

ON A DYNAMICAL VERSION OF A THEOREM OF ROSENLICHT

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ABSTRACT. Consider the action of an algebraic group G on an irreducible algebraic variety X all defined over a field k . M. Rosenlicht showed that orbits in general position in X can be separated by rational invariants. We prove a dynamical analogue of this theorem, where G is replaced by a semigroup of dominant rational maps $X \dashrightarrow X$. Our semigroup G is not required to have the structure of an algebraic variety and can be of arbitrary cardinality. This generalizes earlier work of E. Amerik and F. Campana, where $k = \mathbb{C}$ and the semigroup G is assumed to be generated by a single endomorphism.

1. INTRODUCTION

Throughout this paper we will work over a base field k . By a k -variety we will mean a separated reduced scheme of finite type over k , not necessarily irreducible. Our starting point is the following classical theorem of M. Rosenlicht [Ros56, Theorem 2].

Theorem 1.1. *Consider the action of an algebraic group G on an irreducible algebraic variety X defined over a field k .*

(a) *There exists a G -invariant dense open subvariety $X_0 \subset X$ and a G -equivariant morphism $\phi: X_0 \rightarrow Z$ (where G acts trivially on Z), with the following property. For any field extension K/k and any K -point $x \in X_0(K)$, the orbit $G \cdot x$ equals the fiber $\phi^{-1}(\phi(x))$.*

(b) *Moreover, the field of invariants $k(X)^G$ is a purely inseparable extension of $\phi^*k(Z)$, and one can choose Z and ϕ so that $\phi^*k(Z) = k(X)^G$ (in characteristic zero, this is automatic).*

In short, for points x, y in general position in X , distinct G -orbits $G \cdot x$ and $G \cdot y$ can be separated by rational G -invariant functions. In particular, G -orbits in X_0 are closed in X_0 . The rational map $\phi: X \dashrightarrow Z$, with $k(Z) = k(X)^G$, is unique up to birational isomorphism. It is called *the rational quotient* for the G -action on X . See [PV94, Chapter 2] for details on this construction and its applications, [Kem07] for computational aspects, and [Pop14] for a generalization to actions of infinite-dimensional algebraic groups.

The purpose of this note is to prove a dynamical version of this result, where the algebraic group G is replaced by a semigroup of dominant rational maps $X \dashrightarrow X$. Here the semigroup G is not required to have the structure of an algebraic variety, and can be of arbitrary cardinality. Our main result is Theorem 1.2 below.

Theorem 1.2. *Let k be a field, X be an irreducible quasi-projective k -variety, and G be a semigroup of dominant rational k -maps $X \dashrightarrow X$.*

Then there exists a dense open subvariety X_0 , a countable collection of closed G -invariant subvarieties $Y_1, Y_2, \dots \subsetneq X_0$ and a dominant morphism $\phi: X_0 \rightarrow Z$ with the following properties.

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(a) Let K/k be a field extension and $x, y \in X_0(K)$ be K -points which do not lie in the indeterminacy locus of any $g \in G$, or on Y_i for any $i \geq 1$. Then $\phi(x) = \phi(y)$ if and only if $\overline{G \cdot x} = \overline{G \cdot y}$ in X_K .

(b) $\phi \circ g = \phi$, as rational maps $X \dashrightarrow Z$.

(c) For any dense open subvariety $X_0 \subset X$ and a dominant morphism $\phi: X_0 \rightarrow Z$ satisfying (a) and (b), the field of invariants $k(X)^G$ is a purely inseparable extension of $\phi^*k(Z)$. Moreover, one can choose X_0, Z and ϕ so that $\phi^*k(Z) = k(X)^G$ (in characteristic zero, this is automatic).

(d) Each Y_i is G -invariant in the following sense. Suppose K/k is a field extension and $x \in Y_i(K)$ does not lie in the indeterminacy locus of any $g \in G$. Then $\sigma(x) \in Y_i(K)$ for each $\sigma \in G$.

Furthermore, if G is a monoid (i.e., contains the identity morphism $X \rightarrow X$) then

(e) X_0 can be chosen to be g -invariant for every $g \in G$ which is an automorphism of X (i.e., g^{-1} exists in G , and g, g^{-1} are both regular).

(f) If $x \in X_0(K)$ is as in part (a), then the fiber $\phi^{-1}(\phi(x))$ of x in X_0 equals $\overline{(G \cdot x) \cap X_0}$.

In short, for points x, y in very general position in X , distinct orbit closures $\overline{G \cdot x}$ and $\overline{G \cdot y}$ can be separated by rational G -invariant functions. Here, as usual, “very general position” means “away from a countable union of proper subvarieties”.

Note that the G -orbit of $x \in X(K)$ in the setting of Theorem 1.1 is a K -subvariety of X ; it is defined as the image of the orbit map $G \rightarrow X$, taking $g \in G$ to $g \cdot x$. In the dynamical setting of Theorem 1.2 the orbit $G \cdot x$ is just a collection of K -points $g \cdot x$, as g ranges over G . The closure $\overline{G \cdot x}$ is a K -subvariety of X in both cases. Several remarks are in order.

(1) In the case where $k = \mathbb{C}$ and the semigroup G is generated by a single dominant rational map $X \dashrightarrow X$, Theorem 1.2 was proved by E. Amerik and F. Campana. Their result, [AC08, Theorem 4.1], is stated more generally, in the setting of Kähler manifolds. Theorem 1.2 was motivated by our attempt to find a purely algebraic characteristic-free proof of this result.

(2) The idea behind our construction of the map ϕ in Theorem 1.2 is as follows. Assuming $X \subset \mathbb{P}^n$, we set $\phi(x)$ to be to the class of the orbit closure $\overline{G \cdot x} \subset \mathbb{P}^n$ in the Hilbert scheme $\text{Hilb}(n)$ of subschemes of \mathbb{P}^n . The challenge is to show that this defines a rational map $\phi: X \dashrightarrow \text{Hilb}(n)$. The “quotient variety” Z will then be defined as the closure of the image of this map in $\text{Hilb}(n)$. This argument is in the same spirit as the proofs of Theorem 1.1 in [Ros56] and of [AC08, Theorem 4.1], with Hilbert schemes replacing Chow varieties or Barlet spaces used in these earlier proofs. To further illustrate our approach, we give a short proof of Theorem 1.1 using the Hilbert scheme in the last section.

(3) A conjecture of A. Medvedev and T. Scanlon [MS14, Conjecture 7.14] asserts that in the case where k is algebraically closed of characteristic 0, G is generated by a single regular endomorphism $X \rightarrow X$ and $k(X)^G = k$ (i.e., Z is a point), X has a k -point with a dense G -orbit. (See also [Ame14, Conjecture 7].) Over \mathbb{C} the Medvedev-Scanlon conjecture follows from the above-mentioned [AC08, Theorem 4.1]. In the case where k is an algebraically closed uncountable field of arbitrary characteristic, it was proved by the first author, D. Rogalski and S. Sierra [BRS10, Theorem 1.2]. Corollary 6.1 below may be viewed as a strengthening of [BRS10, Theorem 1.2].

Over a countable field, the Medvedev-Scanlon conjecture (which was, in turn, motivated by an earlier related conjecture of S.-W. Zhang [Zha06, Conjecture 4.1.6]) remains largely open. It has been established only in a small number of special instances (see, in particular, [MS14, Theorem 7.16] and [BGT, Theorem 1.3]), and no counterexamples are known.

(4) If the semigroup G is not assumed to be countable, then the points $x, y \in X_0(K)$ in part (a) are not truly in very general position, since they are required to lie away from the indeterminacy loci of (possibly uncountably many) elements $g \in G$. This requirement is imposed to make sure that the orbits $G \cdot x$ and $G \cdot y$ are well defined. In Section 5 we will prove a variant of Theorem 1.2, where the orbit $G \cdot x$ is defined more generally, as

$$(1.1) \quad G \cdot x := \{g(x) \mid g \in G \text{ is defined at } x\}.$$

With this definition, we will show that rational G -invariant functions on X separate orbit closures in very general position, even if G is uncountable; see Proposition 5.1. Our proof is based on replacing G a suitable countable subsemigroup H . Note that the new “exceptional subvarieties” of X_0 , resulting from replacing G by H (which we denote by W_i in the statement of Proposition 5.1) are generally bigger than the subvarieties Y_i in the statement of Theorem 1.2 and are only H -invariant, not necessarily G -invariant.

(5) In the case, where X is not quasi-projective, Theorem 1.2 and Proposition 5.1 can be applied to a quasi-projective dense open subvariety $X' \subset X$. Note however, that replacing X by X' and thus viewing elements of G as dominant rational maps $X' \dashrightarrow X'$, may make the condition on $x, y \in X_0(K)$ in part (a) more stringent by enlarging the indeterminacy loci of these rational maps.

(6) Examples 6.4 and 6.5 show that if we replace the countable collection of $\{Y_i, i \geq 1\}$ of proper subvarieties of X by a finite collection, Theorem 1.2 will fail, even in the simplest case, where the semigroup G is generated by a single dominant morphism $\sigma: X \rightarrow X$. Note that in Example 6.4, σ is an automorphism.

2. A DENSE COLLECTION OF RATIONAL SECTIONS

In this section we will consider the following situation. Let X be an irreducible k -variety, V be closed subvariety of $X \times \mathbb{P}^n$, and $\pi: V \rightarrow X$ be projection to the first factor, and $s_\lambda: X \dashrightarrow V$ be a collection of rational sections $X \dashrightarrow V$, indexed by a set Λ . We will denote the scheme-theoretic fiber $\pi^{-1}(x)$ of a point $x \in X$ by V_x .

$$\begin{array}{ccc} V_x & \subset & V & \subset & X \times \mathbb{P}^n \\ \pi \downarrow & & \pi \downarrow & & \\ x & \in & X & & \end{array} \quad \begin{array}{c} \nearrow \\ \nearrow \\ \nearrow \\ \nearrow \\ \dots \\ \nearrow \\ \nearrow \\ \nearrow \\ \nearrow \end{array} \quad \begin{array}{c} s_\lambda, \lambda \in \Lambda \end{array}$$

Note that we do not assume that V is irreducible and do not impose any restrictions on the cardinality of Λ .

For notational simplicity, we will sometimes identify $\{x\} \times \mathbb{P}^n$ with \mathbb{P}^n and thus think of V_x as a closed subscheme of \mathbb{P}^n . Similarly, since each s_λ is of the form $x \mapsto (x, s'_\lambda(x))$ for some rational map $s'_\lambda: X \rightarrow \mathbb{P}^n$, we will sometimes, by a slight abuse of notation, identify s_λ with s'_λ and view s_λ as a rational map $X \dashrightarrow \mathbb{P}^n$.

If K/k is a field extension, we will denote by $X(K)'$ the collection of K -points of X lying away from the indeterminacy locus of s_λ , for every $\lambda \in \Lambda$. In other words, for $x \in X(K)'$, $s_\lambda(x)$ is defined for every $\lambda \in \Lambda$. Note that if Λ is large enough, $X(K)'$ may be empty for some fields K/k , even if K is algebraically closed. On the other hand, the generic point η of X lies in $X(K_{\text{gen}})'$, where $K_{\text{gen}} = k(X)$ is the function field of X .

Proposition 2.1. *Assume that the union of $s_\lambda(X)$ over all $\lambda \in \Lambda$ is dense in V . Then there exists a countable collection $\{Y_i, i \geq 1\}$ of proper subvarieties of X with the following property: For any field extension K/k and $x \in X(K)'$ away from $\bigcup_{i=1}^\infty Y_i$, the set*

$$\{s_\lambda(x) \mid \lambda \in \Lambda\}$$

is Zariski dense in the fiber $V_x := \pi^{-1}(x)$.

Proof. By generic flatness (see, e.g., [Eis95, Theorem 14.4]), after replacing X by a dense open subvariety, we may assume that π is flat. Let us denote the Hilbert polynomial of the fiber V_x by p_{V_x} . Since π is flat, p_{V_x} is independent of the choice of $x \in X$. In particular, if η is the generic point of X , then

$$(2.1) \quad p_{V_x} = p_{V_\eta}$$

Note that if $I(V_x) \subset K[t_0, \dots, t_n]$ is the homogeneous ideal of V_x in \mathbb{P}^n , and $I(V_x)[d]$ is the K -vector space of homogeneous polynomials of degree d in $I(V_x)$, then

$$p_{V_x}(d) := \binom{n+d}{d} - \dim_K(I(V_x)[d]) \text{ for } d \gg 0.$$

Thus for $d \gg 0$, $\dim_K(I(V_x)[d])$ depends only on d and not on the choice of a field extension K/k or a point $x \in X(K)$.

Let K/k be a field and $x \in X(K)'$. Denote by W_x the closure of $\{s_\lambda(x) \mid \lambda \in \Lambda\}$ in V_x . Clearly $W_x \subset V_x \subset \mathbb{P}_K^n$ and thus $I(V_x) \subset I(W_x)$. We want to show that for $x \in X(K)'$ in very general position, $W_x = V_x$. Our first step towards this goal is the following simple lemma.

Lemma 2.2. *Let A and B be closed subschemes of the projective space \mathbb{P}^n . If $B \subset A$ and A and B have the same Hilbert polynomial, then $A = B$.*

Proof. Assume the contrary. Then there exists a homogeneous polynomial $r(t_0, \dots, t_n)$ such that r is identically 0 on B but not on A . Let $d := \deg(r)$. Choose a linear form $l(t_0, \dots, t_n)$ such that no power of l is identically 0 on $A \setminus Z$, where Z is the hypersurface in \mathbb{P}^n cut out by r . (Note that we can always choose $l = t_i$ for some $i = 0, \dots, n$.) Then $l^i r$ lies in $I(B)[d+i]$ but not in $I(A)[d+i]$ for every $i \geq 0$. Hence $\dim(I(B)[d+i]) > \dim(I(A)[d+i])$ for every $i \geq 0$, contradicting our assumption that A and B have the same Hilbert polynomial. \square

Proposition 2.1 now reduces to the following:

Claim 2.3. For every $d \geq 1$ there exists a proper closed subvariety $Y_d \subset X$ such that $\dim(I(W_x)[d]) = \dim(I(V_\eta)[d])$ for any field K/k and any $x \in X(K)'$ away from Y_d .

Indeed, Claim 2.3 tells us that for $x \in X(K)'$ away from $Y_1 \cup Y_2 \cup \dots$, we have $p_{W_x} = p_{V_\eta}$. Combining this with (2.1), we obtain $p_{W_x} = p_{V_x}$. Since $W_x \subset V_x$, Lemma 2.2 now tells us that $W_x = V_x$, as desired.

The rest of the proof will be devoted to establishing Claim 2.3. We begin by observing that it suffices to prove this claim for $d = 1$. Indeed, if we can settle this case, we can deduce Claim 2.3 for any $d \geq 1$, after replacing V by its image under

$$(\text{id}, \text{Ver}_d): X \times \mathbb{P}^n \rightarrow X \times \mathbb{P}^N,$$

where $N := \binom{n+d}{d}$ and Ver_d is the d -fold Veronese embedding.

In the case where $d = 1$, set $r := p_{V_\eta}(1) = n + 1 - \dim(I(V_\eta)[1])$. Claim 2.3 now reduces to

Claim 2.4. Let $\eta \in X(k(X))$ be the generic point of X . Suppose the linear span of the $k(X)$ -points $\{s_\lambda(\eta) \mid \lambda \in \Lambda\}$ in $\mathbb{P}_{k(X)}^n$ is of dimension r . Then there exists a closed subvariety $Y_1 \subset X$ such that for any field extension K/k and any $x \in X(K)'$ away from Y_1 , the linear span of the K -points $\{s_\lambda(x) \mid \lambda \in \Lambda\}$ is of dimension r .

To prove Claim 2.4, write \mathbb{P}^n as $\mathbb{P}(\mathcal{V})$, where \mathcal{V} is the underlying $(n+1)$ -dimensional vector space and let

$$\Delta := \{([v_1], \dots, [v_r]) \in (\mathbb{P}^n)^r \mid v_1 \wedge \dots \wedge v_r = 0 \text{ in } \wedge^r(\mathcal{V})\}.$$

For each $(\lambda_1, \dots, \lambda_r) \in \Lambda^r$, let $s_{\lambda_1, \dots, \lambda_r}: X \dashrightarrow (\mathbb{P}^n)^r$ be given by $x \mapsto (s_{\lambda_1}(x), \dots, s_{\lambda_r}(x))$.

Let $Z_{\lambda_1, \dots, \lambda_r} \subset X$ be the union of the indeterminacy loci of $s_{\lambda_1}, \dots, s_{\lambda_r}$ and

$$U_{\lambda_1, \dots, \lambda_r} := X \setminus Z_{\lambda_1, \dots, \lambda_r}.$$

Then $s_{\lambda_1, \dots, \lambda_r}: U_{\lambda_1, \dots, \lambda_r} \rightarrow (\mathbb{P}^n)^r$ is a regular map, and $s_{\lambda_1, \dots, \lambda_r}^{-1}(\Delta)$ is a closed subvariety of $U_{\lambda_1, \dots, \lambda_r}$. Note that in some cases $s_{\lambda_1, \dots, \lambda_r}^{-1}(\Delta) = U_{\lambda_1, \dots, \lambda_r}$. This will happen if and only if $s_{\lambda_1, \dots, \lambda_r}(\eta) \in \Delta$. For example, $s_{\lambda_1, \dots, \lambda_r}^{-1}(\Delta) = U_{\lambda_1, \dots, \lambda_r}$ whenever $\lambda_i = \lambda_j$ for some $i \neq j$.

By our assumption there exist $\alpha_1, \dots, \alpha_r \in \Lambda$ such that $s_{\alpha_1, \dots, \alpha_r}(\eta) \notin \Delta$. Then

$$Y_1 := Z_{\alpha_1, \dots, \alpha_r} \cup s_{\alpha_1, \dots, \alpha_r}^{-1}(\Delta)$$

is a proper closed subvariety of X with the desired property. This completes the proof of Claim 2.4 and thus of Claim 2.3 and Proposition 2.1. \square

Remark 2.5. In the sequel it will be convenient for us to define Y_1 more symmetrically as follows:

$$Y_1 := \bigcap_{(\lambda_1, \dots, \lambda_r) \in \Lambda^r} (Z_{\lambda_1, \dots, \lambda_r} \cup s_{\lambda_1, \dots, \lambda_r}^{-1}(\Delta)).$$

Note also that since Claim 2.4 concerns only field-valued points of Y_1 , the scheme structure of $s_{\lambda_1, \dots, \lambda_r}^{-1}(\Delta)$ does not make a difference in this context; we are only interested in the underlying variety.

3. THE HILBERT SCHEME AND PROOF OF THEOREM 1.2(A), (B), (D), (E), (F)

The Hilbert scheme $\text{Hilb}(n)$, constructed by A. Grothendieck [Gro95], classifies closed subschemes of \mathbb{P}^n in the following sense. A family of subschemes of \mathbb{P}^n parametrized by a scheme X is, by definition, a closed subscheme

$$V \subset X \times \mathbb{P}^n$$

such that the projection $\pi: V \rightarrow X$ to the first factor is flat. As we mentioned in the previous section, if X is irreducible, then every fiber of π has the same Hilbert polynomial. Families of subschemes of \mathbb{P}^n parametrized by X are in a natural (functorial in X) bijective correspondence with morphisms $X \rightarrow \text{Hilb}(n)$. Note that $\text{Hilb}(n)$ is not a Noetherian scheme; it is a disjoint union of (infinitely many) schemes of the form $\text{Hilb}(n, p)$, where p is a fixed Hilbert polynomial. Each $\text{Hilb}(n, p)$ is a projective variety defined over \mathbb{Z} ; it parametrizes families of subschemes of \mathbb{P}^n with Hilbert polynomial p .

We are now ready to proceed with the proof of Theorem 1.2. In this section we will construct a dense open subset $X_0 \subset X$, a dominant morphism $\phi: X_0 \rightarrow Z$, and a countable collection of proper k -subvarieties $Y_d \subset X_0$. We will prove parts (a), (b), (d), (e) and (f) of Theorem 1.2 and defer the proof of part (c) to the next section.

By our assumption X is a quasi-projective variety. In other words, X is a locally closed subvariety of some projective space \mathbb{P}_k^n . Let $V \subset X \times \mathbb{P}^n$ be the Zariski closure of the union of the graphs of $g: X \dashrightarrow X \subset \mathbb{P}^n$, as g ranges over G . Let $\pi: V \rightarrow X$ be the projection

$$(3.1) \quad \begin{array}{ccc} V := \overline{\{(x, g(x)) \mid x \in X, g \in G\}} & \subset & X \times \mathbb{P}^n \\ \pi \downarrow & & \\ X & & \end{array}$$

to the first factor and $X_0 \subset X$ be the flat locus of π , i.e. the largest dense open subset of X over which π is flat, and $V_0 := \pi^{-1}(X_0)$. (Recall that X_0 is dense in X by generic flatness.) We now view $V_0 \subset X_0 \times \mathbb{P}^n$ as a family of subschemes of \mathbb{P}^n parametrized by X_0 . By the universal property of the Hilbert scheme this family induces a morphism $\phi: X_0 \rightarrow \text{Hilb}(n)$. Denote the closure of the image of this morphism by Z . If K/k is a field extension and $x, y \in X_0(K)$ then by our construction

$$(3.2) \quad \phi(x) = \phi(y) \text{ if and only if } V_x = V_y.$$

Here we identify $\{x\} \times \mathbb{P}_K^n$ and $\{y\} \times \mathbb{P}_K^n$ with \mathbb{P}_K^n .

We may view each $g \in G$ as a rational section $X_0 \rightarrow V_0$ given by $x \mapsto (x, g(x))$, as in the previous section. By the definition of V , the union of the images of these sections is dense in V_0 . Thus by Proposition 2.1 there exists a countable collection of proper k -subvarieties $Y_i \subset X_0$, such that for any field extension K/k and any $x \in X_0(K)$ away from the union of these subvarieties,

$$(3.3) \quad V_x = \overline{G \cdot x} \text{ in } \mathbb{P}_K^n.$$

Choose Y_1, Y_2, \dots using the formula in Remark 2.5.

(a) By (3.2), $\phi(x) = \phi(y)$ if and only if $V_x = V_y$ in \mathbb{P}_K^n . By (3.3), $V_x = \overline{G \cdot x}$, $V_y = \overline{G \cdot y}$, where the closure is taken in \mathbb{P}_K^n . This shows that $\phi(x) = \phi(y)$ if and only if $G \cdot x$ and $G \cdot y \subset X_K$ have the same closure in \mathbb{P}_K^n . On the other hand, $G \cdot x$ and $G \cdot y$ have the same closure in \mathbb{P}_K^n if and only if they have the same closure in X_K .

(b) It suffices to show that the rational maps $\phi \circ g$ and $\phi: X \dashrightarrow \text{Hilb}(n)$ agree on the generic point η of X for every $g \in G$. Choose $g \in G$ and fix it for the rest of the proof. Then η and $\mu := g(\eta)$ are K_{gen} -points of X , where $K_{\text{gen}} := k(X)$. Since G is dominant, neither η nor μ lie on any proper subvariety of X defined over k . In particular, they do not lie in the indeterminacy locus of any $h \in G$ or on Y_i for any $i \geq 1$. By (3.2), proving that $\phi(\eta) = \phi(\mu)$ is equivalent to showing that

$$(3.4) \quad V_\eta = V_\mu \text{ in } \mathbb{P}_{K_{\text{gen}}}^n,$$

where $V_\eta, V_\mu \in \mathbb{P}_{K_{\text{gen}}}^n$ are the fibers of η and μ , respectively, under $\phi: V \rightarrow X$ in $\mathbb{P}_{K_{\text{gen}}}^n$.

Since $\eta, \mu \in X_0(K_{\text{gen}})$ do not lie on Y_i for any $i \geq 1$, part (a) tells us that $V_\eta = \overline{G \cdot \eta}$ and $V_\mu = \overline{G \cdot \mu}$. Now recall that $\mu = g(\eta)$. Thus $G \cdot \mu \subset G \cdot \eta$ and consequently, $V_\mu \subset V_\eta$. Since $\pi: V \rightarrow X$ is flat over X_0 , V_η and V_μ have the same Hilbert polynomial, and (3.4) follows from Lemma 2.2.

(d) Once again, after replacing X by its image under the Veronese embedding Ver_d , we may assume without loss of generality that $d = 1$, as in the proof of Proposition 2.1. By our assumption g is regular at $x \in Y_1(K)$ for every $g \in G$. Thus the condition that $x \in Y_1$ is equivalent to $(g_1(x), \dots, g_r(x)) \in \Delta$ for every $g_1, \dots, g_r \in G$; see the formula for Y_1 in Remark 2.5. We want to show that $\sigma(x) \in Y_1$, i.e.,

$$\sigma(x) \in Z_{g_1, \dots, g_r} \cup s_{g_1, \dots, g_r}^{-1}(\Delta)$$

for every $g_1, \dots, g_r \in G$ (once again, see the formula in Remark 2.5).

Choose a particular r -tuple $g_1, \dots, g_r \in G$. If one of the endomorphisms g_1, \dots, g_r is undefined at $\sigma(x)$ then $\sigma(x) \in Z_{g_1, \dots, g_r}$, and we are done. On the other hand, if $\sigma(x)$ lies in the domain of every g_i , then $g_i(\sigma(x)) = (g_i \circ \sigma)(x)$ for $i = 1, \dots, r$. Since $x \in Y_1$, we have $(g_1 \circ \sigma, \dots, g_r \circ \sigma)(x) \in \Delta$. In other words, $\sigma(x) \in s_{g_1, \dots, g_r}^{-1}(\Delta)$, as desired.

(e) If g is an automorphism of X , then the variety $V \subset X \times \mathbb{P}^n$ defined in (3.1), is g -invariant, where g acts on $X \times \mathbb{P}^n$ via the first factor. Consequently, the projection $\pi: V \rightarrow X$ is g -equivariant, and the flat locus $X_0 \subset X$ of π is invariant under g .

(f) By part (b), $\phi((G \cdot x) \cap X_0) = \phi(x)$ and thus

$$(3.5) \quad \overline{(G \cdot x) \cap X_0} \subset \phi^{-1}(\phi(x)),$$

where the closure is taken in X_0 . If $y \in X_0$ and $\phi(y) = \phi(x)$, then by (3.2), $V_y = V_x$. Since G is a monoid, $y \in V_y$ and thus $y \in V_x$. In other words, $\phi^{-1}(\phi(x)) \cap X_0 \subset V_x \cap X_0$. Combining this with (3.5), we obtain

$$(3.6) \quad \overline{(G \cdot x) \cap X_0} \subset \phi^{-1}(\phi(x)) \subset V_x \cap X_0.$$

On the other hand, by (3.3), $G \cdot x$ is dense in V_x . Thus $(G \cdot x) \cap X_0$ is dense in $V_x \cap X_0$, i.e.,

$$\overline{(G \cdot x) \cap X_0} = V_x \cap X_0.$$

We conclude that both containments in (3.6) are equalities. In particular, $\overline{(G \cdot x) \cap X_0} = \phi^{-1}(\phi(x))$, as desired.

4. PROOF OF THEOREM 1.2(C)

By part (b), $\phi^*(k(Z)) \subset k(X)^G$. Let Y be a k -variety whose function field $k(Y)$ is $k(X)^G$. The inclusions $k(Z) \xrightarrow{i^*} k(Y) = k(X)^G \xrightarrow{\psi^*} k(X) = k(X_0)$ induce dominant rational maps

$$\begin{array}{ccc} & X_0 & \\ & \downarrow \psi & \\ \phi \swarrow & Y & \downarrow i \\ & Z & \end{array}$$

After replacing X_0 , Z , and Y by suitable dense open subvarieties, we may assume that all three maps in the above diagram are regular.

Let K/k be a field and $x, y \in X_0(K)$ be as in Theorem 1.2(a). We claim that if $\phi(x) = \phi(y)$ then $\psi(x) = \psi(y)$. Indeed, by Theorem 1.2(a), $\phi(x) = \phi(y)$ implies that $\overline{G \cdot x} = \overline{G \cdot y}$ in X_K . Then

$$(4.1) \quad \overline{(G \cdot x) \cap X_0} = \overline{(G \cdot y) \cap X_0} \text{ in } X_0.$$

By our construction, ψ is G -equivariant, where G acts trivially on Y . Thus ψ sends all of $\overline{(G \cdot x) \cap X_0}$ to the point $\psi(x)$ and all of $\overline{(G \cdot y) \cap X_0}$ to the point $\psi(y)$ in Y . By (4.1), $\psi(x) = \psi(y)$, as claimed.

In particular, if K/k is field extension and $x, y: \text{Spec}(K) \rightarrow X$ are dominant points, then x and y satisfy the conditions of Theorem 1.2(a) and thus

$$\phi(x) = \phi(y) \text{ if and only if } \psi(x) = \psi(y).$$

By Lemma 4.1 below, i is purely inseparable. This proves the first assertion of Theorem 1.2(c). To prove the second assertion of part (c), we simply replace Z by Y and ϕ by ψ .

It remains to prove

Lemma 4.1. *Let $\phi: X \xrightarrow{\psi} Y \xrightarrow{i} Z$ be dominant maps of irreducible k -varieties. Suppose that for any pair of dominant points $x, x': \text{Spec}(K) \rightarrow X$, where K/k is a field extension,*

$$(4.2) \quad \phi(x) = \phi(x') \text{ if and only if } \psi(x) = \psi(x').$$

Then the field extension $k(Y)/i^(k(Z))$ is purely inseparable.*

Proof. Let F be the algebraic closure of $k(X)$ and $x: \text{Spec}(F) \rightarrow X$ be the dominant F -point of X obtained by composing the natural projection $\text{Spec}(F) \rightarrow \text{Spec}(k(X))$ with the generic point $\text{Spec}(k(X)) \rightarrow X$. Set $z := \phi(x)$. The fiber $\phi^{-1}(z)$ is an F -subvariety of X_F . Denote its irreducible components by X_1, \dots, X_n .

By the fiber dimension theorem, the generic point $x_i: \text{Spec}(F(X_i)) \rightarrow X_i \hookrightarrow X$ is dominant for every $i = 1, \dots, n$. If K/F is a compositum of $F(X_1), \dots, F(X_n)$ over F and $(x_i)_K$ is the composition of the projection $\text{Spec}(K) \rightarrow \text{Spec}(F(X_i))$ with x_i , then

$$\phi((x_1)_K) = \dots = \phi((x_n)_K) = z_K = \phi(x_K).$$

Our assumption (4.2) now tells us that $\psi((x_1)_K) = \dots = \psi((x_n)_K) = \psi(x_K)$. Since x is, by definition, an F -point of X , we see that $\psi((x_1)_K) = \dots = \psi((x_n)_K) = \psi(x)$ descends to a dominant F -point $y: \text{Spec}(F) \rightarrow Y$, where $i(y) = z$. In other words ψ maps each X_i to the single point $y \in Y(F)$, as depicted in the following diagram:

$$\begin{array}{ccccc} & X & & X_1 & \dots & X_n \\ & \downarrow \psi & & \searrow & & \swarrow \\ \phi \curvearrowright & Y & & & & y \\ & \downarrow i & & & & \downarrow \\ & Z & & & & z \end{array}$$

Thus $\psi(\phi^{-1}(z)) = y$. Equivalently, $\psi(\psi^{-1}(i^{-1}(z))) = y$ or $i^{-1}(z) = y$. Applying the fiber dimension theorem one more time, we obtain $\dim(Y) = \dim(Z)$. Since $z: \text{Spec}(F) \rightarrow Z$ is dominant, and F is algebraically closed, the number of preimages of z in Y equals the separability degree of $k(Y)$ over $i^*(k(Z))$. In our case the preimage of z is a single point $y \in Y(F)$; hence, $k(Y)$ is purely inseparable over $i^*(k(Z))$. This completes the proof of Lemma 4.1 and thus of Theorem 1.2. \square

Remark 4.2. We do not know whether or not $\phi^*k(Z)$ always coincides with $k(X)^G$, where Z is the closure of the image of the rational map $\phi: X \dashrightarrow \text{Hilb}(n)$ we constructed. As we have just seen, this is always the case in characteristic zero, so the question is only of interest in prime characteristic. An analogous question in the context of Theorem 1.1 was left open in [Ros56] and was subsequently settled in the positive by A. Seidenberg in [Sei79].

5. PASSING TO A COUNTABLE SUBSEMIGROUP

The purpose of this section is to prove a refinement of Theorem 1.2, which shows that ϕ will separate orbit closures in very general position, even if G is uncountable; see remark (3) in the Introduction. The price we will pay for this strengthening of Theorem 1.2 is that the new “exceptional subvarieties” $W_i \subsetneq X_0$ may no longer be G -invariant in the sense of Theorem 1.2(d).

Note also that Proposition 5.1 below, is only of interest if G is uncountable. Otherwise, we can take $H = G$, and Proposition 5.1 will be subsumed by Theorem 1.2.

Proposition 5.1. *Let X be a quasi-projective irreducible k -variety, and G be a semigroup of dominant rational maps $X \dashrightarrow X$. Under the assumptions of Theorem 1.2, with $k(Z) = k(X)^G$, there exists a countable subsemigroup H of G with the following properties. There exists a countable collection $\{W_i \mid i \geq 1\}$ of subvarieties of X_0 , such that each $W_i \subsetneq X_0$ is H -invariant in the sense of Theorem 1.2(d), and*

(a) $k(X)^G = k(X)^H$.

(b) *For any field extension F/k and any $x, y \in X_0(F)$ away from the (countably many) indeterminacy loci of elements of H and away from $\cup_{i \geq 1} W_i$, $\phi(x) = \phi(y)$ if and only if $\overline{H \cdot x} = \overline{H \cdot y}$ in X_F .*

(c) *Moreover, if $x \in X_0(F)$ as in part (b), $\overline{G \cdot x} = \overline{H \cdot x}$, where $G \cdot x$ is defined as in (1.1).*

Our proof will rely on the following elementary lemma.

Lemma 5.2. *Let W be an algebraic variety (not necessarily irreducible), and S be a dense collection of points in W . Then there exists a countable subcollection $S' \subset S$ which is dense in W .*

Proof. After replacing W by a dense open subset, and removing the points of S that do not lie in this dense open subset, we may assume without loss of generality that $W \subset \mathbb{A}^n$ is affine. Let $I(W)$ be the ideal of W in $k[x_1, \dots, x_n]$ and $I(W)[d]$ be the finite-dimensional vector space of polynomials of degree $\leq d$ vanishing on W . It is easy to see that for each d there is a finite subset $S_d \subset S$ such that $I(S_d)[d] = I(W)[d]$. Taking $S' = \cup_{d=1}^{\infty} S_d$, obtain $I(S')[d] = I(W)[d]$ for every $d \geq 1$. Thus $I(S') = I(W)$ and S' is dense in W . \square

Proof of Proposition 5.1. We will assume that $X \subset \mathbb{P}^n$ is a locally closed subvariety of \mathbb{P}^n for some $n \geq 1$. Let $V \subset X \times \mathbb{P}^n$ be the closure of the union of the images of

$$s_g: X \dashrightarrow X \times \mathbb{P}^n,$$

over all $g \in G$, as in (3.1). Applying Lemma 5.2 to the generic points of the closures of the images of s_g , as $g \in G$, we see that there exists a countable collection of elements $\{h_i \mid i \geq 1\}$ such that the images of s_{h_i} are dense in V . Let H be the countable subsemigroup of G generated by these h_i . Denote the flat locus of the projection $\pi: V \rightarrow X$ by $X_0 \subset X$ and the morphism associated to π , viewed as a family of subschemes over X_0 , by $\phi: X_0 \rightarrow \text{Hilb}(n)$, as before. Arguing as in Section 3, we see that there exists a countable collection $\{W_i \mid i \geq 1\}$ of H -invariant closed subvarieties of X_0 such that for any field extension K/k and any point $x \in X_0(K)$ away from $\cup_{i \geq 1} W_i$ and from the indeterminacy locus of every $h \in H$, $V_x = \overline{H \cdot x}$ in X ; cf. (3.3). Now $\phi(x) = \phi(y)$ if and only if $V_x = V_y$ if and only if $\overline{H \cdot x} = \overline{H \cdot y}$. This proves part (b).

(c) Since $H \cdot x \subset G \cdot x \subset V_x$, we have $\overline{H \cdot x} = \overline{G \cdot x} = V_x$.

(a) By our construction, $\phi^* k(Z) \subset k(X)^G \subset k(X)^H$, and by Theorem 1.2(c), $k(X)^H$ is purely inseparable over $\phi^* k(Z)$. Thus $k(X)^H$ is purely inseparable over $k(X)^G$.

It remains to show that, in fact, $k(X)^H = k(X)^G$. Choose $a \in k(X)^H$. Then a satisfies some purely inseparable polynomial $p(t) \in k(X)^G[t]$. For any $g \in G$, $g(a)$ also satisfies $p(t)$. Since a is the only root of $p(t)$ in $k(X)$, we conclude that $g(a) = a$. In other words, $a \in k(X)^G$, i.e., $k(X)^H = k(X)^G$, as desired. \square

6. A VARIANT OF THE MEDVEDEV-SCANLON CONJECTURE AND EXAMPLES

The following corollary of Proposition 5.1 is a generalization of the Medvedev-Scanlon conjecture [MS14, Conjecture 7.14] in the case, where the base field k is uncountable; cf. Remark (3) in the Introduction.

Corollary 6.1. *Let k be an uncountable algebraically closed field, X be an irreducible k -variety, and G be a semigroup of dominant rational maps $X \dashrightarrow X$. If $k(X)^G = k$ then $G \cdot x$ is dense in X for some $x \in X(k)$.*

Here $G \cdot x := \{g(x) \mid g \in G \text{ is defined at } x\}$, as in (1.1).

Proof. After replacing X by a dense open subvariety, we may assume that X is quasi-projective. Let $X_0 \subset X$ be a dense open subvariety, and $\phi: X_0 \rightarrow Z$ be a morphism such that $\phi^*k(Z) = k(X)^G$, and H be countable subsemigroup of G such that $k(X)^H = k(X)^G$, as in Proposition 5.1.

Since $k(X)^H = k(X)^G = k$, the variety Z is a single point. Let $S \subset X_0(k)$ be the set of k -points of X_0 away from the exceptional subvarieties W_i for every $i \geq 1$, and away from the indeterminacy locus of every $h \in H$. Since k is algebraically closed and uncountable, S is Zariski dense in X_0 . By Proposition 5.1(b),

$$(6.1) \quad Y = \overline{H \cdot x} \text{ is independent of the choice of } x \in S.$$

Since $h: X \dashrightarrow X$ is dominant for every $h \in H$, we see that the union $\bigcup_{x \in S} \overline{H \cdot x}$ is dense in X . On the other hand, by (6.1), this union equals Y , which is closed in X . We conclude that $Y = X$, i.e., $\overline{H \cdot x} = X$ and consequently, $\overline{G \cdot x} = X$ for every $x \in S$. \square

The following example shows that if we don't assume that k is uncountable, then the Medvedev-Scanlon's conjecture [MS14, Conjecture 7.14] can fail in prime characteristic.

Example 6.2. Let p be a prime number, and $X = \mathbb{A}^m$ be an m -dimensional affine space defined over an algebraically closed field k of characteristic p , and G be the semigroup generated by the Frobenius endomorphism $\sigma: X \rightarrow X$. Then $k(X)^{\langle \sigma \rangle} = k$. On the other hand, there exists a point $x \in \mathbb{A}^m(k)$ with a Zariski dense orbit under σ if and only if $\text{trdeg}_{\mathbb{F}_p}(k) \geq m$.

The following example shows that Proposition 5.1 will fail if we require H to be finitely generated, rather than just countable.

Example 6.3. Let X be a complex abelian variety of dimension ≥ 1 , and G be the group of translations on X by torsion points of $X(\mathbb{C})$. Then G is countable and $\mathbb{C}(X)^G = \mathbb{C}$. On the other hand, any finitely generated subgroup H of G is finite, and $\mathbb{C}(X)^H$ is a finite subextension of $\mathbb{C}(X)$. Hence, $\mathbb{C}(X)^G \subsetneq \mathbb{C}(X)^H$ for any finitely generated H . \square

The following examples show that the countable collection $\{Y_i, i \geq 1\}$ of proper "exceptional" subvarieties of X cannot be replaced by a finite collection in the statement of Theorem 1.2.

Example 6.4. Let E be an elliptic curve over k , $X = E \times E$, σ be an automorphism of X given by $\sigma(x, y) := (x, x + y)$, and $G \cong \mathbb{N}$ (or $G \cong \mathbb{Z}$) is generated by σ as a semigroup (or as a group). In this case Z and ϕ are unique (up to birational isomorphism), and it is easy to see that ϕ is just projection to the first factor, $\phi: X \rightarrow Z := E$. The fiber $X_z = \{z\} \times E$ is the closure of a single orbit if and only if z is of infinite order in E . Thus the "exceptional set" $Y_1 \cup Y_2 \cup \dots$ has to contain countably many "vertical" curves $\{z\} \times E$, as z ranges over the torsion points of E .

Example 6.5. Let $X = \mathbb{P}^n$ and $G \cong \mathbb{N}$ be the cyclic semigroup generated by a single dominant morphism $\sigma: X \rightarrow X$ of degree ≥ 2 . Assume that k is algebraically closed. Then the exceptional collection Y_1, Y_2, \dots is dense in X ; in particular, it cannot be finite.

Proof. We claim that $\text{trdeg}_k k(X)^G < n$. Indeed, assume the contrary. Then the field extension $k(X)/k(X)^G$ is algebraic and finitely generated; hence, it is finite. Now we can view $\sigma^*: k(X) \rightarrow k(X)$ as a $k(X)^G$ -linear transformation of a finite-dimensional $k(X)^G$ -vector space $k(X)$. Since σ^* is injective, we conclude that it is also surjective, and thus $\sigma: X \rightarrow X$ has degree 1, contradicting our choice of σ .

The claim tells us that the general fiber (and hence, every non-empty fiber) of the map $\sigma: X \rightarrow Z$ has dimension ≥ 1 . Suppose $x \in \overline{X}(k)$ is a periodic point of X , i.e., $\sigma^n(x) = x$ for some $n \geq 1$. Then $G \cdot x$ is finite, and thus $\overline{G \cdot x}$ is 0-dimensional. Consequently, $G \cdot x$ cannot be dense in the fiber $\phi^{-1}(\phi(x))$, so x has to lie in the exceptional locus $Y_1 \cup Y_2 \cup \dots$. On the other hand, by a result of N. Fakhruddin [Fak03, Corollary 5.3] periodic k -points for σ are dense in $X = \mathbb{P}^n$. \square

7. ROSENLICHT'S THEOREM REVISITED

In this section we give a short proof of Theorem 1.1 under the assumption that $X \subset \mathbb{P}^n$ is an irreducible quasi-projective k -variety. The idea is to modify Rosenlicht's original proof, by replacing the Chow variety with the Hilbert scheme, in the spirit of the arguments in this paper.

An action of an algebraic group G on X given by a k -morphism

$$\begin{aligned} \psi: G \times X &\rightarrow X \times X \subset X \times \mathbb{P}^n \\ (g, x) &\mapsto (x, g(x)). \end{aligned}$$

We define $V \subset X \times \mathbb{P}^n$ to be the closure of the image of ψ , $\pi: V \rightarrow X$ to be projection to the first factor, and $V_x := \pi^{-1}(x)$ to be the fiber of $x \in X$, as in (3.1). The role of Proposition 2.1 will now be played by Lemma 7.1 below. Note that the countable collection of exceptional subvarieties $Y_i \subset X$ from Proposition 2.1 does not come up, and the proof is considerably simpler in this setting.

Lemma 7.1. *There exists a G -invariant dense open subvariety $U \subset X$ with the following property: for any field K/k and any $x \in U(K)$, $G \cdot x$ is dense in V_x .*

Proof. First we will prove the lemma under the assumption that G is irreducible. In this case $V = \overline{\psi(G \times X)}$ is also irreducible. By Chevalley's theorem, $\psi(G \times X)$ contains a dense open subvariety W of V . After replacing W by the union of its g -translates, as g ranges over G , we may assume that W is G -invariant. Here G acts on V via the second factor. In particular, $W \cap V_x = \{x\} \times (G \cdot x)$. Set $C := V - W$. Since $\dim(C) < \dim(V)$, there exists a G -invariant dense open subvariety $U \subset X$ such that $\dim(C_x) < \dim(V) - \dim(X)$ for any $x \in U$. Here $C_x := V_x \cap C$. Since every irreducible component of V_x has dimension $\geq \dim(V) - \dim(X)$, we see that C does not contain an irreducible component of V_x for every $x \in U$. Thus $G \cdot x$ is dense in V_x , as desired. This completes the proof of the lemma under the assumption that G is irreducible.

In general denote the irreducible components of G by G^0, G^1, \dots, G^r , where G^0 is the identity component. Let V^0 be the closure of the image of the action map $G^0 \times X \rightarrow X \times X$ in $X \times \mathbb{P}^n$. Then $V = V^0 \cup (G^1 \cdot V^0) \cup \dots \cup (G^r \cdot V^0)$. As we have just shown, there exists a G^0 -invariant dense open subvariety $U \subset X$ such that $G^0 \cdot x$ is dense in V_x^0 for any $x \in U$. Then clearly $G \cdot x$ is dense in V_x . A priori U is only G^0 -invariant, but we can make it G -invariant by replacing it with the dense open subvariety $U^0 \cap (G^1 \cdot U^0) \cap \dots \cap (G^r \cdot U^0)$. \square

From now on we will replace X by U , and thus assume that $G \cdot x$ is dense in V_x for every $x \in X$. Let $X_0 \subset X$ be the flat locus of the projection $\pi: V \rightarrow X$. The same argument as in the proof of Theorem 1.2(e) shows that X_0 is G -invariant. Let $\phi: X_0 \rightarrow \text{Hilb}(n)$ as the morphism associated to $\pi^{-1}(X_0) \subset X \times \mathbb{P}^n$, which we view as a family of subschemes of \mathbb{P}^n parametrized by

X_0 . By construction ϕ separates the fibers of π ; these fibers are G -orbit closures by Lemma 7.1. To complete the proof of Theorem 1.1 it remains to show that ϕ actually separates the orbits in X_0 , and not just their closures. Note that since separating orbit closures is the most we can do in the dynamical setting, Lemma 7.2 has no counterpart in our proof of Theorem 1.2.

Lemma 7.2. *Let K/k be a field extension and $x \in X_0(K)$ be a K -point. Then the orbit $G \cdot x$ is closed in $(X_0)_K$.*

Proof. After base-changing to the algebraic closure \overline{K} , we may assume that $K = k$ is algebraically closed. Since the morphism $\pi: V \rightarrow X$ is flat over X_0 , $\dim(V_x)$ does not depend on the choice of x in $X_0(k)$. Thus the dense open subvariety $G \cdot x$ of V_x also has the same dimension for every $x \in X_0(k)$.

Assume the contrary: $G \cdot x$ is not closed in X_0 for some $x \in X_0(k)$. Then the complement to $G \cdot x$ in $\overline{G \cdot x}$ has a k -point $y \in X_0(k)$. Since $G \cdot x$ is open and dense in $\overline{G \cdot x}$, we have $\dim(G \cdot y) < \dim(G \cdot x)$, a contradiction. \square

This completes the proof of Theorem 1.1(a). Part (b) is proved in the same way as Theorem 1.2(c) in Section 4.

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