

# A POINTWISE INEQUALITY FOR THE FOURTH ORDER LANE-EMDEN EQUATION

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ABSTRACT. We prove that the following pointwise inequality holds

$$-\Delta u \geq \sqrt{\frac{2}{(p+1) - c_n}} |x|^{\frac{a}{2}} u^{\frac{p+1}{2}} + \frac{2}{n-4} \frac{|\nabla u|^2}{u} \quad \text{in } \mathbb{R}^n$$

where  $c_n := \frac{8}{n(n-4)}$ , for positive bounded solutions of the fourth order Hénon equation that is

$$\Delta^2 u = |x|^a u^p \quad \text{in } \mathbb{R}^n$$

for some  $a \geq 0$  and  $p > 1$ . Motivated by the Moser's proof of the Harnack's inequality as well as Moser iteration type arguments in the regularity theory, we develop an iteration argument to prove the above pointwise inequality. As far as we know this is the first time that such an argument is applied towards constructing pointwise inequalities for partial differential equations. An interesting point is that the coefficient  $\frac{2}{n-4}$  also appears in the fourth order  $Q$ -curvature and the Paneitz operator. This in particular implies that the scalar curvature of the conformal metric with conformal factor  $u^{\frac{4}{n-4}}$  is positive.

## 1. INTRODUCTION

We are interested in proving a priori pointwise estimate for positive solutions of the following fourth order Hénon equation

$$(1.1) \quad \Delta^2 u = |x|^a u^p \quad \text{in } \mathbb{R}^n$$

where  $p > 1$  and  $a \geq 0$ . Let us first mention that for the case  $a = 0$ , it is known that (1.1) only admits  $u = 0$  as a nonnegative solution when  $p$  is a subcritical exponent that is  $1 < p < \frac{n+4}{n-4}$  when  $n \geq 5$  and  $1 < p$  when  $n \leq 4$ . Moreover, for the critical case  $p = \frac{n+4}{n-4}$  all entire positive solutions are classified. See [20, 29]. This is a counterpart of the standard Liouville theorem of Gidas-Spruck in [17, 18] for the second order Lane-Emden equation

$$(1.2) \quad -\Delta u = u^p \quad \text{in } \mathbb{R}^n$$

stating that  $u = 0$  is the only nonnegative solution for (1.2) when  $p$  is a subcritical exponent that is  $1 < p < \frac{n+2}{n-2}$  when  $n \geq 3$ . Note also that for the fourth order Hénon equation, it is conjectured that  $u = 0$  is the only nonnegative solution of (1.1) when  $p$  is a subcritical exponent that is when  $1 < p < \frac{n+4+2a}{n-4}$  and  $n \geq 5$ , see [15]. Therefore, throughout this note, when we are dealing with (1.1), we assume

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*Key words and phrases.* Semilinear elliptic equations, a priori pointwise estimate, Moser iteration type arguments, elliptic regularity.

The first two authors are supported by NSERC grants.

that  $p > \frac{n+4+2a}{n-4}$  and  $n \geq 5$ . For more information, see [15, 28] and references therein.

Pointwise estimates have had tremendous impact on the theory of elliptic partial differential equations. In what follows we list some of the celebrated pointwise inequalities for certain semilinear elliptic equations and systems. These inequalities have been used to tackle well-known conjectures and open problems. The following inequality by Modica [21] has been one of the main techniques to solve the De Giorgi's conjecture (1978) for the Allen-Cahn equation and to analyze various semilinear equations and problems.

**Theorem 1.1.** (Modica [21], 1985) *Let  $F \in C^2(\mathbb{R})$  be a nonnegative function and  $u$  be a bounded entire solution of*

$$(1.3) \quad \Delta u = F'(u) \quad \text{in } \mathbb{R}^n.$$

*Then*

$$(1.4) \quad |\nabla u|^2 \leq 2F(u) \quad \text{in } \mathbb{R}^n.$$

For the specific case  $F(u) = \frac{1}{4}(1 - u^2)^2$ , equation (1.3) is known as the Allen-Cahn equation. Note also that Caffarelli et al. in [3] extended this inequality to quasilinear equations. We refer interested readers to [4, 9–13] regarding pointwise gradient estimates and certain improvements of (1.4). For the fourth order counterpart of (1.3) with an arbitrary nonlinearity, a general inequality of the form (1.4) is not known. However, for a particular nonlinearity known as the fourth order Lane-Emden equation, i.e.

$$(1.5) \quad \Delta^2 u = u^p \quad \text{in } \mathbb{R}^n$$

it is shown by Wei and Xu, as Theorem 3.1 in [29], that the negative Laplacian of the positive solutions is non-negative that is  $-\Delta u \geq 0$  in  $\mathbb{R}^n$ . Set  $v = -\Delta u$  and from the fact that  $-\Delta u \geq 0$  we can consider (1.5) as a special case (when  $q = 1$ ) of the Lane-Emden system that is

$$(1.6) \quad \begin{cases} -\Delta u &= v^q \text{ in } \mathbb{R}^n, \\ -\Delta v &= u^p \text{ in } \mathbb{R}^n, \end{cases}$$

where  $p \geq q \geq 1$ . Note that there is a significance difference between system (1.6) and equation (1.5) in the sense that this system has Hamiltonian structure while the equation has gradient structure, see [6, 7, 27] and references therein. This system has been of great interest at least in the past two decades. In particular, the Lane-Emden conjecture stating that  $u = v = 0$  is the only nonnegative solution for this system where  $\frac{1}{p+1} + \frac{1}{q+1} > \frac{n-2}{n}$  has been studied extensively and various methods and techniques are developed to tackle this conjecture. Among these methods, Souplet [28] proved the following pointwise inequality for solutions of (1.6) and then used it to prove the Lane-Emden conjecture in four dimensions. Note that the particular case  $1 < p < 2$  is done by Phan in [25].

**Theorem 1.2.** (Souplet [28], 2009) *Let  $u$  and  $v$  be nonnegative solutions of (1.6). Then the following inequality holds*

$$(1.7) \quad \frac{u^{p+1}}{p+1} \leq \frac{v^{q+1}}{q+1} \quad \text{in } \mathbb{R}^n.$$

Applying this theorem, the following pointwise inequality holds for nonnegative solutions of (1.5)

$$(1.8) \quad -\Delta u \geq \sqrt{\frac{2}{p+1}} u^{\frac{p+1}{2}} \quad \text{in } \mathbb{R}^n.$$

Note also that Phan in [25], with similar methods provided in [28], extended the pointwise inequality (1.7) to nonnegative solutions of the Hénon-Lane-Emden system that is

$$(1.9) \quad \begin{cases} -\Delta u &= |x|^b v^q \quad \text{in } \mathbb{R}^n, \\ -\Delta v &= |x|^a u^p \quad \text{in } \mathbb{R}^n, \end{cases}$$

where  $p \geq q \geq 1$ . Suppose that  $0 \leq a - b \leq (n - 2)(p - q)$  then

$$(1.10) \quad |x|^a \frac{u^{p+1}}{p+1} \leq |x|^b \frac{v^{q+1}}{q+1} \quad \text{in } \mathbb{R}^n.$$

The standard method to prove a pointwise inequality, as it is used to prove (1.7) and (1.4), is to derive an appropriate equation, call it an auxiliary equation, for the difference function of the right-hand and the left-hand sides of the inequality. Then, whenever we have enough decay estimates on solutions of the auxiliary equation, maximum principles can be applied to prove that the difference function has a fixed sign. So, the key point here is to manipulate a suitable auxiliary equation.

In a more technical framework, to construct an auxiliary equation to prove (1.7) and (1.8) a few positive terms including a gradient term of the form  $|\nabla u|^2 u^{t-2}$  for some number  $t$  are not considered in [28]. To be more explicit, in order to prove (1.8), that is a particular case of (1.7), the difference function  $w(x) := \Delta u + \sqrt{\frac{2}{p+1}} u^{\frac{p+1}{2}}$  is considered. Straightforward calculations show that the following auxiliary equation holds

$$(1.11) \quad \left( \sqrt{\frac{2}{p+1}} u^{\frac{1-p}{2}} \right) \Delta w = \Delta u + \sqrt{\frac{2}{p+1}} u^{\frac{p+1}{2}} + \frac{p-1}{2} \frac{|\nabla u|^2}{u}.$$

In order to show that  $\Delta w$  is nonnegative when  $w$  is nonnegative, via maximum principles for the above equation, the gradient term  $\frac{|\nabla u|^2}{u}$  is not considered in [28]. Note that the above equation (1.11) implies, in the spirit, that the gradient term  $\frac{|\nabla u|^2}{u}$  should have an impact on the inequality just like the Laplacian operator and the power term  $u^{\frac{p+1}{2}}$ . This is our motivation to attempt to include the gradient term in the inequality (1.8) that gives a lower bound on the Laplacian operator. Let us briefly mention that Modica in his proof of (1.4) took advantage of similar gradient terms to construct an auxiliary equation. Following ideas provided by Modica [21] and Souplet [28], as we shall see in the proof of Proposition 3.1, we manage to keep most of the positive terms when looking for an auxiliary equation.

In this paper, we develop a Moser iteration type argument to prove a lower bound for the negative Laplacian of positive bounded solutions of (1.1) that involves powers of  $u$  and the new term  $\frac{|\nabla u|^2}{u}$  with  $\frac{2}{n-4}$  as the coefficient. The remarkable point is that the coefficient  $\frac{2}{n-4}$  is what we exactly need in the estimate of the scalar curvature for the conformal metric  $g = u^{\frac{2}{n-4}} g_0$ .

Here is our main result.

**Theorem 1.3.** *Let  $u$  be a bounded positive solution of (1.1). Then the following pointwise inequality holds*

$$(1.12) \quad -\Delta u \geq \sqrt{\frac{2}{(p+1) - c_n}} |x|^{\frac{a}{2}} u^{\frac{p+1}{2}} + \frac{2}{n-4} \frac{|\nabla u|^2}{u} \quad \text{in } \mathbb{R}^n$$

where  $c_n := \frac{8}{n(n-4)}$  and  $0 \leq a \leq \inf_{k \geq 0} A_k$  (defined at (4.28)).

**Remark 1.1.** *A natural question here is that what are the best constants in the inequality (1.12)?*

Let us now put the inequality (1.12) in a more geometric text. By the conformal change  $g = u^{\frac{4}{n-4}} g_0$  where  $g_0$  is the usual Euclidean metric, the new scalar curvature becomes

$$S_g = -\frac{4(n-1)}{n-2} u^{-\frac{n+2}{n-4}} \Delta \left( u^{\frac{n-2}{n-4}} \right).$$

An immediate consequence of (1.12) is that the conformal scalar curvature is positive. Note that this can not be deduced from the inequality (1.8).

The idea of proving a lower bound for the negative of Laplacian operator is also used in the context of nonlinear eigenvalue problems to prove certain regularity results, e.g. see [5]. Similar pointwise inequalities are used to prove Liouville theorems in the notion of stability in [30, 31] and references therein as well. We would like to mention that Gui in [19] proved a very interesting Hamiltonian identity for elliptic systems that may be regarded as a generalization of the Modica's inequality. He used this identity to rigorously analyze the structure of level curves of saddle solutions of the Allen-Cahn equation as well as Young's Law for the contact angles in triple junction formation. Note also that as it is shown by Farina in [8] for the Ginzburg-Landau system, the analog of Modica's estimate is false for systems in general. We refer interested readers to [1] for a review of this topic and to [16] for De Giorgi type results for systems.

Here is the organization of the paper. In Section 2, we provide certain standard elliptic estimates that are consequences of Sobolev embeddings and the regularity theory. Then, in Section 3 we develop a Moser iteration type argument, following ideas provided by Modica [21] and Souplet in [28]. Finally, in Section 4, we first give a certain maximum principle type argument for a quasilinear equation that arises in the Moser iteration process. Then we apply the estimates and methods developed in former sections. We suggest to ignore the weight function  $|x|^a$  in (1.1) when reading the paper for the first time.

## 2. TECHNICAL ELLIPTIC ESTIMATES

In this section, we provide some elliptic decay estimates that we use frequently later in the proofs. Deriving the right decay estimates for solutions of (1.1) play a fundamental role in the most our proofs. Similar estimates have been also used in the literature to construct Liouville theorems and regularity results. We refer the interested readers to [14, 15, 25, 26, 28]. We start with the following standard estimate.

**Lemma 2.1.** *( $L^p$ -estimate on  $B_R$ ) Suppose that  $u$  is a nonnegative solution of (1.1) then for any  $R > 1$  we have*

$$\int_{B_R} |x|^a u^p \leq C R^{n - \frac{4p+a}{p-1}},$$

where  $C = C(n, p, a) > 0$  is independent from  $R$ .

**Proof:** Consider the following test function  $\phi_R \in C_c^4(\mathbb{R}^n)$  with  $0 \leq \phi_R \leq 1$ ;

$$\phi_R(x) = \begin{cases} 1, & \text{if } |x| < R; \\ 0, & \text{if } |x| > 2R; \end{cases}$$

where  $\|D^i \phi_R\|_\infty \leq \frac{C}{R^i}$  where  $1 \leq i \leq 4$ . For fixed  $m \geq 2$ , we have

$$|\Delta^2 \phi_R^m(x)| \leq \begin{cases} 0, & \text{if } |x| < R \text{ or } |x| > 2R; \\ CR^{-4} \phi_R^{m-4}, & \text{if } R < |x| < 2R; \end{cases}$$

where  $C > 0$  is independent from  $R$ . For  $m \geq 2$ , multiply the equation by  $\phi_R^m$  and integrate to get

$$\begin{aligned} \int_{B_{2R}} |x|^a u^p \phi_R^m &= \int_{B_{2R}} \Delta^2 u \phi_R^m \\ &= \int_{B_{2R}} u \Delta^2 \phi_R^m \leq CR^{-4} \int_{B_{2R} \setminus B_R} u \phi_R^{m-4}. \end{aligned}$$

Applying Hölder's inequality we get

$$\begin{aligned} \int_{B_{2R}} |x|^a u^p \phi_R^m &\leq C R^{-4} \left( \int_{B_{2R} \setminus B_R} |x|^{\frac{-a}{p} p'} \right)^{\frac{1}{p'}} \left( \int_{B_{2R} \setminus B_R} |x|^a u^p \phi_R^{(m-4)p} \right)^{1/p} \\ &\leq C R^{(n - \frac{a}{p} p') \frac{1}{p'} - 4} \left( \int_{B_{2R} \setminus B_R} |x|^a u^p \phi_R^{(m-4)p} \right)^{1/p}, \end{aligned}$$

where  $p' = \frac{p}{p-1}$ . Set  $m = (m-4)p$  that gives  $m = \frac{4p}{p-1}$  to get

$$\int_{B_{2R}} |x|^a u^p \phi_R^m \leq C R^{(n - \frac{a}{p} p') \frac{1}{p'} - 4} \left( \int_{B_{2R}} |x|^a u^p \phi_R^m \right)^{1/p}.$$

Therefore,

$$\int_{B_{2R}} |x|^a u^p \phi_R^m \leq C R^{(n - \frac{a}{p} p') - 4p'}.$$

This finishes the proof. □

From the Hölder's inequality we get the following.

**Corollary 2.1.** *Under the same assumptions as Lemma 2.1. The following estimate holds*

$$\int_{B_R \setminus B_{R/2}} u \leq C R^{n - \frac{a+4}{p-1}}$$

where  $C = C(n, p, a) > 0$  is independent from  $R$ .

We now show that the operator  $-\Delta u$  has a sign. Then, we apply this to provide various elliptic estimates for derivatives of  $u$ . In addition, later on this helps us to start an iteration argument.

**Proposition 2.1.** *Let  $u$  be a positive solution of (1.1). Then,  $-\Delta u \geq 0$  in  $\mathbb{R}^n$ .*

**Proof:** Let  $v = -\Delta u$ . Ideas and methods applied in this proof are strongly motivated by the ones given in [29]. Suppose that there is  $x_0 \in \mathbb{R}^n$  such that  $v(x_0) < 0$ . Without loss of generality we take  $x_0 = 0$ , i. e. in case of  $x_0 \neq 0$  set  $\omega(x) = v(x + x_0)$  and apply the same argument. We use the notation  $\bar{f}(r) = \frac{1}{|\partial B_r|} \int_{\partial B_r} f dS$  as the average of function  $f(x)$  on the boundary of  $B_r$ . We refer interested readers to [23] regarding the average function. Applying the Hölder's inequality

$$(2.1) \quad \begin{cases} -\Delta_r \bar{u}(r) &= \bar{v}(r) \text{ in } \mathbb{R}, \\ -\Delta_r \bar{v}(r) &\geq r^a (\bar{u})^p \text{ in } \mathbb{R}, \end{cases}$$

where  $\Delta_r$  is the Laplacian operator in the polar coordinates, i.e.

$$\Delta_r \bar{f}(r) = r^{1-n} (r^{n-1} \bar{f}'(r))'.$$

It is straightforward to see that

$$\bar{v}'(r) = \frac{1}{|\partial B_r|} \int_{B_r} \Delta v = -\frac{1}{|\partial B_r|} \int_{B_r} |x|^a u^p \leq 0.$$

Therefore,  $\bar{v}(r) \leq \bar{v}(0) < 0$  for  $r > 0$ . Similarly for  $\bar{u}(r)$  we have

$$\begin{aligned} \bar{u}'(r) &= -\frac{1}{|\partial B_r|} \int_{B_r} v = -r^{1-n} \int_0^r s^{n-1} \bar{v}(s) ds \\ &\geq -\bar{v}(0) r^{1-n} \int_0^r s^{n-1} ds = -\frac{\bar{v}(0)}{n} r. \end{aligned}$$

From this for any  $r \geq r_0$  we get

$$(2.2) \quad \bar{u}(r) \geq \alpha r^2,$$

where  $\alpha = -\frac{\bar{v}(0)}{2n} > 0$ . We now have a lower bound on  $\bar{u}(r)$ . Instead suppose that the following more general lower bound holds on  $\bar{u}(r)$ ,

$$(2.3) \quad \bar{u}(r) \geq \frac{\alpha^{p^k}}{\beta^{s_k}} r^{t_k} \text{ for } r \geq r_k,$$

where  $s_0 := 0$ ,  $t_0 := 2$ ,  $\alpha := -\frac{\bar{v}(0)}{2n} > 0$  and  $\beta := 2p + a + n + 4 > 0$ . Note that system (2.1) makes a relation between two functions  $\bar{u}(r)$  and  $\bar{v}(r)$ . Therefore, the lower bound on  $\bar{u}(r)$  forces an upper bound on  $\bar{v}(r)$  and vice versa. In the light of this fact, we can construct an iteration argument to improve the bound (2.3). Integrating the second equation of (2.1) over  $[r_k, r]$  when  $r \geq r_k$  we get

$$\begin{aligned} r^{n-1} \bar{v}'(r) &\leq r_k^{n-1} \bar{v}'(r_k) - \frac{\alpha^{p^{k+1}}}{\beta^{p s_k}} \int_{r_k}^r s^{n-1+a+pt_k} ds \\ &\leq -\frac{\alpha^{p^{k+1}}}{\beta^{p s_k} (pt_k + n + a)} (r^{pt_k+n+a} - r_k^{pt_k+n+a}) \text{ since } \bar{v}' < 0. \end{aligned}$$

Therefore  $\bar{v}'(r) \leq -\frac{\alpha^{p^{k+1}}}{\beta^{p s_k} (pt_k + n + a)} (r^{pt_k+a+1} - r_k^{pt_k+a+1})$  for all  $r \geq r_k$  that is

$$\bar{v}'(r) \leq -\frac{\alpha^{p^{k+1}}}{2\beta^{p s_k} (pt_k + n + a)} r^{pt_k+a+1} \text{ for all } r \geq 2^{\frac{1}{pt_k+a+1}} r_k.$$

Integrating the last inequality over  $[2^{\frac{1}{pt_k+a+1}} r_k, r]$  when  $r \geq 2^{\frac{1}{pt_k+a+1}} r_k = \tilde{r}_k$ , we obtain

$$\bar{v}(r) \leq \bar{v}(\tilde{r}_k) - \frac{\alpha^{p^{k+1}}}{2\beta^{ps_k} T_{k,n,a,p}} (r^{pt_k+a+2} - \tilde{r}_k^{pt_k+a+2}),$$

where  $T_{k,n,a,p} := (pt_k + n + a)(pt_k + 2 + a)$ . By similar discussions and by taking  $r$  large enough, that is  $r \geq 2^{\frac{1}{pt_k+a+1}} 2^{\frac{1}{pt_k+a+2}} r_k = \tilde{r}_k$ , we end up with

$$(2.4) \quad \bar{v}(r) \leq -\frac{\alpha^{p^{k+1}}}{4\beta^{ps_k} T_{k,n,a,p}} r^{pt_k+a+2}.$$

Applying (2.4) and integrating equation (2.1) again over  $[\tilde{r}_k, r]$  when  $r \geq \tilde{r}_k$ , we have

$$\begin{aligned} r^{n-1} \bar{u}'(r) &= \tilde{r}_k^{n-1} \bar{u}'(\tilde{r}_k) - \int_{\tilde{r}_k}^r s^{n-1} \bar{v}(s) ds \\ &\geq \frac{\alpha^{p^{k+1}}}{4\beta^{ps_k} T_{k,n,a,p}} \int_{\tilde{r}_k}^r s^{pt_k+a+n+1} ds. \end{aligned}$$

Therefore, the following new lower bound on  $\bar{u}(r)$  holds

$$\bar{u}(r) \geq \frac{\alpha^{p^{k+1}}}{2^4 \beta^{ps_k} \tilde{T}_{k,n,a,p}} r^{pt_k+a+n+4},$$

where

$$r \geq 2^{\frac{1}{pt_k+a+3}} 2^{\frac{1}{pt_k+a+4}} \tilde{r}_k = 2^{\sum_{i=1}^4 \frac{1}{pt_k+a+i}} r_k,$$

and

$$\begin{aligned} \tilde{T}_{k,n,a,p} &= (pt_k + n + a + 2)(pt_k + 4 + a) T_{k,n,a,p} \\ &= (pt_k + n + a)(pt_k + 2 + a)(pt_k + n + a + 2)(pt_k + 4 + a) \\ &\leq (pt_k + n + a + 4)^4. \end{aligned}$$

We now modify this estimate to make the coefficients similar to (2.3). After simplifying we get

$$(2.5) \quad \bar{u}(r) \geq \frac{\alpha^{p^{k+1}}}{\beta^{ps_k} M_k} r^{pt_k+a+4} \quad \text{for } r \geq 2^{\frac{4}{pt_k+a+1}} r_k,$$

where  $M_k := 2^4 (pt_k + n + a + 4)^4$ . In what follows, we put an upper bound on  $M_k$  that is expressed as a power of  $\beta$ . Note that

$$\begin{aligned} \frac{1}{2} \sqrt[4]{M_{k+1}} &= pt_{k+1} + n + a + 4 = p(pt_k + n + a + 4) + n + a + 4 \\ &\leq (pt_k + n + a + 4)(p + 1) = \frac{p+1}{2} \sqrt[4]{M_k}. \end{aligned}$$

From this we have  $M_{k+1} \leq (p+1)^4 M_k$  and therefore  $M_k \leq (p+1)^{4k} M_0$  where  $M_0 = 2^4 (2p + n + a + 4)^4$  because  $t_0 = 2$ . Since the constant  $\beta$  is defined as  $\beta = 2p + n + a + 4$ , we get the following bound

$$(2.6) \quad M_k \leq \beta^{4k+4}.$$

From this, (2.3) and (2.5) and to complete the iteration process, we set

$$(2.7) \quad t_{k+1} := pt_k + a + 4 \quad \text{for } t_0 = 2,$$

$$(2.8) \quad s_{k+1} := ps_k + 4k + 4 \quad \text{for } s_0 = 0,$$

and therefore,

$$(2.9) \quad \bar{u}(r) \geq \frac{\alpha^{p^{k+1}}}{\beta^{s_{k+1}}} r^{t_{k+1}} \quad \text{for } r \geq r_{k+1},$$

where  $r_{k+1} := 2^{\frac{4}{p^{t_k+a+1}}} r_k \geq 2^{\sum_{i=1}^4 \frac{1}{p^{t_k+a+i}}} r_k$ . By direct calculations on these recursive sequences we get the explicit sequences

$$\begin{aligned} t_k &= \frac{2p^{k+1} + (a+2)p^k - (a+4)}{p-1}, \\ s_k &= \frac{4p^{k+1} - 4p(k+1) + 4k}{(p-1)^2}, \\ r_k &= 2^{\sum_{i=0}^{k-1} \frac{4}{p^{t_i+a+1}}} r_0 \leq 2^{\sum_{i=0}^{\infty} \frac{4}{p^{t_i+a+1}}} r_0 =: r^* < \infty. \end{aligned}$$

Set  $R := \beta^{\frac{2}{p-1}} M$  where  $M = \max\{\alpha^{-1}, m\}$  when  $m > 1$  is large enough to make sure  $m\beta^{\frac{2}{p-1}} \geq r^*$ . Therefore,  $R \geq r^* \geq r_k$  for any  $k$  and we have

$$\bar{u}(R) \geq M^{t_k - p^k} \beta^{\frac{2t_k}{p-1} - s_k}.$$

If we take  $k$  large enough, e.g.  $k \geq \frac{\ln(a+4) - \ln(a+2)}{\ln p}$ , then  $t_k > p^k$ . The fact that  $M > 1$ , gives us

$$\bar{u}(R) \geq \beta^{\frac{2t_k}{p-1} - s_k} = \beta^{\frac{2(a+2)p^k + 4k(p-1) + 4p - 2(a+4)}{(p-1)^2}}.$$

Since we have assumed that  $a+2 > 0$  and  $\beta > 1$ , we get  $\bar{u}(R) \rightarrow \infty$  as  $k \rightarrow \infty$ . Note that  $0 < R < \infty$  is independent from  $k$ . This finishes the proof.  $\square$

We now apply Proposition 2.1 to conclude that  $-\Delta u \geq 0$  and therefore we can consider equation (1.1) as a special case of the Hénon-Lane-Emden equation.

**Lemma 2.2.** ( *$L^1$ -estimates on  $B_R$* ) Suppose that  $u$  is a nonnegative solution of (1.1) then for any  $R > 1$  we have

$$\int_{B_R} |\Delta u| \leq CR^{n - \frac{2p+2+a}{p-1}},$$

where  $C = C(n, p, a) > 0$  is independent from  $R$ .

**Proof:** Set  $v = -\Delta u$ . From Proposition 2.1 we know that  $v \geq 0$ . Therefore the pair  $(u, v)$  satisfies the following system

$$(2.10) \quad \begin{cases} -\Delta u &= v \text{ in } \mathbb{R}^n, \\ -\Delta v &= |x|^a u^p \text{ in } \mathbb{R}^n, \end{cases}$$

that is a particular case of the Hénon-Lane-Emden system. From the estimates provided in [15] as Lemma 2.1 we get the desired result.  $\square$

**Lemma 2.3.** (*An interpolation inequality on  $B_R$* ) Let  $R > 1$  and  $z \in W^{2,1}(B_{2R})$ . Then

$$\int_{B_R \setminus B_{R/2}} |Dz| \leq CR \int_{B_{2R} \setminus B_{R/4}} |\Delta z| + CR^{-1} \int_{B_{2R} \setminus B_{R/4}} |z|,$$

where  $C = C(n) > 0$  is independent from  $R$ .



**Corollary 2.2.** *Under the same assumptions as Lemma 2.1. The following estimate holds.*

$$\int_{B_R \setminus B_{R/2}} |Du| \leq CR^{n - \frac{p+3+a}{p-1}},$$

where  $C = C(n, p, a) > 0$  is independent from  $R$ .

**Lemma 2.4.** ( $L^\tau$ -estimate on  $B_R$ ) *Let  $1 < \tau < \infty$  and  $z \in W^{2,\tau}(B_{2R})$ . Then,*

$$\int_{B_R \setminus B_{R/2}} |D^2 z|^\tau \leq C \int_{B_{2R} \setminus B_{R/4}} |\Delta z|^\tau + CR^{-2\tau} \int_{B_{2R} \setminus B_{R/4}} |z|^\tau,$$

where  $C = C(n, \tau) > 0$  does not depend on  $R$ .

**Lemma 2.5.** ( $L^2$ -estimates on  $B_R$ ) *Suppose that  $u$  is a bounded nonnegative solution of (1.1) then for any  $R > 1$  we have*

$$(2.11) \quad \int_{B_R} |\Delta u|^2 \leq C \int_{B_{2R}} |x|^a u^{p+1} + CR^{-2} \int_{B_{2R}} |\Delta u| + CR^{-4} \int_{B_{2R} \setminus B_R} u,$$

where  $C = C(n, p, a) > 0$  does not depend on  $R$ .

**Proof:** We proceed in two steps.

Step 1. Multiply the both sides of equation (1.1) with  $u\phi^2$  where  $\phi \in C_c^\infty(\mathbb{R}^n) \cap [0, 1]$  is a test function. Then, doing the integration by parts, we get

$$\begin{aligned} \int_{\mathbb{R}^n} |\Delta u|^2 \phi^2 &= \int_{\mathbb{R}^n} |x|^a u^{p+1} \phi^2 - 4 \int_{\mathbb{R}^n} \Delta u \nabla u \cdot \nabla \phi \phi - \int_{\mathbb{R}^n} u \Delta u (2|\nabla \phi|^2 + 2\phi \Delta \phi) \\ &\leq \int_{\mathbb{R}^n} |x|^a u^{p+1} \phi^2 + \delta \int_{\mathbb{R}^n} |\Delta u|^2 \phi^2 + C(\delta) \int_{\mathbb{R}^n} |\nabla u|^2 |\nabla \phi|^2 \\ &\quad + C \int_{\mathbb{R}^n} |\Delta u| (|\nabla \phi|^2 + |\Delta \phi|), \end{aligned}$$

for some constant  $C > 0$ . Here, we have used the Cauchy's inequality for  $0 < \delta < 1$ . Therefore, if we set  $\phi$  to be the standard test function that is  $\phi = 1$  in  $B_R$  and  $\phi = 0$  in  $\mathbb{R}^n \setminus B_{2R}$  when  $\|D_x^i \phi\|_{L^\infty(B_{2R} \setminus B_R)} \leq CR^{-i}$  for  $i = 1, 2$ , then we get

$$(2.12) \quad \int_{B_R} |\Delta u|^2 \leq \int_{B_{2R}} |x|^a u^{p+1} + CR^{-2} \int_{B_{2R} \setminus B_R} |\nabla u|^2 + CR^{-2} \int_{B_{2R} \setminus B_R} |\Delta u|,$$

where  $C = C(n, p, a) > 0$  does not depend on  $R$ .

Step 2. Multiply the both sides of  $-\Delta u = v$  with  $u\phi^2$  where  $\phi$  is the same test function as Step 1. Again doing integration by parts we get

$$\begin{aligned} \int_{\mathbb{R}^n} |\nabla u|^2 \phi^2 &= \int_{\mathbb{R}^n} uv \phi^2 - 2 \int_{\mathbb{R}^n} u \nabla u \cdot \nabla \phi \phi \\ &\leq \int_{\mathbb{R}^n} uv \phi^2 + \delta \int_{\mathbb{R}^n} |\nabla u|^2 \phi^2 + C(\delta) \int_{\mathbb{R}^n} |\nabla \phi|^2 u^2, \end{aligned}$$

where we have also used the Cauchy's inequality for  $0 < \delta < 1$ . So,

$$(2.13) \quad \int_{B_R} |\nabla u|^2 \leq C \int_{B_{2R}} |\Delta u| + CR^{-2} \int_{B_{2R} \setminus B_R} u,$$

where we have used the boundedness of  $u$ . From (2.12) and (2.13) we get

$$(2.14) \quad \int_{B_R} |\Delta u|^2 \leq \int_{B_{2R}} |x|^a u^{p+1} + CR^{-2} \int_{B_{2R}} |\Delta u| + CR^{-4} \int_{B_{2R} \setminus B_R} u.$$

This completes the proof.  $\square$

We now apply Lemma 2.1, Lemma 2.5 and Corollary 2.1 to get the following.

**Corollary 2.3.** *Suppose that the assumptions of Lemma 2.1 hold. Moreover, let  $u$  be bounded then*

$$(2.15) \quad \int_{B_R} |\Delta u|^2 \leq CR^{n-\frac{4p+a}{p-1}},$$

where  $C = C(n, p, a) > 0$  is independent from  $R$ .

**Lemma 2.6.** *(Sobolev inequalities on the sphere  $S^{n-1}$ ) Let  $n \geq 2$ , integer  $i \geq 1$  and  $1 < t < \tau \leq \infty$ . For  $z \in W^{i,t}(S^{n-1})$ , the following estimate holds*

$$\|z\|_{L^\tau(S^{n-1})} \leq C\|D_{\theta}^i z\|_{L^t(S^{n-1})} + C\|z\|_{L^1(S^{n-1})},$$

where

$$\begin{cases} \frac{1}{\tau} = \frac{1}{t} - \frac{i}{n-1}, & \text{if } it + 1 < n, \\ \tau = \infty, & \text{if } it + 1 > n, \end{cases}$$

and  $C = C(i, t, n, \tau) > 0$ .

### 3. DEVELOPING THE ITERATION ARGUMENT

In this section, we develop a counterpart of the Moser iteration argument [22] for solutions of (1.1). We define a sequence of functions  $(w_k)_{k=-1}$  of the form

$$w_k := \Delta u + \alpha_k |\nabla u|^2 (u + \epsilon)^{-1} + \beta_k |x|^{\frac{a}{2}} u^{\frac{p+1}{2}}$$

where  $\alpha_k$  and  $\beta_k$  are certain nondecreasing sequences of nonnegative numbers where  $\alpha_{-1} = \beta_{-1} = 0$ .

Assuming that  $w_k \leq 0$ , that is essentially a lower bound on the negative Laplacian operator, holds we construct a differential inequality for  $w_{k+1}$  where  $\alpha_{k+1} \geq \alpha_k$  and  $\beta_{k+1} \geq \beta_k$ . Then, applying certain maximum principle type arguments, we show that  $w_{k+1} \leq 0$ . Note that  $w_{k+1} \leq 0$  is stronger than  $w_k \leq 0$ , because it forces a stronger lower bound on the negative of Laplacian operator.

We start with proving that  $w_{-1}$ , which is the Laplacian operator of  $u$ , is non-positive, see Proposition 2.1. Then, using this fact and applying (1.9) and (1.10) when  $q = 1$  and  $b = 0$ , we get the following inequality for nonnegative solutions of the fourth order Hénon equation (1.1)

$$(3.1) \quad -\Delta u \geq \sqrt{\frac{2}{p+1}} |x|^{\frac{a}{2}} u^{\frac{p+1}{2}} \quad \text{in } \mathbb{R}^n,$$

where  $0 \leq a \leq (n-2)(p-1)$ . Inequality (3.1) is the first step of the iteration argument meaning that  $w_0 \leq 0$  for  $\alpha_0 = 0$  and  $\beta_0 = \sqrt{\frac{2}{p+1}}$ .

We now perform the iteration argument.

**Proposition 3.1.** *Let  $u$  be a positive classical solution of (1.1). Suppose that  $(\alpha_k)_{k=0}$  and  $(\beta_k)_{k=0}$  are sequences of numbers. Define the following sequence of functions*

$$(3.2) \quad w_k := \Delta u + \alpha_k |\nabla u|^2 (u + \epsilon)^{-1} + \beta_k |x|^{\frac{a}{2}} u^{\frac{p+1}{2}},$$

where  $\epsilon = \epsilon(k)$  is a positive constant. Suppose that  $w_k \leq 0$ , then  $w_{k+1}$  satisfies the following differential inequality

$$\begin{aligned}
 (3.3) \quad & \Delta w_{k+1} - 2\alpha_{k+1}(u + \epsilon)^{-1} \nabla u \cdot \nabla w_{k+1} \\
 & + \alpha_{k+1} w_{k+1} (u + \epsilon)^{-2} |\nabla u|^2 - \frac{\beta_{k+1}(p+1)}{2} u^{\frac{p-1}{2}} |x|^{\frac{a}{2}} w_{k+1} \\
 & \geq I_{\epsilon, \beta_k}^{(1)} |x|^a u^p + \alpha_{k+1} I_{\alpha_k}^{(2)} |\nabla u|^4 (u + \epsilon)^{-3} + I_{a, \alpha_k, \beta_k}^{(4)} |x|^{a-2} u^{\frac{p+1}{2}} \\
 & + I_{\epsilon, \alpha_k, \beta_k}^{(3)} |x|^a u^{\frac{p+1}{2}} \left| \frac{\nabla u}{u} + \frac{a\beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \frac{u}{u+\epsilon} \right) x}{2I_{\epsilon, \alpha_k, \beta_k}^{(3)}} \right|^2,
 \end{aligned}$$

where

$$\begin{aligned}
 I_{\epsilon, \alpha_k, \beta_k}^{(1)} & := 1 - \frac{p+1}{2} \beta_{k+1}^2 + \frac{2}{n} \alpha_{k+1} \beta_k^2 \frac{u}{u+\epsilon}, \\
 I_{\alpha_k}^{(2)} & := \frac{2}{n} (\alpha_{k+1} + \alpha_k + 1)^2 - 2\alpha_{k+1} (\alpha_{k+1} + 1) + \alpha_{k+1}, \\
 I_{\epsilon, \alpha_k, \beta_k}^{(3)} & := \frac{4}{n} \alpha_{k+1} \beta_k (\alpha_{k+1} + \alpha_k + 1) \frac{u^2}{(u+\epsilon)^2} + \beta_{k+1} \alpha_{k+1} \frac{u^2}{(u+\epsilon)^2} \\
 & \quad - (p+1) \beta_{k+1} \alpha_{k+1} \frac{u}{(u+\epsilon)} + \frac{p+1}{2} \left( \frac{p-1}{2} - \alpha_{k+1} \frac{u}{(u+\epsilon)} \right) \beta_{k+1}, \\
 I_{a, \epsilon, \alpha_k, \beta_k}^{(4)} & := \frac{a}{2} \beta_{k+1} \left( n + \frac{a}{2} - 2 \right) - \frac{a^2 \beta_{k+1}^2 \left( \frac{p+1}{2} - \alpha_{k+1} \frac{u}{u+\epsilon} \right)^2}{4I_{\epsilon, \alpha_k, \beta_k}^{(3)}}.
 \end{aligned}$$

**Proof:** For the sake of simplicity in calculations, set  $b := \frac{a}{2}$  and  $q := \frac{p+1}{2}$ . From (3.2) the function  $w_{k+1}$  is defined as

$$w_{k+1} := \Delta u + \alpha_{k+1} |\nabla u|^2 (u + \epsilon)^{-1} + \beta_{k+1} |x|^b u^q.$$

Taking Laplacian of  $w_{k+1}$  and using equation (1.1) we get

$$\begin{aligned}
 (3.4) \quad \Delta w_{k+1} & = \Delta^2 u + \alpha_{k+1} \Delta(|\nabla u|^2 (u + \epsilon)^{-1}) + \beta_{k+1} \Delta(|x|^b u^q) \\
 & = |x|^a u^p + I + J,
 \end{aligned}$$

where  $I := \alpha_{k+1} \Delta(|\nabla u|^2 (u + \epsilon)^{-1})$  and  $J := \beta_{k+1} \Delta(|x|^b u^q)$ . In what follows, we simplify  $I$  and  $J$  as well as finding lower bounds for these terms. We start with  $J$  that is

$$\begin{aligned}
 \frac{J}{\beta_{k+1}} & = \Delta(|x|^b u^q) = \Delta|x|^b u^q + \Delta u^q |x|^b + 2\nabla|x|^b \cdot \nabla u^q \\
 & = b(n+b-2)|x|^{b-2} u^q + q(q-1)|x|^b u^{q-2} |\nabla u|^2 \\
 & \quad + q|x|^b u^{q-1} \Delta u + 2bq|x|^{b-2} u^{q-1} \nabla u \cdot x.
 \end{aligned}$$

From the definition of  $w_{k+1}$ , we have

$$(3.5) \quad \Delta u = w_{k+1} - \alpha_{k+1} |\nabla u|^2 (u + \epsilon)^{-1} - \beta_{k+1} |x|^b u^q.$$

Substitute this into the last equation to simplify  $J$  as

$$(3.6) \quad \begin{aligned} \frac{J}{\beta_{k+1}} &= qu^{q-1}|x|^b w_{k+1} - q\beta_{k+1}u^{2q-1}|x|^{2b} \\ &\quad + \left( q(q-1) - q\alpha_{k+1}\frac{u}{u+\epsilon} \right) |x|^b u^{q-2} |\nabla u|^2 \\ &\quad + b(n+b-2)|x|^{b-2}u^q + 2bq|x|^{b-2}u^{q-1}\nabla u \cdot x. \end{aligned}$$

We now simplify  $I$  as what follows,

$$\begin{aligned} \frac{I}{\alpha_{k+1}} &= \Delta(|\nabla u|^2(u+\epsilon)^{-1}) = \sum_{i,j} \partial_{jj}(u_i^2(u+\epsilon)^{-1}) \\ &= 2(u+\epsilon)^{-1} \sum_{i,j} (\partial_{ij}u)^2 + 2(u+\epsilon)^{-1} \nabla u \cdot \nabla \Delta u - 4(u+\epsilon)^{-2} \sum_{i,j} \partial_i u \partial_j u \partial_{ij} u \\ &\quad - |\nabla u|^2(u+\epsilon)^{-2} \Delta u + 2|\nabla u|^4(u+\epsilon)^{-3}. \end{aligned}$$

Again substituting (3.5) into the term  $2(u+\epsilon)^{-1} \nabla u \cdot \nabla \Delta u$  appeared above, we get

$$\begin{aligned} \frac{I}{\alpha_{k+1}} &= 2(u+\epsilon)^{-1} \sum_{i,j} (\partial_{ij}u)^2 - 4(u+\epsilon)^{-2} \sum_{i,j} \partial_i u \partial_j u \partial_{ij} u \\ &\quad + 2|\nabla u|^4(u+\epsilon)^{-3} - |\nabla u|^2(u+\epsilon)^{-3} \Delta u \\ &\quad + 2(u+\epsilon)^{-1} \nabla u \cdot \nabla w_{k+1} - 2\alpha_{k+1}(u+\epsilon)^{-1} \nabla u \cdot (|\nabla u|^2(u+\epsilon)^{-1}) \\ &\quad - 2\beta_{k+1}(u+\epsilon)^{-1} \nabla u \cdot \nabla (|x|^b u^q). \end{aligned}$$

Then, collecting the similar terms we obtain

$$\begin{aligned} \frac{I}{\alpha_{k+1}} - 2(u+\epsilon)^{-1} \nabla u \cdot \nabla w_{k+1} &= 2(u+\epsilon)^{-1} \sum_{i,j} (\partial_{ij}u)^2 \\ &\quad - 4(\alpha_{k+1}+1)(u+\epsilon)^{-2} \sum_{i,j} \partial_i u \partial_j u \partial_{ij} u \\ &\quad + 2(\alpha_{k+1}+1)|\nabla u|^4(u+\epsilon)^{-3} - |\nabla u|^2(u+\epsilon)^{-2} \Delta u \\ &\quad - 2\beta_{k+1}b|x|^{b-2}(u+\epsilon)^{-1}u^q \nabla u \cdot x \\ &\quad - 2\beta_{k+1}q|x|^b u^{q-1}(u+\epsilon)^{-1}|\nabla u|^2. \end{aligned}$$

Completing the square we get

$$(3.7) \quad \begin{aligned} \frac{I}{\alpha_{k+1}} - 2(u+\epsilon)^{-1} \nabla u \cdot \nabla w_{k+1} \\ &= 2(u+\epsilon)^{-1} \sum_{i,j} (\partial_{ij}u - (\alpha_{k+1}+1)(u+\epsilon)^{-1} \partial_i u \partial_j u)^2 \\ &\quad - 2\alpha_{k+1}(\alpha_{k+1}+1)|\nabla u|^4(u+\epsilon)^{-3} - |\nabla u|^2(u+\epsilon)^{-2} \Delta u \\ &\quad - 2\beta_{k+1}b|x|^{b-2}(u+\epsilon)^{-1}u^q \nabla u \cdot x - 2\beta_{k+1}q|x|^b u^{q-1}(u+\epsilon)^{-1}|\nabla u|^2. \end{aligned}$$

Note that for any  $n \times n$  matrix  $A = (a_{i,j})$  the Hilbert-Schmidt norm is defined by  $\|A\|_2 = \sqrt{\sum_{i,j} |a_{i,j}|^2} = \sqrt{\text{trace}(AA^*)}$ , where  $A^*$  denotes the conjugate transpose of  $A$ . From the Cauchy-Schwarz' inequality, the following inequality holds,

$$(3.8) \quad |\text{trace } A|^2 = |(A, I)|^2 \leq \|A\|_2^2 \|I\|_2^2 = n \sum_{i,j} |a_{i,j}|^2.$$

Set  $a_{i,j} := \partial_{ij}u - (\alpha_{k+1} + 1)(u + \epsilon)^{-1}\partial_i u \partial_j u$  in (3.8) to get

$$\sum_{i,j}^n (\partial_{ij}u - (\alpha_{k+1} + 1)(u + \epsilon)^{-1}\partial_i u \partial_j u)^2 \geq \frac{1}{n} (\Delta u - (\alpha_{k+1} + 1)(u + \epsilon)^{-1}|\nabla u|^2)^2.$$

From this lower bound for the Hessian and (3.7), we get

$$(3.9) \quad \begin{aligned} & \frac{I}{\alpha_{k+1}} - 2(u + \epsilon)^{-1}\nabla u \cdot \nabla w_{k+1} \\ & \geq \frac{2}{n}(u + \epsilon)^{-1} (\Delta u - (\alpha_{k+1} + 1)(u + \epsilon)^{-1}|\nabla u|^2)^2 \\ & \quad - 2\alpha_{k+1}(\alpha_{k+1} + 1)|\nabla u|^4(u + \epsilon)^{-3} - |\nabla u|^2(u + \epsilon)^{-2}\Delta u + T_k, \end{aligned}$$

where

$$T_k := -2\beta_{k+1}b|x|^{b-2}(u + \epsilon)^{-1}u^q\nabla u \cdot x - 2\beta_{k+1}q|x|^b u^{q-1}(u + \epsilon)^{-1}|\nabla u|^2.$$

Note also that from the assumption  $w_k \leq 0$  we have this upper bound on the Laplacian operator,  $\Delta u \leq -\alpha_k|\nabla u|^2(u + \epsilon)^{-1} - \beta_k|x|^b u^q$ . Elementary calculations show that if  $t \leq t_* \leq 0$  and  $s \geq 0$  then  $(t - s)^2 \geq t_*^2 - 2t_*s + s^2$ . Set the parameters as  $t = \Delta u$ ,  $t_* = -\alpha_k|\nabla u|^2(u + \epsilon)^{-1} - \beta_k|x|^b u^q$  and  $s = (\alpha_{k+1} + 1)(u + \epsilon)^{-1}|\nabla u|^2$  to get the following lower bound on the square term that appears in (3.9),

$$(3.10) \quad \begin{aligned} & (\Delta u - (\alpha_{k+1} + 1)(u + \epsilon)^{-1}|\nabla u|^2)^2 \geq (\alpha_k|\nabla u|^2(u + \epsilon)^{-1} + \beta_k|x|^b u^q)^2 \\ & \quad + 2(\alpha_k|\nabla u|^2(u + \epsilon)^{-1} + \beta_k|x|^b u^q)(\alpha_{k+1} + 1)(u + \epsilon)^{-1}|\nabla u|^2 \\ & \quad + (\alpha_{k+1} + 1)^2(u + \epsilon)^{-2}|\nabla u|^4. \end{aligned}$$

Substitute (3.5) into the term  $-|\nabla u|^2(u + \epsilon)^{-2}\Delta u$  that appears in (3.9) to eliminate the Laplacian operator. Then, apply inequality (3.10) to simplify (3.9) as

$$\begin{aligned} & \frac{I}{\alpha_{k+1}} - 2(u + \epsilon)^{-1}\nabla u \cdot \nabla w_{k+1} \geq \frac{2}{n}(u + \epsilon)^{-1}\{(\alpha_{k+1} + \alpha_k + 1)^2|\nabla u|^4(u + \epsilon)^{-2} \\ & \quad + \beta_k^2|x|^{2b}u^{2q} + 2\beta_k(\alpha_{k+1} + \alpha_k + 1)|x|^b u^q(u + \epsilon)^{-1}|\nabla u|^2\} - w_{k+1}(u + \epsilon)^{-2}|\nabla u|^2 \\ & \quad - \alpha_{k+1}(2\alpha_{k+1} + 1)|\nabla u|^4(u + \epsilon)^{-3} + \beta_{k+1}|x|^b u^q(u + \epsilon)^{-2}|\nabla u|^2 + T_k. \end{aligned}$$

Collecting similar terms and using the value of  $T_k$ , we end up with

$$\begin{aligned} & \frac{I}{\alpha_{k+1}} - 2(u + \epsilon)^{-1}\nabla u \cdot \nabla w_{k+1} + w_{k+1}(u + \epsilon)^{-2}|\nabla u|^2 \\ & \geq \frac{2}{n}\beta_k^2|x|^{2b}u^{2q}(u + \epsilon)^{-1} + I_{\alpha_k}^{(2)}|\nabla u|^4(u + \epsilon)^{-3} + S_{\epsilon, \alpha_k, \beta_k}|\nabla u|^2 u^{q-2}|x|^b \\ & \quad - 2\beta_{k+1}b|x|^{b-2}(u + \epsilon)^{-1}u^q\nabla u \cdot x, \end{aligned}$$

where

$$\begin{aligned} I_{\alpha_k}^{(2)} & := \frac{2}{n}(\alpha_{k+1} + \alpha_k + 1)^2 - 2\alpha_{k+1}(\alpha_{k+1} + 1) + \alpha_{k+1}, \\ S_{\epsilon, \alpha_k, \beta_k} & := \frac{4}{n}\beta_k(\alpha_{k+1} + \alpha_k + 1)\frac{u^2}{(u + \epsilon)^2} + \beta_{k+1}\frac{u^2}{(u + \epsilon)^2} - 2\beta_{k+1}q\frac{u}{u + \epsilon}. \end{aligned}$$

Therefore, the following lower bound for  $I$  holds,

$$(3.11) \quad I \geq 2\alpha_{k+1}(u+\epsilon)^{-1}\nabla u \cdot \nabla w_{k+1} - \alpha_{k+1}w_{k+1}(u+\epsilon)^{-2}|\nabla u|^2 + \frac{2}{n}\alpha_{k+1}\beta_k^2|x|^{2b}u^{2q}(u+\epsilon)^{-1} + I_{\alpha_k}|\nabla u|^4(u+\epsilon)^{-3} + S_{\epsilon,\alpha_k,\beta_k}|\nabla u|^2u^{q-2}|x|^b - 2\beta_{k+1}b|x|^{b-2}(u+\epsilon)^{-1}u^q\nabla u \cdot x.$$

Finally, applying this lower bound for  $I$  and the lower bound given for  $J$  in (3.6), from (3.3) we get

$$\begin{aligned} & \Delta w_{k+1} - 2\alpha_{k+1}(u+\epsilon)^{-1}\nabla u \cdot \nabla w_{k+1} + \alpha_{k+1}(u+\epsilon)^{-2}|\nabla u|^2w_{k+1} - \beta_{k+1}qu^{q-1}|x|^bw_{k+1} \\ & \geq |x|^au^p \left( 1 - q\beta_{k+1}^2 + \frac{2}{n}\alpha_{k+1}\beta_k^2\frac{u}{u+\epsilon} \right) + \alpha_{k+1}I_{\alpha_k}^{(2)}|\nabla u|^4(u+\epsilon)^{-3} \\ & + \left( \alpha_{k+1}S_{\epsilon,\alpha_k,\beta_k} + \left( q(q-1) - \alpha_{k+1}q\frac{u}{u+\epsilon} \right) \beta_{k+1} \right) |\nabla u|^2u^{q-2}|x|^b \\ & + 2b\beta_{k+1} \left( q - \alpha_{k+1}\frac{u}{u+\epsilon} \right) |x|^{b-2}u^{q-1}\nabla u \cdot x + b\beta_{k+1}(n+b-2)|x|^{b-2}u^q. \end{aligned}$$

Completing the square finishes the proof.  $\square$

#### 4. PROOF OF THEOREM 1.3 VIA ITERATION ARGUMENTS

To apply the iteration argument, we need to develop a maximum principle argument for the following equation

$$(4.1) \quad \Delta w - 2\alpha(u+\epsilon)^{-1}\nabla u \cdot \nabla w + \alpha w(u+\epsilon)^{-2}|\nabla u|^2 - \frac{\beta(p+1)}{2}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}w = f(x) \geq 0 \quad \mathbb{R}^n$$

that appears in Proposition 3.1, where  $\alpha, \beta$  are positive constants,  $u$  is a solution of (1.1) and  $w, f \in C^\infty(\mathbb{R}^n)$ .

**Lemma 4.1.** *Suppose that  $w$  is a solution of the differential inequality (4.1) where  $u$  is a solution of (1.1) and*

$$(4.2) \quad w = \Delta u + \alpha(u+\epsilon)^{-1}|\nabla u|^2 + \beta|x|^{\frac{\alpha}{2}}u^{\frac{p+1}{2}}$$

for positive constants  $\epsilon, \alpha$  and  $\beta$ . Then, assuming that  $p+1 > 2\alpha$  the following holds

$$(4.3) \quad \Delta \tilde{w} \geq 0 \quad \text{on } \{w \geq 0\} \subset \mathbb{R}^n$$

where  $\tilde{w} = (u+\epsilon)^t w$  for  $t = -\alpha$ .

**Proof:** Straightforward calculations show that

$$\begin{aligned} \Delta \tilde{w} &= (u+\epsilon)^t \Delta w + 2t(u+\epsilon)^{t-1} \nabla u \cdot \nabla w \\ &+ t(u+\epsilon)^{t-1} w \Delta u + t(t-1)w(u+\epsilon)^{t-2} |\nabla u|^2 \end{aligned}$$

We now add and subtract two terms  $\frac{\beta(p+1)}{2}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}(u+\epsilon)^tw$  and  $tw(u+\epsilon)^{t-2}|\nabla u|^2$  to the above identity and collect the similar terms to get

$$\begin{aligned}\Delta\tilde{w} &= (u+\epsilon)^t\left(\Delta w + 2t(u+\epsilon)^{-1}\nabla u\cdot\nabla w - tw(u+\epsilon)^{-2}|\nabla u|^2 - \frac{\beta(p+1)}{2}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}w\right) \\ &\quad + \frac{\beta(p+1)}{2}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}(u+\epsilon)^tw + tw(u+\epsilon)^{t-2}|\nabla u|^2 + t(u+\epsilon)^{t-1}w\Delta u \\ &\quad + t(t-1)w(u+\epsilon)^{t-2}|\nabla u|^2.\end{aligned}$$

From the fact that  $t = -\alpha$  and  $w$  satisfies (4.1) we get

$$\Delta\tilde{w} \geq \frac{\beta(p+1)}{2}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}(u+\epsilon)^tw + t(u+\epsilon)^{t-1}w\Delta u + t^2w(u+\epsilon)^{t-1}\frac{|\nabla u|^2}{u+\epsilon}$$

Note that we can eliminate the gradient term using (4.2) that is  $\alpha(u+\epsilon)^{-1}|\nabla u|^2 = w - \Delta u - \beta|x|^{\frac{\alpha}{2}}u^{\frac{p+1}{2}}$ . Therefore, after collecting the similar terms we get

$$\begin{aligned}\Delta\tilde{w} &\geq \frac{t^2}{\alpha}w^2(u+\epsilon)^{t-1} + (u+\epsilon)^{t-1}wt\left(1 - \frac{t}{\alpha}\right)\Delta u \\ &\quad + \beta(u+\epsilon)^{t-1}|x|^{\frac{\alpha}{2}}u^{\frac{p-1}{2}}w\left(\frac{(p+1)\epsilon}{2} + u\left(\frac{p+1}{2} - \frac{t^2}{\alpha}\right)\right) \\ &=: R_1 + R_2 + R_3.\end{aligned}$$

We claim that the above three terms  $R_1, R_2, R_3$  are nonnegative when  $w \geq 0$ . From the fact that  $\alpha > 0$  one can see that  $R_1$  is nonnegative. From the definition of  $t = -\alpha < 0$  we have  $t(1 - \frac{t}{\alpha}) = -2\alpha < 0$ . This together with Proposition 2.1, that is  $\Delta u \leq 0$ , confirms that  $R_2$  is nonnegative. Positivity of  $R_3$  is an immediate consequence of the assumptions. In other words, note that  $\beta$  is positive and  $\frac{p+1}{2} - \frac{t^2}{\alpha} = \frac{p+1}{2} - \alpha$  is also positive based on the assumptions. This finishes the proof.  $\square$

We now apply Lemma 4.1 to show that  $w$  that is a solution of (4.1) is negative.

**Lemma 4.2.** *Suppose that  $\tilde{w}$  and  $w$  are the same as Lemma 4.1. Let  $u$  be a bounded solution of (1.1) then  $w \leq 0$ .*

**Proof:** The methods and ideas that we apply in the proof are motivated by the ones provided by Souplet in [28]. Multiply (4.3) with  $\tilde{w}_+^s$  where  $s > 0$  is a parameter that will be determined later. Then, integration by parts over  $B_R$  gives us

$$(4.4) \quad 0 \leq \int_{B_R} \Delta\tilde{w}\tilde{w}_+^s = -s \int_{B_R} |\nabla\tilde{w}_+|^2\tilde{w}_+^{s-1} + R^{n-1} \int_{S^{n-1}} \tilde{w}_r\tilde{w}_+^s.$$

Therefore,

$$(4.5) \quad \int_{B_R} |\nabla\tilde{w}_+|^2\tilde{w}_+^{s-1} \leq \frac{1}{s(s+1)}R^{n-1} \int_{S^{n-1}} (\tilde{w}_+^{s+1})_r = C(s)R^{n-1}I'(R),$$

where

$$I(R) := \int_{S^{n-1}} \tilde{w}_+^{s+1} = \int_{S^{n-1}} (u+\epsilon)^{-(s+1)\alpha}w_+^{s+1}$$

and  $C(s)$  is a constant independent from  $R$ . Note that  $w$  given as  $w = \Delta u + \alpha|\nabla u|^2(u+\epsilon)^{-1} + \beta|x|^{\frac{\alpha}{2}}u^{\frac{p+1}{2}}$  satisfies  $w \geq 0$  if and only if  $-\Delta u \leq \alpha|\nabla u|^2(u+\epsilon)^{-1} + \beta|x|^{\frac{\alpha}{2}}u^{\frac{p+1}{2}}$ . Therefore,

$$(4.6) \quad w_+^{s+1} \leq C|\nabla u|^{2(s+1)}(u+\epsilon)^{-(s+1)} + C|x|^{(s+1)\alpha/2}u^{(s+1)(p+1)/2}$$

where  $C = C(\alpha, \beta, s)$ . Applying this upper bound for  $w_+$ , we can get an upper bound for  $I(R)$  as following.

$$\begin{aligned}
(4.7) \quad I(R) &\leq C \int_{S^{n-1}} (u + \epsilon)^{-(s+1)(\alpha+1)} |\nabla u|^{2(s+1)} \\
&\quad + CR^{\frac{s+1}{2}a} \int_{S^{n-1}} (u + \epsilon)^{-\alpha(s+1)} u^{(s+1)(p+1)/2} \\
&\leq C(\epsilon) \int_{S^{n-1}} |\nabla u|^{2(s+1)} + C(\epsilon) R^{\frac{s+1}{2}a} \int_{S^{n-1}} u^{\frac{s+1}{2}(p+1)} \\
&=: C(\epsilon)(I_1(R) + I_2(R)).
\end{aligned}$$

In what follows we show that there is a sequence  $R$  such that the two terms  $I_1(R)$  and  $I_2(R)$  decay to zero, for a fixed  $\epsilon$ . We start with  $I_2(R)$  that includes an integral of a positive power of  $u$  over the sphere. Due to the boundedness assumption on  $u$ , it is straightforward to relate this term to  $L^p$  estimates of  $u$  over the sphere. As a matter of fact, if  $(s+1)(p+1) > 2p$  then from the boundedness of  $u$  we have

$$(4.8) \quad \int_{S^{n-1}} u^{\frac{s+1}{2}(p+1)} \leq C(n) \|u\|_{L^p(S^{n-1})}^p$$

and for the case  $(s+1)(p+1) \leq 2p$  we can perform the Hölder's inequality to get

$$(4.9) \quad \int_{S^{n-1}} u^{\frac{s+1}{2}(p+1)} \leq C(n, p) \|u\|_{L^p(S^{n-1})}^{\frac{(p+1)(s+1)}{2}}.$$

So, to prove a decay estimate for  $I_2(R)$  we need to construct a decay estimate for  $\|u\|_{L^p(S^{n-1})}$ . On the other hand, we apply Lemma 2.6 to get an upper bound for the first term in (4.7) that is  $I_1(R)$ . In fact, from Lemma 2.6 where  $i = 1$ ,  $\tau = 2(s+1)$  and  $t = 2$  we have

$$\begin{aligned}
(4.10) \quad \|D_x u\|_{L^{2(s+1)}(S^{n-1})} &\leq C \|D_\theta D_x u\|_{L^2(S^{n-1})} + C \|D_x u\|_{L^1(S^{n-1})} \\
&\leq CR \|D_x^2 u\|_{L^2(S^{n-1})} + C \|D_x u\|_{L^1(S^{n-1})}
\end{aligned}$$

for  $s = \frac{2}{n-3}$ . In order to get a decay estimate for  $I_1(R)$ , we need decay estimates for the two terms in the right-hand side of (4.10) which are  $\|D_x^2 u\|_{L^2(S^{n-1})}$  and  $\|D_x u\|_{L^1(S^{n-1})}$ .

We now apply the elliptic estimates given in Section 2 to provide decay estimates for  $\|u\|_{L^p(S^{n-1})}$ ,  $\|D_x u\|_{L^1(S^{n-1})}$  and  $\|D_x^2 u\|_{L^2(S^{n-1})}$ . To do so we first find appropriate upper bounds for these terms on the ball of radius  $R$ . Then we use certain comparing measure arguments to construct decay estimates over the sphere. So, from Lemma 2.4 when  $\tau = 2$ , we get

$$(4.11) \quad \int_{R/2}^R \|D_x^2 u\|_{L^2(S^{n-1})}^2 r^{n-1} dr \leq C \int_{B_{2R} \setminus B_{R/4}} |\Delta u|^2 + CR^{-4} \int_{B_{2R} \setminus B_{R/4}} u^2.$$

We now apply Corollary 2.3 and Corollary 2.1 to get a decay estimate for the right-hand side of (4.11) that is

$$\begin{aligned}
R^{-4} \int_{B_{2R} \setminus B_{R/4}} u^2 &\leq CR^{-4} \int_{B_{2R} \setminus B_{R/4}} u \leq CR^{-4} R^{n - \frac{\alpha+4}{p-1}} = CR^{n - \frac{\alpha+4p}{p-1}}, \\
\int_{B_{2R} \setminus B_{R/4}} |\Delta u|^2 &\leq CR^{n - \frac{\alpha+4p}{p-1}},
\end{aligned}$$



where  $C$  is independent from  $R$ . From this and (4.11) we obtain the following desired decay estimate on the Hessian operator of  $u$

$$(4.12) \quad \int_{R/2}^R \|D_x^2 u\|_{L^2(S^{n-1})}^2 r^{n-1} dr \leq CR^{n-\frac{4p+a}{p-1}}.$$

Similarly, from Corollary 2.2 and Lemma 2.1 we have

$$(4.13) \quad \int_{R/2}^R \|D_x u\|_{L^1(S^{n-1})} r^{n-1} dr \leq CR^{n-\frac{p+3+a}{p-1}},$$

$$(4.14) \quad \int_{R/2}^R \|u\|_{L^p(S^{n-1})}^p r^{n-1} dr \leq CR^{n-\frac{\alpha+4}{p-1}p}.$$

Now let's define the following sets. These sets are meant to facilitate our arguments towards construction of decay estimates for  $\|u\|_{L^p(S^{n-1})}$ ,  $\|D_x u\|_{L^1(S^{n-1})}$  and  $\|D_x^2 u\|_{L^2(S^{n-1})}$ . For a large number  $M$ , that will be determined later, define

$$\begin{aligned} \Gamma_1(R) &:= \left\{ r \in (R/2, R); \|u\|_{L^p(S^{n-1})}^p > MR^{-\frac{\alpha+4}{p-1}p} \right\}, \\ \Gamma_2(R) &:= \left\{ r \in (R/2, R); \|D_x u\|_{L^1(S^{n-1})} > MR^{-\frac{p+3+a}{p-1}} \right\}, \\ \Gamma_3(R) &:= \left\{ r \in (R/2, R); \|D_x^2 u\|_{L^2(S^{n-1})}^2 > MR^{-\frac{\alpha+4p}{p-1}} \right\}. \end{aligned}$$

We claim that  $|\Gamma_i(R)| \leq R/4$  for  $1 \leq i \leq 3$ . Using (4.12), we get

$$\begin{aligned} C &\geq R^{-n+\frac{\alpha+4p}{p-1}} \int_{R/2}^R \|D_x^2 u\|_{L^2(S^{n-1})}^2 r^{n-1} dr \\ &\geq NR^{-n+\frac{\alpha+4p}{p-1}} R^{n-1} \int_{R/2}^R \|D_x^2 u\|_{L^2(S^{n-1})}^2 dr \\ &\geq NMR^{-n+\frac{\alpha+4p}{p-1}} R^{n-1} \int_{|\Gamma_3(R)|} R^{-\frac{\alpha+4p}{p-1}} dr \\ &\geq NMR^{-n+\frac{\alpha+4p}{p-1}} R^{n-1} |\Gamma_3(R)| R^{-\frac{\alpha+4p}{p-1}} \\ &= NM |\Gamma_3(R)| R^{-1}, \end{aligned}$$

where  $N = (1/2)^{n-1}$ . Therefore,  $|\Gamma_3(R)| \leq \frac{C}{NM} R$ . Now choosing  $M$  to be large enough that is  $M > \frac{4C}{N}$ , we get  $|\Gamma_3(R)| \leq R/4$ . Similarly, applying (4.13) and (4.14), one can show that  $|\Gamma_i(R)| \leq R/4$  for  $1 \leq i \leq 2$ . Hence,  $|\Gamma_i(R)| \leq R/4$  for  $1 \leq i \leq 3$  while  $\Gamma_i(R) \subset (R/2, R)$ . So, we can find a sequence  $\tilde{R}$  such that

$$(4.15) \quad \tilde{R} \in (R/2, R) \setminus \bigcup_{i=1}^{i=3} \Gamma_i(R) \neq \emptyset.$$

Therefore, for the sequence  $\tilde{R}$ , we obtain

$$(4.16) \quad \|u\|_{L^p(S^{n-1})}^p \leq MR^{-\frac{\alpha+4}{p-1}p},$$

$$(4.17) \quad \|D_x u\|_{L^1(S^{n-1})} \leq MR^{-\frac{p+3+a}{p-1}},$$

$$(4.18) \quad \|D_x^2 u\|_{L^2(S^{n-1})}^2 \leq MR^{-\frac{\alpha+4p}{p-1}}.$$

Substituting (4.16) into (4.8) and (4.9) we get the following decay estimate on  $I_2(R)$  that is

$$(4.19) \quad \begin{aligned} I_2(R) &\leq C\chi\{(s+1)(p+1) > 2p\}R^{\frac{s+1}{2}a - \frac{a+4}{p-1}p} \\ &\quad + C\chi\{(s+1)(p+1) \leq 2p\}R^{\frac{s+1}{2}a - \frac{a+4}{p-1}(p+1)\frac{s+1}{2}} \\ &= C\chi\{(s+1)(p+1) > 2p\}R^{-\eta_1} \\ &\quad + C\chi\{(s+1)(p+1) > 2p\}R^{-\eta_2}, \end{aligned}$$

where  $\chi$  is the characteristic function,  $\eta_1 := a\left(\frac{p}{p-1} - \frac{s+1}{2}\right) + \frac{4p}{p-1} > 0$  and  $\eta_2 := \frac{s+1}{p+1}(ap + 2(p+1)) > 0$ . Note that we have used the fact that  $\frac{p}{p-1} - \frac{s+1}{2} > 0$  because  $0 < s = \frac{2}{n-3} \leq 1$  when  $n \geq 5$ . On the other hand, substituting (4.17) and (4.18) into the Sobolev embedding (4.10) we get

$$(4.20) \quad \|D_x u\|_{L^{2(s+1)}(S^{n-1})} \leq CR^{1 - \frac{a+4p}{p-1}} + CR^{-\frac{p+3+a}{p-1}} = 2CR^{-\frac{p+3+a}{p-1}}.$$

From this and the definition of  $I_1(R)$  we end up with the following decay estimate on  $I_1(R)$  that is

$$(4.21) \quad I_1(R) = \int_{S^{n-1}} |\nabla u|^{2(s+1)} \leq CR^{-\frac{2(p+3+a)(s+1)}{p-1}} = CR^{-\eta_3},$$

where  $\eta_3 := \frac{2(p+3+a)(s+1)}{p-1} > 0$ . Finally from (4.21) and (4.19) we observe that

$$I(R) \leq CR^{-\eta} \quad \text{for all } R > 1,$$

where  $\eta := \min\{\eta_1, \eta_2, \eta_3\} > 0$ . So,  $I(R) \rightarrow 0$  as  $R \rightarrow \infty$ . Note that as  $R \rightarrow \infty$  then  $\tilde{R} \rightarrow \infty$ . Since  $I(R)$  is a positive function and converges to zero, there is a sequence such that the functional  $I'(R)$  is nonpositive. Therefore, (4.5) yields

$$(4.22) \quad \int_{B_R} |\nabla \tilde{w}_+|^2 \tilde{w}_+^{s-1} \leq 0.$$

Hence,  $\tilde{w}_+$  has to be a constant. From continuity of  $\tilde{w}$ , we have  $\tilde{w} \equiv C$ . Note that the constant  $C$  cannot be strictly positive. So,  $\tilde{w}_+ = 0$  and therefore  $w_+ = 0$ . This finishes the proof.  $\square$

Note that Lemma 4.1 and lemma 4.2 imply an iteration argument for the following sequence of functions when  $k \geq -1$

$$(4.23) \quad w_k = \Delta u + \alpha_k(u + \epsilon)^{-1} |\nabla u|^2 + \beta_k |x|^{\frac{\alpha}{2}} u^{\frac{p+1}{2}}$$

as long as the right-hand side of (3.3) stays nonnegative. For the rest of this section, we construct sequences  $\{\alpha_k\}_{k=-1}$  and  $\{\beta_k\}_{k=-1}$  such that the right-hand side of (3.3) is nonnegative.

**4.1. Constructing sequences  $\alpha_k$  and  $\beta_k$ .** In this part, we define sequences  $\alpha_k$  and  $\beta_k$  needed for the iteration argument.

**Lemma 4.3.** *Suppose  $\alpha_0 = 0$  and define*

$$(4.24) \quad \alpha_{k+1} := \frac{4(\alpha_k + 1) - n + \sqrt{n(16\alpha_k^2 + 24\alpha_k + n + 8)}}{4(n-1)}.$$

*Then  $(\alpha_k)_k$  is a positive, bounded and increasing sequence that converges to  $\alpha := \frac{2}{n-4}$  provided  $n > 4$  and  $p > 1$ . Moreover, for this choice of  $(\alpha_k)_k$ , one of the sequences of coefficients defined in Proposition 3.1 is zero, i.e.  $I_{\alpha_k}^{(2)} = 0$ .*

**Proof:** It is straightforward to show that for any  $k \geq 0$  sequences  $\alpha_k > 0$ . Also, direct calculations show that  $\alpha_k \rightarrow \alpha := \frac{2}{n-4}$  provided  $\alpha_k$  is convergent. Note that  $\alpha_1 = \frac{4-n+\sqrt{n^2+8n}}{4n-4} < \frac{2}{n-4}$  and by induction one can see that  $\alpha_k \leq \alpha$  for all  $k \geq 0$ . In what follows we show that  $\alpha_k$  is an increasing sequence. For any  $k$  the difference of  $\alpha_k$  and  $\alpha_{k+1}$  is the following

$$\begin{aligned} \alpha_{k+1} - \alpha_k &= \frac{\sqrt{n(16\alpha_k^2 + 24\alpha_k + n + 8)} - ((n-4) + 4a_k(n-2))}{4(n-1)} \\ &= \frac{8(n-1)(n-4)(2\alpha_k + 1)}{S_{n,k}} \left( \frac{2}{n-4} - \alpha_k \right) \end{aligned}$$

where  $S_{n,k} = \sqrt{n(16\alpha_k^2 + 24\alpha_k + n + 8)} + (n-4) + 4a_k(n-2) > 0$ . Therefore, from the fact that  $\alpha_k \leq \alpha = \frac{2}{n-4}$ , we get the desired result.  $\square$

Similarly, in what follows we provide an explicit formula for the sequence  $\beta_k$ .

**Lemma 4.4.** *Suppose  $\beta_0 = \sqrt{\frac{2}{p+1}}$  and define*

$$(4.25) \quad \beta_{k+1} := \sqrt{\frac{2}{p+1} + \frac{4}{(p+1)n} \alpha_k \beta_k^2},$$

where  $(\alpha_k)_k$  is as in Lemma 4.3. Then  $(\beta_k)_k$  is a positive, bounded and increasing sequence that converges to  $\beta := \sqrt{\frac{2}{(p+1)-c_n}}$  where  $c_n = \frac{8}{n(n-4)}$  provided  $n > 4$  and  $p > 1$ . Moreover, for this choice of  $(\alpha_k)_k$  and  $(\beta_k)_k$ , one of the sequences of coefficients defined in Proposition 3.1 is strictly positive, i.e.  $I_{0,\alpha_k,\beta_k}^{(1)} > 0$ .

**Proof:** The sequence  $(\beta_k)_k$  for all  $k \geq 0$  is positive. Note that boundedness of the sequence  $(\alpha_k)_k$  forces the boundedness of the  $(\beta_k)_k$  meaning that  $\beta_{k+1} \leq \sqrt{\frac{2}{p+1} + \frac{4\alpha}{(p+1)n} \beta_k^2}$  for any  $k$ . By straightforward calculations we get

$$\beta_{k+1}^2 \leq \frac{2}{p+1} \sum_{i=0}^{k+1} \left( \frac{4\alpha}{n(p+1)} \right)^i.$$

Note that  $\frac{4\alpha}{n(p+1)} = \frac{8}{n(n-4)(p+1)} < 1$  provided  $n > 4$  and  $p > 1$ . Therefore,  $\sum_{i=0}^{\infty} \left( \frac{4\alpha}{n(p+1)} \right)^i < \infty$ . This proves the boundedness of  $(\beta_k)_k$ .

Since  $(\alpha_k)_{k=0}$  is an increasing sequence, the sequence  $(\beta_k)_{k=0}$  will be nondecreasing by induction. Note that  $\beta_1 = \beta_0$  and  $\beta_2 = \sqrt{\frac{2}{p+1} + \frac{8}{(p+1)^2 n} \frac{4-n+\sqrt{n^2+8n}}{4n-4}} > \beta_1 = \sqrt{\frac{2}{p+1}}$ . Suppose that  $\beta_{k-1} \leq \beta_k$  for a certain index  $k \geq 2$  then we apply the fact that  $\alpha_k \geq \alpha_{k-1}$  to show  $\beta_k \leq \beta_{k+1}$ . This can be found as a consequence of the following

$$\begin{aligned} \beta_{k+1} - \beta_k &= \frac{\beta_{k+1}^2 - \beta_k^2}{\beta_{k+1} + \beta_k} = \frac{4}{(p+1)n(\beta_{k+1} + \beta_k)} (\beta_k^2 \alpha_k - \beta_{k-1}^2 \alpha_{k-1}) \\ &\geq \frac{4\alpha_{k-1}(\beta_k + \beta_{k-1})}{(p+1)n(\beta_{k+1} + \beta_k)} (\beta_k - \beta_{k-1}). \end{aligned}$$

So,  $(\beta_k)_k$  is convergent and converges to  $\beta := \sqrt{\frac{2n(n-4)}{(p+1)(n-4)n-8}}$ . Note that  $(p+1)n(n-4) > 8$  for  $p > 1$  and  $n > 4$ . Therefore,  $\beta$  is well-defined.

□

Note that based on the definition of the sequences  $\{\alpha_k\}_{k=-1}$  and  $\{\beta_k\}_{k=-1}$  we concluded that  $I_{0,\alpha_k,\beta_k}^{(1)} > 0$  and  $I_{\alpha_k}^{(2)} = 0$ . In the next two lemmata we investigate the positivity of sequences  $I_{\epsilon,\alpha_k,\beta_k}^{(3)}$  and  $I_{a,\epsilon,\alpha_k,\beta_k}^{(4)}$  appeared in (3.3) in Proposition 3.1.

**Lemma 4.5.** *Set  $\epsilon = 0$  in  $I_{\epsilon,\alpha_k,\beta_k}^{(3)}$  that is defined in Proposition 3.1. Then,*

$$(4.26) \quad I_{0,\alpha_k,\beta_k}^{(3)} \rightarrow I_{0,\alpha,\beta}^{(3)} := \frac{4}{n}\alpha\beta(2\alpha+1) + \alpha\beta + \beta q(q-3\alpha-1)$$

as  $k \rightarrow \infty$ . The constant  $I_{0,\alpha,\beta}^{(3)}$  is positive provided  $p > \frac{n+4}{n-4}$  and  $n > 4$ .

**Proof:** Note that when  $p > \frac{n+4}{n-4}$  and  $n > 4$ , then we have  $\frac{p+1}{2} > \frac{n}{n-4}$ . As  $k \rightarrow \infty$ , from Lemma 4.3 and Lemma 4.4 the sequences  $\alpha_k \rightarrow \alpha := \frac{2}{n-4}$  and  $\beta_k \rightarrow \beta := \sqrt{\frac{2}{(p+1)-c_n}}$ . Therefore,

$$\begin{aligned} \frac{I_{0,\alpha,\beta}^{(3)}}{\beta} &= \frac{4}{n} \left( \frac{2}{n-4} \right) \left( \frac{4}{n-4} + 1 \right) + \frac{2}{n-4} + \frac{p+1}{2} \left( \frac{p-1}{2} - \frac{6}{n-4} \right) \\ &= \left( \frac{p+1}{2} \right)^2 - \left( \frac{p+1}{2} \right) \left( \frac{n+2}{n-4} \right) + \frac{2n}{(n-4)^2} \\ &= \left( \frac{p+1}{2} - \frac{n}{n-4} \right) \left( \frac{p+1}{2} - \frac{2}{n-4} \right) > 0. \end{aligned}$$

□

Note that  $I_{a,\epsilon,\alpha_k,\beta_k}^{(4)}$  appears in (3.3) mainly because of the weight function  $|x|^a$ . In other words, we have  $I_{0,\epsilon,\alpha_k,\beta_k}^{(4)} = 0$ , in case of  $a = 0$ .

**Lemma 4.6.** *For any  $k \geq 0$ ,*

$$(4.27) \quad I_{0,\alpha_k,\beta_k}^{(3)} < \beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2,$$

provided  $p > \frac{n+4}{n-4}$  and  $n > 4$ . Therefore, for any  $a \geq 0$  that satisfies the following upper bound

$$(4.28) \quad a \leq A_k := \frac{2(n-2)I_{0,\alpha_k,\beta_k}^{(3)}}{\beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2 - I_{0,\alpha_k,\beta_k}^{(3)}}$$

the sequence  $I_{a,0,\alpha_k,\beta_k}^{(4)}$  is positive for any  $k$ .

**Proof:** Basic calculations show that

$$\begin{aligned}
 & \beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2 - I_{0, \alpha_k, \beta_k}^{(3)} \\
 = & \beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2 - \frac{4}{n} \alpha_{k+1} \beta_k (\alpha_{k+1} \\
 & + \alpha_k + 1) - \alpha_{k+1} \beta_{k+1} - \beta_{k+1} \frac{p+1}{2} \left( \frac{p+1}{2} - 3\alpha_{k+1} - 1 \right) \\
 \geq & \beta_{k+1} \left( \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2 - \frac{4}{n} \alpha_{k+1} (\alpha_{k+1} + \alpha_k + 1) - \alpha_{k+1} \right. \\
 & \left. - \frac{p+1}{2} \left( \frac{p+1}{2} - 3\alpha_{k+1} - 1 \right) \right) \\
 = & \beta_{k+1} \left( \frac{n-4}{n} \alpha_{k+1}^2 - \frac{4}{n} \alpha_{k+1}^2 - \frac{4}{n} \alpha_{k+1} + \frac{(p-1)\alpha_{k+1}}{2} + \frac{p+1}{2} \right)
 \end{aligned}$$

where we have used the fact that  $\beta_k$  and  $\alpha_k$  are increasing sequences in the first and the second inequality respectively. Therefore,

$$\begin{aligned}
 & \beta_{k+1} \left( \frac{p+1}{2} - \alpha_{k+1} \right)^2 - I_{0, \alpha_k, \beta_k}^{(3)} \\
 \geq & \beta_{k+1} \left( \frac{n-4}{n} \alpha_{k+1}^2 + \alpha_{k+1} \left( \frac{p-1}{2} - \frac{4}{n} \alpha_{k+1} \right) + \frac{p+1}{2} - \frac{4}{n} \alpha_{k+1} \right) \\
 \geq & \beta_{k+1} \left( \frac{n-4}{n} \alpha_{k+1}^2 + (\alpha_{k+1} + 1) \left( \frac{p-1}{2} - \frac{4}{n} \alpha \right) \right) \\
 > & 0.
 \end{aligned}$$

Note that in the last inequality we have used the fact that  $\frac{p-1}{2} - \frac{4}{n} \alpha = \frac{p-1}{2} - \frac{4}{n} \frac{2}{n-4} > \frac{4}{(n-4)n} (n-2) > 0$ , since  $p > \frac{n+4}{n-4}$  and  $n > 4$ .  $\square$

**Remark 4.1.** *It would be interesting if a counterpart of (1.12) could be proved for bounded solutions of the fourth order semilinear equation  $\Delta^2 u = f(u)$  under certain assumptions on the arbitrary nonlinearity  $f \in C^1(\mathbb{R})$ . We expect that such an inequality could be established for some convex nonlinearity  $f$ .*

## 5. APPENDIX

We would like to mention that given the estimates in Lemma 2.1 and Lemma 2.2, one can provide a somewhat simpler proof for Proposition 2.1 as what follows.

**Second Proof for Proposition 2.1:** From Lemma 2.1, we have  $\int_{\mathbb{R}^n} |x|^{2-n+a} u^p dx < \infty$ . Hence we define the following function

$$w(x) = \frac{1}{n(n-2)\omega_n} \int_{\mathbb{R}^n} \frac{|y|^a u^p(y)}{|x-y|^{n-2}} dy.$$

It is clear that  $w(x) \geq 0$  and  $\Delta w = -|x|^a u^p$ . This implies that for a solution  $u$  of (1.1), the function  $h(x) := w(x) + \Delta u(x)$  is a well defined harmonic function on  $\mathbb{R}^n$ . Thus for any  $x_0 \in \mathbb{R}^n$  and any  $R > 0$ , by the mean value theorem for harmonic

functions, we will have

$$\begin{aligned}
 (5.1) \quad h(x_0) &:= \int_{\partial B_R(x_0)} h d\sigma \\
 &= \int_{\partial B_R(x_0)} (w + \Delta u) d\sigma \\
 &\leq \int_{\partial B_R(x_0)} w d\sigma + \int_{\partial B_R(x_0)} |\Delta u| d\sigma.
 \end{aligned}$$

Since  $w(x_0) < \infty$ , through Tonelli's theorem, we can change the order of the integrations to see that the first integral on the right-hand side of (5.1) tends to zero as  $R \rightarrow \infty$  for all  $R$ . To be more precise notice that, up to a constant multiple, the first integral can be written as

$$\int_{\mathbb{R}^n} \int_{\partial B_R(x_0)} \frac{d\sigma_x}{|x-y|^{n-2}} |y|^a u^p(y) dy.$$

Then we use the fact that  $\int_{\partial B_R(x_0)} \frac{d\sigma_x}{|x-y|^{n-2}} = |y-x_0|^{2-n}$  if  $|y-x_0| > R$  and equals to  $R^{2-n}$  if  $|y-x_0| < R$ . Thus the integral will split into two parts. Outside part tends to zero as  $R \rightarrow \infty$  due to the fact that  $w(x_0) < \infty$  while the inside part tends to zero due to the fact that, by Lemma 2.1,

$$\begin{aligned}
 R^{2-n} \int_{B_R(x_0)} |y|^a u^p(y) dy &\leq R^{2-n} \int_{B_{R+|x_0|}(0)} |y|^a u^p dy \\
 &\leq CR^{2-n} (R + |x_0|)^{n - \frac{4p+a}{p-1}}
 \end{aligned}$$

tends to zero as  $R \rightarrow \infty$ . The second integral will tend to zero for some sequence of  $R$  by Lemma 2.2 again. Apply the above inequality to this sequence to see that  $h(x_0) \leq 0$ . Since  $x_0$  is arbitrary, we have  $-\Delta u \geq 0$ . □

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