turns out to be the case.

494 The continuity relation implies a radial velocity  $u$  given by

$$495 \quad u_\xi \sim Bi^{-\frac{1}{n+1}} v_\theta \sim \frac{n\xi(\xi_p - \xi)^{1+1/n}}{2n+1} Bi^{-\frac{n+3}{n+1}} \frac{\partial^2 p}{\partial \theta^2}, \quad (\text{A } 13)$$

496 or

$$497 \quad u \sim -\frac{n^2(\xi_p - \xi)^{2+1/n} [n\xi_p + (2n+1)\xi]}{(2n+1)^2(3n+1)} Bi^{-\frac{n+3}{n+1}} \frac{\partial^2 p}{\partial \theta^2}, \quad (\text{A } 14)$$

498 if  $u = 0$  at  $\xi = \xi_p$ . But we also have that  $u = \delta \cos \theta$  at  $\xi = 0$ , and so

$$499 \quad p \sim \frac{(2n+1)^2(3n+1)}{n^3 \xi_p^{3+1/n}} Bi^{\frac{n+3}{n+1}} \delta \cos \theta \quad (\text{A } 15)$$



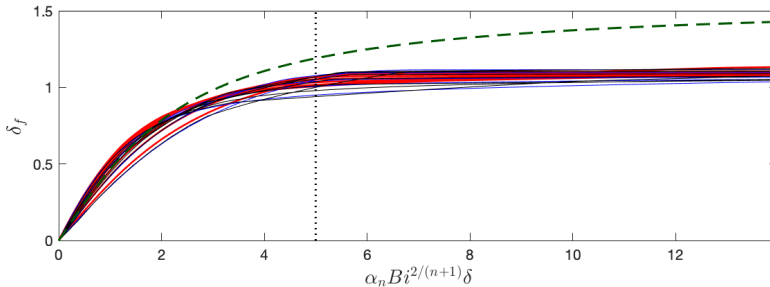


Figure 7: The force direction  $\delta_f$  against  $\alpha_n Bi^{\frac{2}{n+1}} \delta$  for  $n = \frac{1}{2}$  (blue),  $n = 1$  (black) and  $n = 2$  (red), with  $Bi = 2^{j+n}$  and  $j = 3, 4, \dots, 10$ . The thick (green) dashed lines shows the prediction  $\delta_f \sim \tan^{-1}(\frac{1}{2}\alpha_n Bi^{\frac{2}{n+1}} \delta)$ . The vertical dotted line at  $\alpha_n Bi^{\frac{2}{n+1}} \delta = 5$  roughly locates the window of strong force anisotropy.

500 Finally,

$$501 \quad F_x \sim - \oint p \cos \theta \, d\theta \sim -\alpha_n \pi Bi^{\frac{n+3}{n+1}} \delta, \quad (\text{A } 16)$$

502 where  $\alpha_n$  is defined in (2.7). The transverse force therefore becomes dominated  
 503 by the axial force  $F_z = O(Bi)$  only when  $\delta \ll O(Bi^{-2/(n+1)})$ . The collapse of the  
 504 force direction  $\delta_f$  when plotted against  $\alpha_n Bi^{\frac{2}{n+1}} \delta$  for different  $n$  (and large  $Bi$ )  
 505 is illustrated in figure 7; also included is the prediction  $\delta_f \sim \tan^{-1}(\frac{1}{2}\alpha_n Bi^{\frac{2}{n+1}} \delta)$   
 506 based on the preceding results.

### 507 A.3. Plastic solutions outside the narrow window of force reorientation

508 The nearly plastic solutions outside the narrow window where the force becomes  
 509 reorientated are illustrated in figure 8. These solutions are characterized by a  
 510 region of almost plastic deformation surrounding the cylinder over distances of  
 511 order the radius. The perfectly plastic flow is buffered by viscoplastic shear layers  
 512 where the viscous stress remains important, and the two shear stress components  
 513  $\tau_{nz}$  and  $\tau_{sn}$  dominate the stress tensor. Here,  $s$  denotes the arc length along the  
 514 centerline of the boundary layer and  $n$  is the transverse coordinate in the plane  
 515 of the cylinder's cross-section. Of key importance is the shear layer against the  
 516 cylinder, which transmits the fluid drag.

517 In the plastic limit,  $Bi \rightarrow \infty$ , the boundary layers become infinitely thin and  
 518 feature jumps in tangential velocity. The corresponding plastic solution satisfies  
 519 the slip conditions,

$$520 \quad \begin{pmatrix} \tau_{nz} \\ \tau_{sn} \end{pmatrix} = - \frac{Bi}{\sqrt{V^2 + W^2}} \begin{pmatrix} W \\ V \end{pmatrix}, \quad (\text{A } 17)$$

521 where  $V$  and  $W$  denote the jumps in the tangential velocity components, which  
 522 can be extracted from a boundary-layer analysis like that used above. It does  
 523 not seem possible to analytically find the limiting plastic solution for general  $\delta$   
 524 (the method of sliplines, which proves useful in the purely two-dimensional flow  
 525 problem, is not available here). For  $\delta \rightarrow \frac{1}{2}\pi$ , the transverse motion of the cylinder  
 526 dominates the axial translation, which enters as a regular perturbation of the  
 527 two-dimensional problem solved by Randolph & Housby (1984). In particular,  
 528 one may calculate the transverse drag  $f_x(\frac{1}{2}\pi)$  as quoted in §3.2. We also observe

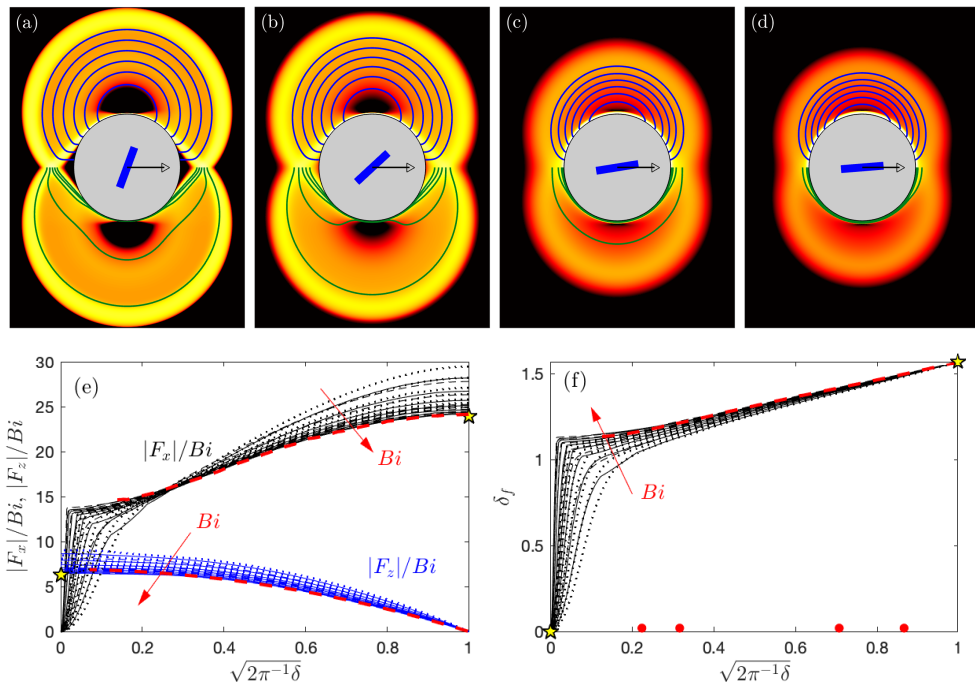


Figure 8: Numerical solutions showing the deformation rate invariant  $\dot{\gamma}$  (as a density over the  $(x, y)$ -plane) and flow pattern (which has vertical symmetry; here showing streamlines of the planar velocity field  $u\hat{x} + v\hat{y}$  in the upper half plane (blue); and contours of constant axial speed  $w$  in the lower half plane (green)) around a moving cylinder for  $Bi = 1024$  and  $n = 1$ . The angle of inclination, shown pictorially in blue at the centre of each cylinder, is (a)–(d)  $2\pi^{-1}\delta = [\frac{3}{4}, \frac{1}{2}, 0.1, 0.05]$ . Panels (e) and (f) show the scaled drag components  $(|F_x|, |F_z|)/Bi$  and direction  $\delta_f$  against  $\sqrt{2\pi^{-1}\delta}$  for  $n = \frac{1}{2}$  (dashed),  $n = 1$  (solid) and  $n = 2$  (dotted), with  $Bi = 2^{j+n}$  and  $j = 3, 4, \dots, 10$ . The thick (red) dashed lines show the approximations  $f_x(|\delta|)$  (extrapolated from the numerical results) and  $f_z(|\delta|) = A(\frac{1}{2}\pi - |\delta|)$  with  $A = 4.4$ , as quoted in §3.2, and the stars indicate the analytical results for pure axial or transverse motion. The (red) points in (f) indicate the motion angles used for (a)–(d).

529 that the linear approximation (3.5) for  $f_z$  works well nearly all the way up to the  
530 reorientation window.

531 The limit  $Bi \gg 1$  and  $Bi^{-2/(n+1)} \ll \delta \ll 1$  is somewhat curious, as it  
532 corresponds to the sliding of a cylinder in the direction of its length through  
533 a perfectly plastic medium with an arbitrarily small (as long as  $Bi$  can be  
534 taken sufficiently large) but non-zero sideways translation. Associated with this  
535 motion is a finite transverse drag (the force angle approaches a value close to  
536  $\frac{1}{3}\pi$ ) and a flow pattern like that in figure 8(d) (save for the viscoplastic boundary  
537 layers, which shrink to slip surfaces as  $Bi \rightarrow \infty$ ). Of course, the transverse drag  
538 eventually declines, and the flow pattern is consumed by the boundary layer of the  
539 axial velocity, as the motion aligns with the axis within the reorientation window.  
540 However, this requires a viscous effect (*i.e.* finite  $Bi$ ). The origin of this curious  
541 feature is in the perfectly plastic solution itself: for pure axial motion, there is no  
542 deformation of the fluid, with the translation of the cylinder permitted by slip  
543 along its surface. But sideways translation cannot be accommodated by this style

544 of motion, no matter how small, which instead demands plastic deformation over  
 545 a finite region.

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