

**Lior Silberman's Math 539: Problem Set 3 (due 30/3/2016)**

**Convergence Dirichlet Series**

1. (Convergence of Dirichlet series) Let  $D(s) = \sum_{n \geq 1} a_n n^{-s}$  be a formal Dirichlet series. We will study the convergence of this series as  $s$  varies in  $\mathbb{C}$ .
  - (a) Suppose that  $D(s)$  converges absolutely at some  $s_0 = \sigma_0 + it$ . Show that  $D(s)$  converges uniformly absolutely in the closed half-plane  $\Re(s) = \sigma \geq \sigma_0$ .
  - (b) Conclude that there is an *abscissa of absolute convergence*  $\sigma_{ac} \in [-\infty, +\infty]$  such that one of the following holds: (1) ( $\sigma_{ac} = \infty$ )  $D(s)$  does not converge absolutely for any  $s \in \mathbb{C}$ ; (2) ( $\sigma_{ac} \in (-\infty, +\infty)$ )  $D(s)$  converges absolutely exactly in the half-plane  $\sigma > \sigma_{ac}$  or  $\sigma \geq \sigma_{ac}$ ; (3) ( $\sigma_{ac} = -\infty$ )  $D(s)$  converges absolutely in  $\mathbb{C}$ . In cases (2),(3) the convergence is uniform in any half-plane whose closure is a proper subset of the domain of convergence.
  - (c) Suppose that  $D(s)$  converges at some  $s_0$ . Show that  $D(s)$  converges in the open half-plane  $\sigma > \sigma_0$ , locally uniformly in every half-plane of the form  $\sigma \geq \sigma_1 > \sigma_0$ , and that  $D(s)$  converges absolutely in the half-plane  $\sigma > \sigma_0 + 1$ .
  - (d) Conclude that there is an *abscissa of convergence*  $\sigma_c \in [-\infty, \infty]$  such that one of the following holds: (1) ( $\sigma_c = \infty$ )  $D(s)$  does not converge for any  $s \in \mathbb{C}$ ; (2) ( $\sigma_c \in (-\infty, +\infty)$ )  $D(s)$  converges in the open half-plane  $\sigma > \sigma_c$  and diverges in the open half-plane  $\sigma < \sigma_c$ ; the convergence is locally uniform in any half-plane  $\sigma \geq \sigma_1 > \sigma_c$  (3) ( $\sigma_c = -\infty$ )  $D(s)$  converges absolutely in  $\mathbb{C}$ . In cases (2) the convergence is uniform in any half-plane. Furthermore,  $\sigma_c$  and  $\sigma_{ac}$  are either both  $-\infty$ , both  $+\infty$ , or both finite, and in the latter case  $\sigma_c \leq \sigma_{ac} \leq \sigma_c + 1$ .
2. Let  $D(s)$  have abscissa of absolute convergence  $\sigma_{ac}$ .
  - (a) Suppose  $\sigma_{ac} \geq 0$ . Show that  $\sum_{n \leq x} |a_n| \ll_{\varepsilon} x^{\sigma_{ac} + \varepsilon}$ .
  - (b) Suppose  $\sigma_{ac} < 1$ . Show that  $\sum_{n > x} |a_n| n^{-1} \ll_{\varepsilon} x^{\sigma_{ac} + \varepsilon}$ .
3. (Convergence of sums and products) Let  $D_1(s) = \sum_{n \geq 1} a_n n^{-s}$  and  $D_2(s) = \sum_{n \geq 1} b_n n^{-s}$ , and let  $(D_1 + D_2)(s) = \sum_{n \geq 1} (a_n + b_n) n^{-s}$ ,  $(D_1 \cdot D_2)(s) = \sum_{n \geq 1} c_n n^{-s}$  where  $c = a * b$  is the Dirichlet convolution.
  - (a) Show that the domain of absolute convergence of  $D_1 + D_2$  and  $D_1 D_2$  is at least the intersection of the domains of absolute convergence of  $D_1, D_2$ .
  - (\*\*b) (Mertens) Suppose that  $D_1, D_2$  have abscissa of convergence  $\sigma_c$ . Show that  $D_1 D_2$  has abscissa of convergence at most  $\sigma_c + \frac{1}{2}$ .
4. (Uniqueness of Dirichlet series) Suppose that  $D(s) = \sum_{n \geq 1} a_n n^{-s}$  converges somewhere.
  - (a) Suppose that  $a_n = 0$  if  $n < N$  and  $a_N \neq 0$ . Show that  $\lim_{\Re(s) \rightarrow \infty} N^s D(s) = a_N$ .
  - (b) Suppose that  $D_2(s) = \sum_{n \geq 1} b_n n^{-s}$  also converges somewhere, and that  $D(s_k) = D_2(s_k)$  for  $\{s_k\}$  in the common domain of convergence such that  $\lim_{k \rightarrow \infty} \Re(s_k) = \infty$ . Show that  $a_n = b_n$  for all  $n$ .

5. (Landau's Theorem; proof due to K. Kedlaya) Let  $D(s) = \sum_{n \geq 1} a_n n^{-s}$  have non-negative coefficients.
- Show that  $\sigma_c = \sigma_{ac}$  for this series.
  - Suppose that  $D(s)$  extends to a holomorphic function in a small ball  $|s - \sigma_c| < \varepsilon$ . Show that if  $s < \sigma_c < \sigma$  and  $s, \sigma$  are close enough to  $\sigma_c$  then  $s$  is in the domain of convergence of the Taylor expansion of  $D$  at  $\sigma$ .
  - Using that  $D^{(k)}(\sigma) = \sum_{n=1}^{\infty} a_n (-\log n)^k n^{-\sigma}$ , write  $D(s)$  as the sum of a two-variable series with positive terms.
  - Changing the order of summation, show that  $D(s)$  converges at  $s$ , a contradiction to the definition of  $\sigma_c$ .
  - Obtain *Landau's Theorem*: if  $D(s)$  has positive coefficients, has abscissa of convergence  $\sigma_c$ , and agrees with a holomorphic function in some punctured neighbourhood of  $\sigma_c$  then the singularity at  $s = \sigma_c$  is not removable.

### Hadamard's Three-Line Theorem and the convexity bound

6. Let  $f$  be continuous in the strip  $a \leq \Re(z) \leq b$ , holomorphic in the interior of the strip. Suppose that  $|f(x + iy)| = e^{o(y^2)}$  as  $y \rightarrow \infty$  in the strip.
- (Simple version) Suppose that  $M_0 = \sup\{|f(z)| : \Re(z) = a\}$  and  $M_1 = \sup\{|f(z)| : \Re(z) = b\}$  as finite. Show that for  $x_t = (1-t)a + tb$  ( $t \in [0, 1]$ ) we have

$$|f(x_t + iy)| \leq M_0^{1-t} M_1^t.$$

(Hint: apply the maximum principle to the function

$$g_\varepsilon(z) = f(z) M_0^{\frac{z-b}{b-a}} M_1^{\frac{z-a}{a-b}} e^{-\varepsilon z^2}.$$

- ( $f$  growing) Suppose now that  $|f(a + iy)| \ll |y|^{m_0}$ ,  $|f(b + iy)| \ll |y|^{m_1}$  where  $m_0, m_1 \geq 0$ . Show that

$$|f(x_t + iy)| \ll |y|^{m_t}$$

where  $m_t = (1-t)m_0 + tm_1$ . (Hint: multiply and divide by functions of the form  $\Gamma(\alpha z + \beta)$  for appropriate  $\alpha, \beta$ ).

7. (Application to functional analysis) Let  $(\Omega, \mu)$  be a measure space and  $1 < p, q < \infty$  satisfy  $\frac{1}{p} + \frac{1}{q} = 1$ . Show that for  $f \in L^p(\mu)$ ,  $g \in L^q(\mu)$  we have *Hölder's inequality*,

$$\int |fg| d\mu \leq \|f\|_p \|g\|_q.$$

(Hint: Consider  $F(z) = \int_\Omega |f(\omega)|^{pz} |g(\omega)|^{q(1-z)} d\mu(\omega)$  on the strip  $0 \leq x \leq 1$ ).

## Counting with Dirichlet Series

The following problems apply Theorem 113 of the notes.

8. PS1, problems 3, 4.

(a) Estimate (with error terms)  $\sum_{n \leq x} \phi(n)$ ,  $\sum_{n \leq x} \frac{\phi(n)}{n}$ ,  $\sum_{n \leq x} \frac{\phi(n)}{n^2}$ .

(b) Show  $\frac{1}{x} \sum_{n \leq x} d_k(n) = P_k(\log x) + O(x^{-\frac{1}{k}})$  where  $P_k$  is a polynomial of degree  $k - 1$ .

(c) Show that  $\sum_{n \leq x} \sigma_\alpha(n) = Cx^{1+\alpha} + O(x^\beta)$  for some  $\beta < \alpha$ .

9. PS1, problem 8,9.

(a) Let  $a_p \in \mathbb{C}$  satisfy  $|a_p| \leq p^{-\sigma}$  and let  $f(n) \stackrel{\text{def}}{=} \prod_{p|n} (1 + a_p)$ . Show that  $\sum_{n \leq x} f(n) = cx + O(x^{1-\sigma})$  where  $c = \prod_p \left(1 + \frac{a_p}{p}\right)$ .

(b)  $\mathcal{A}_n$  denote a set of representative for the isomorphism classes of abelian groups of order  $n$ ,  $A_n = \#\mathcal{A}_n$  the number of isomorphism classes. Show that  $\sum_{n \leq x} A_n = cx + O(x^{1/2})$  where  $c = \prod_{k=2}^{\infty} \zeta(k)$ .

10. (2014 Miklós Schweitzer competition) For  $n \geq 2$  let  $f(n)$  be the number of representations of  $n$  as a product of an ordered tuple of integers at least 2 and set  $f(1) = 1$ . Show that

$$\sum_{n \leq x} f(n) = Cx^\alpha + \text{lower order},$$

where  $\alpha > 1$  satisfies  $\zeta(\alpha) = 2$ .