Forbidden Configurations

Steven Karp (USRA with R.P. Anstee, UBC)

CUMC July 9th, 2008

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What is an Extremal Problem?

Here are some examples of extremal problems:

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At most how many queens can we place on a chessboard so that no two attack each other?

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Answer:
$$\left\lfloor \frac{p^2}{4} \right\rfloor$$
 (Turán's Theorem)

Definition. A simple matrix is a $\{0,1\}$ -matrix with no repeated columns.

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e.g.
$$\begin{bmatrix} 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

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An *m*-rowed simple matrix has at most 2^m columns.

Definition. Suppose that F is a $\{0,1\}$ -matrix (not necessarily simple). A simple matrix A has the configuration F if A has a submatrix which is a row and column permutation of F.

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Extremal Problem: If a simple matrix A has m rows and does not have the configuration F, at most how many columns can A have?

Answer: forb(m, F)

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Definition. Suppose that F is a $\{0,1\}$ -matrix, and m a positive integer. Then forb(m, F) is the greatest number of columns that an m-rowed simple matrix with no configuration F can have.

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Equivalently, for (m, F) is the least integer such that every simple matrix with m rows and more than for (m, F) columns has the configuration F.

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Examples

 $forb(m, \begin{bmatrix} 1 & 0 \end{bmatrix}) = 1.$

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$$(m, \begin{bmatrix} 1 & 0 \end{bmatrix}) = 1.$$

forb $(m, \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}) = 2m + 2.$

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Some New Results for 2-Columned F

Others proved previously that

$$\mathsf{forb}(m, \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}) = m+1,$$

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What happens if we keep adding $\begin{bmatrix} 1 & 0 \end{bmatrix}$ on top?

Theorem. For $m \geq 3$,

forb
$$(m, \begin{bmatrix} 1 & 0\\ 1 & 0\\ 1 & 0\\ 0 & 1 \end{bmatrix}) = \binom{m}{2} + m + 2.$$

A construction: the *m*-rowed matrix with all columns of sum

0, 1, 2 and m.

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Theorem. For $m \ge 4$,

forb
$$(m, \begin{bmatrix} 1 & 0\\ 1 & 0\\ 1 & 0\\ 1 & 0\\ 0 & 1 \end{bmatrix}) = \binom{m}{3} + \binom{m}{2} + m + 2.$$

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0, 1, 2, 3 and m.

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Theorem. For $m \ge 5$,

forb(m,
$$\begin{bmatrix} 1 & 0\\ 1 & 0\\ 1 & 0\\ 1 & 0\\ 1 & 0\\ 0 & 1 \end{bmatrix}$$
) = $\binom{m}{4} + \binom{m}{3} + \binom{m}{2} + m + 2.$

A construction: the *m*-rowed matrix with all columns of sum

0, 1, 2, 3, 4 and m.

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Theorem. For $m \ge k - 1 \ge 3$,

forb
$$(m, \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \\ \vdots & \vdots \\ 1 & 0 \\ 1 & 0 \\ 1 & 0 \\ 0 & 1 \end{bmatrix} \} k) = \binom{m}{k-2} + \dots + \binom{m}{2} + m + 2.$$

A construction: the *m*-rowed matrix with all columns of sum

 $0, 1, 2, \ldots, k - 2$ and *m*.

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$$(m, \begin{bmatrix} 1\\1\\1\\1\\1\\1\\1\\0 \end{bmatrix} \}$$
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I asked, "What if I flip some digits in the second column?"

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The bound and the construction remains the same!

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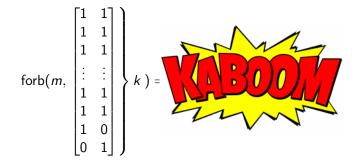
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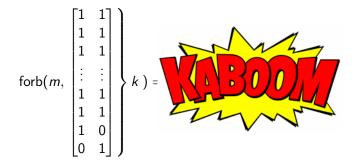
If both columns have k - 1 ones, then strange things happen.

For
$$m \geq k - 1 \geq 3$$
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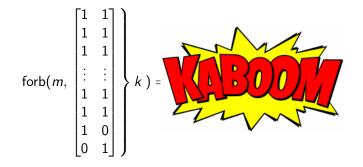
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Finding a good construction becomes a difficult Design Theory problem.

What if we flip the 1 at the bottom of the second column to a 0?

For $m \geq k - 1 \geq 3$,



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We permute the columns of A so that it looks like

$$\begin{bmatrix} 0 \ 0 \cdots 0 \ 0 & 1 \ 1 \cdots 1 \ 1 \\ C & D & D & E \end{bmatrix},$$

where D is the matrix of columns repeated under the first row.

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Then C, D, E concatenated together is simple and (m-1)-rowed, and does not have the configuration F.

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$$\therefore \quad \#\operatorname{col's}(A) = \#\operatorname{col's}(C, D, E) + \#\operatorname{col's}(D)$$
$$\operatorname{forb}(m, F) \leq \operatorname{forb}(m - 1, F) + \#\operatorname{col's}(D)$$

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 $forb(m, F) \leq forb(m-1, F) + \#col's(D)$

If we can get a good upper bound on #col's(D), then we can prove an upper bound on forb(m, F) by induction.

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Thank You!

Thanks for your attention!

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